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 SCMUA

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FY2016
 ADOPTED AUTHORITY BUDGET
 RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
UPPER WALLKILL FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Operating Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 was presented for introduction before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the annual Upper Wallkill Facilities Operating and Capital Budgets, as presented for adopting reflect each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the annual Upper Wallkill Facilities Operating Budget and revenue financed Capital Budget as introduced, reflect the below listed Summary of Revenues and Financing Sources:

SUMMARY OF REVENUES AND OTHER FINANCING FOR THE
 UPPER WALLKILL FACILITIES BUDGET

UPPER WALLKILL FACILITIES

Unreserved Retained Earnings	\$	0
Operating Revenues	\$6,163,500	
Non Operating Revenues	\$	<u>28,000</u>
Total Revenues	\$6,191,500	
Administration	\$	626,000
Salary & Benefit Expenses	\$1,608,500	
Debt Service Expense	\$1,988,000	
Rate Stabilization Fund	\$	150,000
Renewal & Replacement Reserve	\$	100,000
Appropriation for Capital Budget	\$	141,000
Operation Expense	\$1,578,500	
Total Expenses	\$6,191,500	

DEC 2 8 2015

WHEREAS, in preparing the Annual Operating Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Upper Wallkill FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$-0-; Renewal & Replacement Expenditure of \$100,000; Capital Outlays of \$141,000; Phase II Construction Fund of \$950,000 and Phase III Construction Fund of \$95,000 for total appropriation of \$1,286,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on December 16, 2015 that the Upper Wallkill Facilities Annual Operating Budget and revenue financed Capital Budget for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby adopted; and

BE IT FURTHER RESOLVED that the Annual Operating Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular
Meeting held on Wednesday, December 16, 2015.


Andrea Cocula, Secretary

Date: December 16, 2015

Member	Aye	Recorded Vote		Absent
		Nay	Abstain	
Sowden	X			
Madsen	X			
Petillo	X			
Drake				X
Dietz	X			
Cocula	X			
Meyer	X			

FY2016
ADOPTED AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
HAMPTON COMMONS FACILITY

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Hampton Commons Facility Annual Operating Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 was presented for introduction before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the annual Hampton Commons Facility Operating and Capital Budgets, as presented for adoption reflect each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Hampton Commons Annual Operating Budget and revenue financed Capital Budget as introduced, reflect the below listed Summary of Revenues and Financing Sources:

SUMMARY OF REVENUES AND OTHER FINANCING FOR THE
SCMUA HAMPTON COMMONS FACILITY
OPERATING BUDGET

HAMPTON COMMONS FACILITY

Unreserved Retained Earnings	\$ 5,000
Operating Revenues	\$ 169,035
Non Operating Revenues	\$ 665
Total Revenues	\$ 174,700

Administration	\$ 47,000
Operation Expenses	\$ 121,700
Renewal & Replacement Fund	\$ 6,000
Appropriation for Capital Budget	\$ 0
Total Expenses	\$ 174,700

WHEREAS, in preparing the Annual Operating Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Hampton Commons FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$-0-; Renewal & Replacement Fund \$18,000; Capital Outlays of \$-0-, and a Total Appropriation of \$18,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on December 16, 2015 that the Hampton Commons Facility's Annual Operating Budget and revenue financed Capital Budget for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby adopted; and

BE IT FURTHER RESOLVED that the Annual Operating Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

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adopted by the Authority at their Regular
Meeting held on Wednesday, December 16, 2015.



Andrea Cocula, Secretary

Date: December 16, 2015

Member	Aye	Recorded Vote		Absent
		Nay	Abstain	
Sowden	X			
Petillo	X			
Drake				X
Dietz	X			
Cocula	X			
Meyer	X			
Madsen	X			

FY2016
ADOPTED AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
WALLKILL WATERSHED PROGRAM

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Wallkill Watershed Program Annual Operating Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 was presented for introduction before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the annual Wallkill Watershed Program Operating and Capital Budgets, as presented for adoption reflect each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Wallkill Watershed Program Annual Operating Budget and revenue financed Capital Budget as introduced, reflect the below listed Summary of Revenues and Financing Sources:

SUMMARY OF REVENUES AND OTHER FINANCING FOR THE
SCMUA WALLKILL WATERSHED PROGRAM
OPERATING BUDGET

WALLKILL WATERSHED PROGRAM

Unreserved Retained Earnings	\$ -0-
Operating Revenues	\$ -0-
Non Operating Revenues (NJDEP Section 319(h) Grants)	<u>\$ 447,700</u>
Total Revenues	\$ 476,200
Administration	\$ 24,000
Salaries & Benefits	\$ 299,600
Operation Expenses	\$ 57,600
Capital Outlays	<u>\$ 95,000</u>
Total Expenses	\$ 476,200

WHEREAS, in preparing the Annual Operating Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Wallkill Watershed Program FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$-0-; Renewal & Replacement Fund \$-0-; Capital Outlays of \$95,000, and a Total Appropriation of \$95,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on December 16, 2015 that the Wallkill Watershed Program's Annual Operating Budget and revenue financed Capital Budget for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby adopted; and

BE IT FURTHER RESOLVED that the Annual Operating Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular
Meeting held on Wednesday, December 16, 2015.



Andrea Cocula, Secretary

Date: December 16, 2015

Member	Aye	Recorded Vote		Absent
		Nay	Abstain	
Sowden	X			
Petillo	X			
Drake				X
Dietz	X			
Cocula	X			
Meyer	X			
Madsen	X			

FY2016

ADOPTED AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
SOLID WASTE FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Operating Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 was presented for introduction before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the annual Solid Waste Facilities Operating and Capital Budgets, as presented for adopting reflect each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the annual Solid Waste Facilities Operating Budget and revenue financed Capital Budget as introduced, reflect the below listed Summary of Revenues and Financing Sources:

SUMMARY OF REVENUES AND OTHER FINANCING FOR THE
SOLID WASTE FACILITIES BUDGET

SOLID WASTE FACILITIES

Unreserved Retained Earnings	\$	0
Operating Revenues	\$10,508,600	
Non Operating Revenues	<u>\$ 303,000</u>	
Total Revenues	\$10,811,600	

SOLID WASTE FACILITIES (cont.)

Administration	\$ 626,000
Salary & Benefits	\$ 2,942,000
Debt Services Expense	\$ 3,770,000
Renewal & Replacement Fund	\$ 0
Appropriation for Capital Budget	\$ 185,000
Post Closure Care Fund	\$ 95,000
Landfill Cell Closure Escrow	\$ 0
Operating Expenses	<u>\$ 3,193,600</u>
Total Expenses	\$10,811,600

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

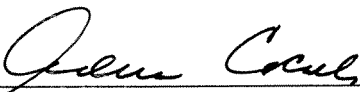
WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Solid Waste Facilities FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$400,000; Renewal & Replacement Expenditure of \$450,000; Capital Outlays of \$185,000; Cell Closure Escrow Expenditure of \$550,000 for total appropriation of \$1,585,000.

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on December 16, 2015 that the Solid Waste Facilities Annual Operating Budget and revenue financed Capital Budget for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby adopted; and

BE IT FURTHER RESOLVED that the Annual Operating Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, December 16, 2015.



 Andrea Cocula, Secretary

Date: December 16, 2015

Recorded Vote

Member	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake				X

FY2016
ADOPTED AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

PAULINSKILL WATER RECLAMATION
FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 17, 2015; and

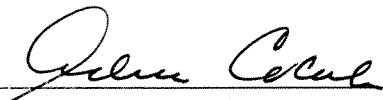
WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Paulinskill Water Reclamation Facility Capital Budget introduced/amended reflects, a total appropriation of \$3,112,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 17, 2015, Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby adopted; and

BE IT FURTHER RESOLVED, that the annual Capital Budget as presented and amended reflect each item of revenue and appropriation in the same amount and title set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, December 16, 2015.



Andrea Cocula, Secretary

Date: December 16, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake				X

2016

SUSSEX COUNTY MUNICIPAL UTILITIES

Authority Budget

WWW.SCMUA.ORG
(Authority Web Address)

Department Of



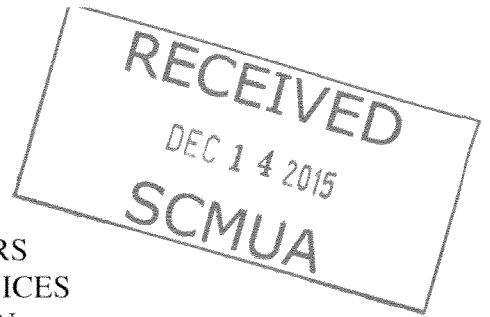
**Community
Affairs**

LOCAL GOVT SERVICES
2015 SEP 30 A 11:12
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Division of Local Government Services

2016 AUTHORITY BUDGET

Certification Section



STATE OF NEW JERSEY
DEPARTMENT OF COMMUNITY AFFAIRS
DIVISION OF LOCAL GOVERNMENT SERVICES
BUREAU OF AUTHORITY REGULATION
TRENTON, N.J.

CERTIFICATION OF AMENDED 2015 BUDGET

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

It is hereby certified that the amendment attached hereto complies with the requirements of law, and approval is given pursuant to N.J.A.C. 5:31-2.8.

Department of Community Affairs
Division of Local Government Services
Christine Zapicchi, Assistant Director

By *CM Zapicchi*
For: Timothy J. Cunningham, Director

Date 12/8/15

Attachment

FY2016

**AUTHORITY BUDGET RESOLUTION
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
PAULINSKILL WATER RECLAMATION FACILITIES**

FISCAL YEAR PERIOD: DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Sussex County Municipal Utilities Authority (SCMUA) Board of Commissioners approved a resolution for the Capital Budget on September 16, 2015; and

WHEREAS, after review by the Division of Local Government Services it was determined that said Paulinskill Capital Budget was in need of revision/amendment to reflect FY2016 Capital Expenditures in the amount of \$3,112,000.

NOW THEREFORE, BE IT RESOLVED that the FY2016 Capital Budget for the Paulinskill Water Reclamation Facilities is hereby revised from \$13,475,500 and amended to \$3,112,000.

BE IT FURTHER RESOLVED that said Capital Budget shall be considered for adoption upon favorable review of the Division of Local Government Services.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, November 25, 2015.


Andrea Cocula, Secretary

Date: November 25, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz				X
A. Cocula	X			
K. Meyer				X
J. Drake	X			

2016

Sussex County Municipal Utilities Authority
(Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM December 1, 2015 TO November 30, 2015

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Amendment Date: —

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Christine M. Zappacchi Date: 1/29/16

2016 PREPARER'S CERTIFICATION

Sussex County Municipal Utilities Authority

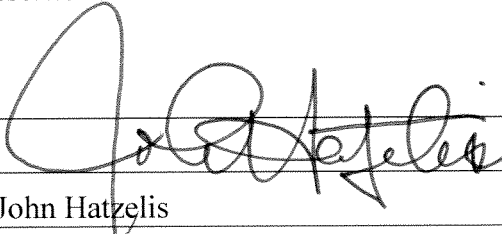
(Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2015 **TO:** November 30, 2016

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998 x103	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

2016 APPROVAL CERTIFICATION

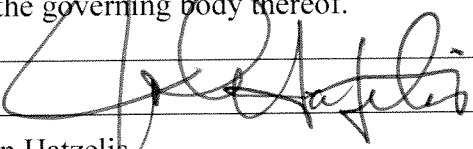
Sussex County Municipal Utilities Authority (Name)

AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2015 **TO:** November 30, 2016

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the SCMUA Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the ^{16th} day of Sept, 2015.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998 x103	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:

WWW.SCMUA.ORG

All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.



A description of the Authority's mission and responsibilities



Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years



The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information



Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years



The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction



Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting



Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years



The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority



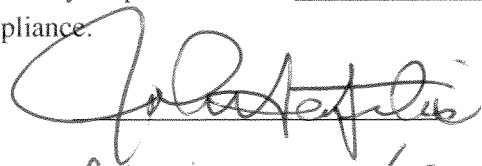
A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

Title of Officer Certifying compliance

Signature



ADMINISTRATOR/TREASURER

JOHN HATZELIS

FY2016

**AUTHORITY BUDGET
RESOLUTION**

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

UPPER WALLKILL FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the Annual Budget and revenue financed Capital Budget, as introduced, reflects the below listed Summary of Revenues, and Financing Sources for the Sussex County Municipal Utilities Authority's Operating Budgets:

**SUMMARY OF REVENUES AND OTHER FINANCING FOR
UPPER WALLKILL FACILITIES BUDGET**

UPPER WALLKILL FACILITIES

Unreserved Retained Earnings	\$ 0
Operating Revenues	\$6,163,500
Non Operating Revenues	<u>\$ 28,000</u>
Total Revenues	\$6,191,500
Administration	\$ 626,000
Salary & Benefit Expenses	\$1,608,500
Debt Service Expense	\$1,988,000
Rate Stabilization Fund	\$ 150,000
Renewal & Replacement Reserve	\$ 100,000
Appropriation for Capital Budget	\$ 141,000
Operation Expense	<u>\$1,578,500</u>
Total Expenses	\$6,191,500

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Upper Wallkill FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$-0-; Renewal & Replacement Expenditure of \$100,000; Capital Outlays of \$141,000, Construction Fund II of \$950,000, and Phase 3 Construction Fund of \$95,000 for total appropriation of \$1,286,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 16, 2015, that the Annual Budget and revenue financed Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby approved; and

BE IT FURTHER RESOLVED that the revenues as reflected in the Annual Budget and revenue financed Capital Budget are of sufficient amount to meet anticipated appropriations and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Annual Budget and revenue financed Capital Budget for adoption on November 25, 2015.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, September 16, 2015.


Andrea Cocula, Secretary

Date: September 16, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

FY2016

**AUTHORITY BUDGET
RESOLUTION**

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

SOLID WASTE FACILITIES

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the Annual Budget and revenue financed Capital Budget, as introduced, reflects the below listed Summary of Revenues, and Financing Sources for the Sussex County Municipal Utilities Authority's Operating Budgets:

**SUMMARY OF REVENUES AND OTHER FINANCING FOR
SOLID WASTE FACILITIES BUDGET**

SOLID WASTE FACILITIES

Unreserved Retained Earnings	\$	0
Operating Revenues		\$10,508,600
Non Operating Revenues		<u>\$ 303,000</u>
Total Revenues		\$10,811,600

SOLID WASTE FACILITIES (cont.)

Administration	\$ 626,000
Salary & Benefits	\$ 2,942,000
Debt Services Expense	\$ 3,770,000
Renewal & Replacement Fund	\$ 0
Appropriation for Capital Budget	\$ 185,000
Post Closure Care Fund	\$ 95,000
Landfill Cell Closure Escrow	\$ 0
Operating Expenses	<u>\$ 3,193,600</u>
Total Expenses	\$10,811,600

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Solid Waste Facilities FY2016 Capital Budget reflects a Total Debt Authorized of \$400,000; Renewal & Replacement Expenditure of \$450,000; Capital Outlays of \$185,000; Cell Closure Escrow Expenditure of \$550,000 for total appropriation of \$1,585,000.

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 16, 2015, that the Annual Budget and revenue financed Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby approved; and

Pg.C-5b.2

BE IT FURTHER RESOLVED that the revenues as reflected in the Annual Budget and revenue financed Capital Budget are of sufficient amount to meet anticipated appropriations and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Annual Budget and revenue financed Capital Budget for adoption on November 25, 2015.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, September 16, 2015.



Andrea Cocula, Secretary

Date: September 16, 2015

Recorded Vote

Member	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

FY2016

**AUTHORITY BUDGET
RESOLUTION**

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

HAMPTON COMMONS FACILITY

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the Annual Budget and revenue financed Capital Budget, as introduced, reflects the below listed Summary of Revenues, and Financing Sources for the Sussex County Municipal Utilities Authority's Operating Budgets:

**SUMMARY OF REVENUES AND OTHER FINANCING FOR
HAMPTON COMMONS FACILITY BUDGET**

HAMPTON COMMONS FACILITY

Unreserved Retained Earnings	\$ 5,000
Operating Revenues	\$ 169,035
Non Operating Revenues	\$ <u>665</u>
Total Revenues	\$ 174,700

Administration	\$ 47,000
Operation Expenses	\$ 121,700
Renewal & Replacement Fund	\$ 6,000
Appropriation for Capital Budget	\$ <u>0</u>
Total Expenses	\$ 174,700

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and


WHEREAS, the Hampton Commons FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$ -0-; Capital Outlays of \$-0-; Renewal & Replacement Fund \$18,000, for a total appropriation of \$18,000; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 16, 2015, that the Annual Budget and revenue financed Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby approved; and

BE IT FURTHER RESOLVED that the revenues as reflected in the Annual Budget and revenue financed Capital Budget are of sufficient amount to meet anticipated appropriations and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Annual Budget and revenue financed Capital Budget for adoption on November 25, 2015.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, September 16, 2015.


Andrea Cocula, Secretary

Date: September 16, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

FY2016

AUTHORITY BUDGET
RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

WALLKILL WATERSHED PROGRAM

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Annual Budget and revenue financed Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

WHEREAS, the Annual Budget and revenue financed Capital Budget, as introduced, reflects the below listed Summary of Revenues, and Financing Sources for the Sussex County Municipal Utilities Authority's Operating Budgets:

**SUMMARY OF REVENUES AND OTHER FINANCING FOR
WALLKILL WATERSHED PROGRAM BUDGET**

WALLKILL WATERSHED PROGRAM

Unreserved Retained Earnings	\$ -0-
Operating Revenues	\$ -0-
Non Operating Revenues (NJDEP Section 319(h) Grants)	\$ 476,200
Total Revenues	\$ 476,200

Administration	\$ 24,000
Salaries & Benefits	\$ 299,600
Operation Expenses	\$ 57,600
Capital Outlays	\$ 95,000
Total Expenses	\$ 476,200

Pg C-5d-1 (revised)

WHEREAS, in preparing the Annual Budget and revenue financed Capital Budget, the Service Rates and Fees as established by Resolution of the Authority have been reviewed to insure the revenues to be received are of sufficient amount to meet anticipated expenditures and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Wallkill Watershed Program FY2016 Capital Budget as introduced reflects a Total Debt Authorized of \$ -0-; Capital Outlays of \$95,000; R&R Fund \$-0-, for a total appropriation of \$-0-; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 16, 2015, that the Annual Budget and revenue financed Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby approved; and

BE IT FURTHER RESOLVED that the revenues as reflected in the Annual Budget and revenue financed Capital Budget are of sufficient amount to meet anticipated appropriations and all covenants as stipulated in the Authority's outstanding debt obligations, capital lease arrangements, service agreements and other pledged agreements; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Annual Budget and revenue financed Capital Budget for adoption on November 25, 2015.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, September 16, 2015.


Andrea Cocula, Secretary

Date: September 16, 2015

Recorded Vote

Member	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

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FY2016

**AUTHORITY BUDGET RESOLUTION
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
PAULINSKILL WATER RECLAMATION FACILITIES**

FISCAL YEAR PERIOD: DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, the Sussex County Municipal Utilities Authority (SCMUA) Board of Commissioners approved a resolution for the Capital Budget on September 16, 2015; and

WHEREAS, after review by the Division of Local Government Services it was determined that said Paulinskill Capital Budget was in need of revision/amendment to reflect FY2016 Capital Expenditures in the amount of \$3,112,000.

NOW THEREFORE, BE IT RESOLVED that the FY2016 Capital Budget for the Paulinskill Water Reclamation Facilities is hereby revised from \$13,475,500 and amended to \$3,112,000.

BE IT FURTHER RESOLVED that said Capital Budget shall be considered for adoption upon favorable review of the Division of Local Government Services.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, November 25, 2015.


Andrea Cocula, Secretary

Date: November 25, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz				X
A. Cocula	X			
K. Meyer				X
J. Drake	X			

**FY2016
AUTHORITY BUDGET
RESOLUTION**

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

**PAULINSKILL WATER RECLAMATION
FACILITIES**

FISCAL YEAR PERIOD DECEMBER 1, 2015 TO NOVEMBER 30, 2016

WHEREAS, Capital Budget for the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 has been presented before the Commissioners of the Sussex County Municipal Utilities Authority at its meeting of September 16, 2015; and

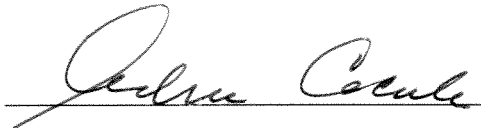
WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law; and

WHEREAS, the Paulinskill Water Reclamation Facility Capital Budget introduced reflects, USDA Loan \$7,825,000; USDA Grant \$5,629,500 and SCMUA Capital Contribution \$21,000 for a total appropriation of \$13,475,500; and

NOW, THEREFORE, BE IT RESOLVED by the members of the Sussex County Municipal Utilities Authority, at a meeting held on September 16, 2015, Capital Budget of the Sussex County Municipal Utilities Authority for the fiscal year period beginning December 1, 2015 and ending November 30, 2016 is hereby approved; and

BE IT FURTHER RESOLVED, that the members of the Sussex County Municipal Utilities Authority will consider the Capital Budget for adoption on November 25, 2015.

Certified as a true copy of the Resolution
adopted by the Authority at their Regular Meeting
held on Wednesday, September 16, 2015.



Andrea Cocula, Secretary

Date: September 16, 2015

Member	Recorded Vote			
	Aye	Nay	Abstain	Absent
T. Madsen	X			
J. Sowden	X			
R. Petillo	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

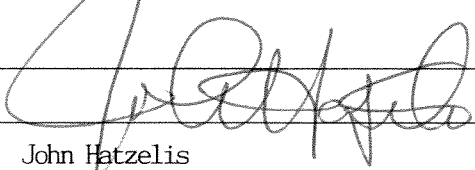
2016 ADOPTION CERTIFICATION

Sussex County Municipal Utilities Authority (Name)

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2015 **TO:** November 30, 2016

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Sussex County Munic. Util Authority, pursuant to N.J.A.C. 5:31-2.3, on the 16th day of, December, 2015.

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998 x103	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

2016 AUTHORITY BUDGET
Narrative and Information Section

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS

Upper Wallkill Facilities AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2015 **TO:** November 30, 2016

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Upper Wallkill Facilities Budget is proposed to increase from \$6,080,000 in FY2015 to \$6,191,500 in FY2016 a 1.8% increase. Attached is a line item breakdown of the Upper Wallkill Facilities' Operating budget including an explanation for variances. The Service Charges will remain unchanged for Sludge, Septage, and Leachate Disposal. Sewage Treatment Charges to system participants will be increasing from \$4,952,000 in FY2015 to \$4,979,500 in FY2016 or a total of 0.6%.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. The proposed Upper Wallkill Budgets will have no or minimum impact to user charges/rates, however resultant revenues will be impacted by changes in volumes of wastewater anticipated to be received, projected new connections are reduced for FY2016, and miscellaneous revenues are projected to increase due to investment earnings.

	<u>FY 2016</u> <u>Projected</u>	<u>FY 2015</u> <u>Budgeted</u>	<u>%</u>
Sludge Treatment	\$76,000	\$76,000	0 %
Septage Treatment	\$550,000	\$436,000	+26.1 %
Hauled Sewage	\$11,000	\$11,000	0 %
Leachate	\$225,000	\$235,000	- 4.2 %
Misc. Revenue	\$28,000	\$25,000	+ 10.7%
O&M Services	\$97,000	\$95,000	+ 2.1%
Connection Fees	\$225,000	\$250,000	- 11.1 %
Sewer User Charges	\$4,979,500	\$4,952,000	+ 0.6 %
Total	\$6,191,500	\$6,080,000	1.8%

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3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local economy is recovering slightly from the 2008 recession. Some new developments in Vernon, Sparta and Hardyston Townships have kept connection fees somewhat stable. The Capital Budget will not require any issuance of bonds or notes so therefore no increase in debt service is anticipated.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. No utilization of Unrestricted Net Position is proposed in the Upper Wallkill FY2016 budget.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are anticipated to be transferred to County/Municipality in 2016.

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. The Upper Wallkill Facility Budget does not have a deficit/anticipated deficit.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. A copy of the SCMUA's FY2015 rate schedule and proposed FY2016 rate schedule is attached. There are no significant changes to the existing rate structure.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. A copy of the SCMUA most recent Annual Operating Date submission to EMMA is attached.

Upper Walkkill
OPERATING BUDGET
 FY 2016

Expense	Budget	Budget	% Change
	FY 2015	FY 2016	
UW0100 · Salaries			
UW0101 · Regular Time	890,000.00	890,000.00	0.00%
UW0102 · Overtime	89,000.00	89,000.00	0.00%
Total UW0100 · Salaries	979,000.00	979,000.00	0.00%
UW0300 · Benefits			
UW0301 · Social Security	75,000.00	72,000.00	-4.17%
UW0302 · Public Employee Retirement Syst	95,000.00	95,000.00	0.00%
UW0303 · Prescription	65,000.00	65,000.00	0.00%
UW0304 · N.J.S.U.I.	1,000.00	1,000.00	0.00%
UW0305 · Health Insurance	361,000.00	370,000.00	2.43%
UW0306 · Dental Insurance	14,500.00	14,000.00	-3.57%
UW0307 · Medicare Reimbursement	7,000.00	12,000.00	41.67%
Total UW0300 · Benefits	618,500.00	629,000.00	1.67%
UW0400 · Personal Services			
UW0401 · Physicals	500.00	500.00	0.00%
UW0402 · Medical Services	1,000.00	1,000.00	0.00%
UW0403 · Uniforms & Boots	3,500.00	3,500.00	0.00%
Total UW0400 · Personal Services	5,000.00	5,000.00	0.00%
UW0500 · Engineering & Consulting Serv			
UW0503 · Computer Consultant	1,000.00	1,000.00	0.00%
UW0504 · Safety Consultant	1,500.00	1,500.00	0.00%
UW0505 · Engineering/Management Consul.	24,000.00	24,000.00	0.00%
UW0506 · NJDEPS Permit Appeal	5,000.00	5,000.00	0.00%
UW0507 · TWA/WMP/NJPDES Review for Endor	1,000.00	1,000.00	0.00%
Total UW0500 · Engineering & Consulting S	32,500.00	32,500.00	0.00%
UW0600 · Legal Fees			
UW0601 · General Counsel	10,000.00	10,000.00	0.00%
UW0602 · Special Counsel	10,000.00	10,000.00	0.00%
Total UW0600 · Legal Fees	20,000.00	20,000.00	0.00%
UW0700 · Indirect Administrative Expense	615,000.00	626,000.00	1.76%
UW0800 · Trustee and Banking Fees			
UW0801 · Trustee Fees	15,000.00	15,000.00	0.00%
Total UW0800 · Trustee and Banking Fees	15,000.00	15,000.00	0.00%

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Upper Walkkill
OPERATING BUDGET
 FY 2016

	Budget	Budget	% Change
	FY 2015	FY 2016	
UW1000 · Insurance			
UW1001 · Automobile	7,000.00	7,000.00	0.00%
UW1002 · General Liability	48,000.00	48,000.00	0.00%
UW1004 · Umbrella/Excess Liability	12,000.00	12,000.00	0.00%
UW1005 · Property/Equipment/Boiler & Mac	106,000.00	106,000.00	0.00%
UW1006 · Workmans Compensation	33,000.00	33,000.00	0.00%
UW1009 · Underground Storage Tank Liab.	10,000.00	10,000.00	0.00%
Total UW1000 · Insurance	216,000.00	216,000.00	0.00%
UW1100 · Seminar & Dues			
UW1101 · Membership Dues	700.00	700.00	0.00%
UW1102 · Training Courses/Seminars	1,000.00	1,000.00	0.00%
UW1103 · License Fees	1,500.00	1,500.00	0.00%
Total UW1100 · Seminar & Dues	3,200.00	3,200.00	0.00%
UW1200 · Travel			
UW1201 · Meals	200.00	200.00	0.00%
UW1202 · Lodging	500.00	500.00	0.00%
UW1204 · Cash Advance	0.00	0.00	
UW1205 · Mileage Reimbursement	300.00	300.00	0.00%
Total UW1200 · Travel	1,000.00	1,000.00	0.00%
UW1300 · Office Supplies			
UW1301 · Supplies	1,500.00	1,500.00	0.00%
UW1303 · Maintenance	1,500.00	1,500.00	0.00%
UW1300 · Office Supplies - Other	0.00	0.00	
Total UW1300 · Office Supplies	3,000.00	3,000.00	0.00%
UW1400 · Advertising			
UW1401 · Legal Ads	600.00	600.00	0.00%
UW1402 · Other	300.00	300.00	0.00%
Total UW1400 · Advertising	900.00	900.00	0.00%
UW1500 · Printing Expenses	400.00	400.00	0.00%
UW1800 · Custodial Supplies	6,000.00	6,000.00	0.00%
UW1900 · Safety Equipment			
UW1901 · Equipment	3,000.00	3,000.00	0.00%
UW1902 · Supplies	2,000.00	2,000.00	0.00%
UW1903 · Service	1,000.00	1,000.00	0.00%
Total UW1900 · Safety Equipment	6,000.00	6,000.00	0.00%

Upper Walkkill
OPERATING BUDGET
 FY 2016

	Budget	Budget	% Change
	FY 2015	FY 2016	
UW2000 · Chemicals			
UW2001 · Polymer	20,000.00	20,000.00	0.00%
UW2002 · Potassium Permanganate	20,000.00	20,000.00	0.00%
UW2003 · Ferric Chloride	39,000.00	39,000.00	0.00%
UW2005 · Hydrogen Peroxide	30,000.00	30,000.00	0.00%
UW2009 · Strike	12,000.00	12,000.00	0.00%
UW2010 · Misc. Chemicals	7,000.00	7,000.00	0.00%
Total UW2000 · Chemicals	128,000.00	128,000.00	0.00%
UW2100 · Laboratory Supples			
UW2101 · Chemicals	2,000.00	2,000.00	0.00%
UW2102 · Glassware	500.00	500.00	0.00%
UW2103 · Equipment	5,500.00	5,500.00	0.00%
UW2104 · Supplies/Misc.	2,000.00	2,000.00	0.00%
Total UW2100 · Laboratory Supples	10,000.00	10,000.00	0.00%
UW2200 · Plant Supplies			
UW2201 · Flow Charts & Pens	2,500.00	2,500.00	0.00%
UW2202 · Welding Supplies	1,000.00	1,000.00	0.00%
UW2203 · Metal, Steel & Aluminum	1,000.00	1,000.00	0.00%
UW2204 · Lubes & Grease	4,000.00	4,000.00	0.00%
UW2205 · Small Items - Hardware	12,000.00	12,000.00	0.00%
Total UW2200 · Plant Supplies	20,500.00	20,500.00	0.00%
UW2300 · Testing Services			
UW2301 · Sludge	1,000.00	1,000.00	0.00%
UW2303 · Effluent/Influent	6,000.00	6,000.00	0.00%
UW2307 · Various Other Testing	500.00	500.00	0.00%
Total UW2300 · Testing Services	7,500.00	7,500.00	0.00%
UW2400 · Outside Services			
UW2402 · Outside Repair Work	13,000.00	13,000.00	0.00%
UW2405 · Garbage	3,000.00	3,000.00	0.00%
UW2406 · Grit Disposal	14,000.00	14,000.00	0.00%
UW2407 · Sludge Disposal	360,000.00	360,000.00	0.00%
UW2408 · Maintenance Contracts	4,000.00	4,000.00	0.00%
UW2409 · Small Repairs	7,000.00	7,000.00	0.00%
Total UW2400 · Outside Services	401,000.00	401,000.00	0.00%

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Upper Walkkill
OPERATING BUDGET
 FY 2016

	Budget	Budget	% Change
	FY 2015	FY 2016	
UW2500 · Utilities			
UW2501 · Telephone	24,000.00	24,000.00	0.00%
UW2502 · Electric	455,000.00	455,000.00	0.00%
UW2503 · Heating Fuel	0.00	0.00	
UW2504 · Diesel Fuel	14,000.00	14,000.00	0.00%
UW2505 · Gasoline	12,000.00	12,000.00	0.00%
UW2506 · Propane/Natural Gas	-25,000.00	25,000.00	0.00%
UW2507 · Alarm System Monitoring	4,000.00	4,000.00	0.00%
UW2508 · Water	1,000.00	1,000.00	0.00%
Total UW2500 · Utilities	535,000.00	535,000.00	0.00%
UW2600 · Motor Vehicles & Equipment			
UW2602 · Maintenance & Repairs	10,000.00	10,000.00	0.00%
UW2604 · Oil & Lube	2,000.00	2,000.00	0.00%
UW2605 · Registrations	2,000.00	2,000.00	0.00%
UW2606 · Tires	2,000.00	2,000.00	0.00%
Total UW2600 · Motor Vehicles & Equipmen	16,000.00	16,000.00	0.00%
UW2700 · Equipment/Stationary			
UW2701 · New Purchases	15,000.00	15,000.00	0.00%
UW2702 · Maintenance/Repairs	40,000.00	40,000.00	0.00%
UW2704 · Spare Parts	7,000.00	7,000.00	0.00%
Total UW2700 · Equipment/Stationary	62,000.00	62,000.00	0.00%
UW2800 · Electrical Equipment/Repairs			
UW2801 · Meters, Instrum. Repairs & Cal	5,000.00	5,000.00	0.00%
UW2802 · Computer Hardware R&R	1,000.00	1,000.00	0.00%
UW2803 · Terminal, Circuit Board R&R	500.00	500.00	0.00%
UW2804 · Alarm System Repairs	500.00	500.00	0.00%
UW2805 · Miscellaneous Electrical Repair	5,000.00	5,000.00	0.00%
UW2806 · UV Lamps and Parts	10,000.00	10,000.00	0.00%
Total UW2800 · Electrical Equipment/Repair	22,000.00	22,000.00	0.00%
UW2900 · Purchases Related to O&M OS			
UW2903 · Homestead STP	12,000.00	12,000.00	0.00%
Total UW2900 · Purchases Related to O&M	12,000.00	12,000.00	0.00%
UW3500 · Taxes & Fees			
UW3505 · NJPDES Fees	37,000.00	37,000.00	0.00%
UW3510 · Railroad R.O.W. Charges	18,500.00	18,500.00	0.00%
Total UW3500 · Taxes & Fees	55,500.00	55,500.00	0.00%

Upper Walkkill
OPERATING BUDGET
 FY 2016

	Budget	Budget	% Change
	FY 2015	FY 2016	
UW3600 · Capital Outlays - FY 2015			
UW3601 · UV Upgrade	37,000.00		
UW3602 · Replacement Pumps	15,000.00		
UW3603 · Maintenance Shop Improvements	40,000.00		
UW3604 · Sparta Force Main - Clear ROW	5,000.00		
Total UW3600 · Capital Outlays	97,000.00	0.00	
UW3600 · Capital Outlays - FY 2016			
UW3601 · UV Upgrade		38,000.00	
UW3602 · Replacement Pumps		15,000.00	
UW3603 · Maintenance Shop Improvements		48,000.00	
UW3604 · Various Paving		40,000.00	
Total UW3600 · Capital Outlays	0.00	141,000.00	45.4%
UW3700 · Debt Services			
UW3703 · 2008 Series A	257,000.00	257,000.00	0.00%
UW3704 · 2008 Series B SCMUA CAB	890,000.00	895,000.00	0.56%
UW3705 · 2008 Series B Vernon CAB	400,000.00	450,000.00	11.11%
UW3706 · Series 2012 Refunding	395,000.00	386,000.00	-2.33%
Total UW3700 · Debt Services	1,942,000.00	1,988,000.00	2.31%
UW3800 · Renewal & Replacement Fund	100,000.00	100,000.00	0.00%
UW3900 · Vernon Rate Stabilization Fund	150,000.00	150,000.00	0.00%
Total Expense	6,080,000.00	6,191,500.00	1.80%

*IMPROVEMENT TO
 MAINT. SHOP NEC.
 FOR FIRE SAFETY*

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS

Hampton Commons Facility

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2015 TO: November 30, 2016

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Hampton Commons Wastewater Treatment Facilities FY2016 Budget is proposed to be \$174,700 this is a \$3,200 increase (or 1.8%) over FY2015 Budget of \$171,500. A copy of the Hampton Commons line items budget is attached along with the current and proposed rate schedule.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. The proposed FY2016 Hampton Commons Budget will have minimal impact on user charges.

<u>Revenue Source</u>	<u>FY2016</u>	<u>FY2015</u>	
Hampton Commons User Charges	\$138,695	\$137,984	+0.5%
Lowe's (Township of Hampton)	\$30,340	\$30,184	+0.5%
Investment Earnings	\$665	\$332	+100.0%
Appropriation from Surplus	<u>\$5,000</u>	<u>\$3,000</u>	<u>+66.0%</u>
Total	\$174,700	\$171,500	+1.86%

The increase in investment earnings (\$333) is based on projected interest rates and funds on deposit. Appropriation from surplus is increased by \$2,000 for rate stabilization.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The State/regional economy has not fully rebounded from 2008, however the Hampton Commons Facility relies on revenues solely from the Hampton Commons Homeowners Association and the Hampton Lowes Home Center. The Capital Budget anticipates expenditures for video inspection of the collection system. No major improvements are anticipated.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. The utilization of \$5,000 is Unrestricted Net Position in the Hampton Commons Budget and is for rate stabilization purposes.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are budgeted to be transferred to the County/municipality.

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. The FY2016 Hampton Commons Budget does not have a deficit, nor a projected deficit.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. There is no anticipated change in the rate structure for the FY2016 Hampton Commons Facility.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. The latest EMMA filing is attached (non-required).

**Hampton Commons Facility
OPERATING BUDGET
FY 2016**

Expense	Budget	Budget	% Change
	FY 2015	FY 2016	
HA0500 · Engineering & Consulting Serv			
HA0505 · Engineering/Management Consul.	1,500.00	1,500.00	0.00%
HA0506 · NJPDES Permits	500.00	500.00	0.00%
Total HA0500 · Engineering & Consulting	2,000.00	2,000.00	0.00%
HA0600 · Legal Fees			
HA0601 · General Counsel	1,000.00	1,000.00	0.00%
Total HA0600 · Legal Fees	1,000.00	1,000.00	0.00%
HA0700 · Indirect Administrative Expens			
HA1000 · Insurance	45,000.00	47,000.00	4.26%
HA1005 · Property/Equipment/Boiler & Mac	3,000.00	3,000.00	0.00%
Total HA1000 · Insurance	3,000.00	3,000.00	0.00%
HA1500 · Printing Expenses			
HA1900 · Safety Equipment			
HA1901 · Equipment	350.00	450.00	22.22%
HA1902 · Supplies	450.00	550.00	18.18%
Total HA1900 · Safety Equipment	800.00	1,000.00	20.00%
HA2000 · Chemicals & Woodchips			
HA2003 · Ferric Chloride	2,500.00	2,500.00	0.00%
HA2010 · Misc. Chemicals	500.00	500.00	0.00%
Total HA2000 · Chemicals & Woodchips	3,000.00	3,000.00	0.00%
HA2100 · Laboratory Supplies			
HA2101 · Chemicals	200.00	200.00	0.00%
HA2103 · Equipment	700.00	700.00	0.00%
HA2104 · Supplies/Misc.	100.00	100.00	0.00%
Total HA2100 · Laboratory Supplies	1,000.00	1,000.00	0.00%
HA2200 · Plant Supplies			
HA2201 · FLOW Charts & Pens	200.00	200.00	0.00%
HA2203 · Metal, Steel & Aluminum	100.00	100.00	0.00%
HA2204 · Lubes & Grease	100.00	100.00	0.00%
HA2205 · Small Items - Hardware	600.00	600.00	0.00%
Total HA2200 · Plant Supplies	1,000.00	1,000.00	0.00%

*ADDITIONAL ITEMS/
EQUIPMENT FOR
SAFETY OF FACILITY
PERSONNEL*

**Hampton Commons Facility
OPERATING BUDGET
FY 2016**

	FY 2015	FY 2016	
HA2300 · Testing Services			
HA2301 · Sludge	500.00	500.00	0.00%
HA2303 · Effluent/Influent	3,000.00	3,000.00	0.00%
Total HA2300 · Testing Services	3,500.00	3,500.00	0.00%
HA2400 · Outside Services			
HA2402 · Outside Repair Work	500.00	500.00	0.00%
HA2407 · Sludge Disposal	19,000.00	19,000.00	0.00%
HA2408 · Maintenance Contracts	500.00	500.00	0.00%
HA2411 · Septic Disposal (Hauled Sewage)	1,500.00	1,500.00	0.00%
Total HA2400 · Outside Services	21,500.00	21,500.00	0.00%
HA2500 · Utilities			
HA2501 · Telephone	500.00	500.00	0.00%
HA2502 · Electric	24,000.00	24,000.00	0.00%
HA2506 · Natural Gas	500.00	500.00	0.00%
HA2507 · Alarm System Monitoring	500.00	500.00	0.00%
HA2508 · Water	200.00	200.00	0.00%
Total HA2500 · Utilities	25,700.00	25,700.00	0.00%
HA2700 · Equipment/Stationary			
HA2701 · New Purchases	1,000.00	1,000.00	0.00%
HA2702 · Maintenance/Repairs	1,000.00	1,000.00	0.00%
HA2704 · Spare Parts	1,000.00	1,000.00	0.00%
Total HA2700 · Equipment/Stationary	3,000.00	3,000.00	0.00%
HA2800 · Electrical Equipment/Repairs			
HA2801 · Meters, Instrum. Repairs & Cal	300.00	300.00	0.00%
HA2804 · Alarm System Repairs	100.00	100.00	0.00%
HA2805 · Miscellaneous Electrical Repair	100.00	100.00	0.00%
Total HA2800 · Electrical Equipment/Rep	500.00	500.00	0.00%
HA3400 · Special Accounts			
HA3403 · Payment to UW Operating (O&M)	48,500.00	49,500.00	2.02%
Total HA3400 · Special Accounts	48,500.00	49,500.00	2.02%
HA3500 · Taxes & Fees			
HA3505 · NJPDES Fees	6,000.00	6,000.00	0.00%
Total HA3500 · Taxes & Fees	6,000.00	6,000.00	0.00%
HA3800 · R&R Fund	6,000.00	6,000.00	0.00%
Total Expense	171,500.00	174,700.00	1.83%

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS

Solid Waste Facilities AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2015 **TO:** November 30, 2016

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Solid Waste Facilities FY2016 Operating Budget is proposed to be \$10,811,600, this is a reduction of \$16,400 or 0.15% in comparison to the Adopted FY2015 Budget of \$10,828,000. Attached is a line item budget detailing budget expenditures and explanation of increases/decreases. FY2016 Tipping Fees are anticipated to remain at FY2015 rates.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. *Anticipated revenues for FY2016 are outlined below:*

	<i>Proposed FY2016</i>	<i>Adopted FY2015</i>	
<i>Estimated Recycling Market Revenues</i>	<i>\$300,000</i>	<i>\$325,000</i>	<i>-8.3%</i>
<i>Recycling Disposal Charges</i>	<i>\$5,000</i>	<i>\$4,500</i>	<i>+11.1%</i>
<i>Class C (Brush, Leaves, Stumps)</i>	<i>\$115,500</i>	<i>\$133,000</i>	<i>-13.2%</i>
<i>Misc. Charges</i>	<i>\$188,100</i>	<i>\$107,000</i>	<i>+75.8%</i>
<i>Non-Operating Revenues</i>	<i>\$303,000</i>	<i>\$158,500</i>	<i>+91.2%</i>
<i>Tipping Fees</i>	<i>\$9,900,00</i>	<i>\$10,100,000</i>	<i>-1.9%</i>
<i>Total</i>	<i>\$10,811,600</i>	<i>\$10,828,000</i>	<i>0.15%</i>

Solid Waste Revenues tend to fluctuate significantly due to market conditions. Recycling markets, especially overseas markets are soft and not expected to rebound in 2016. The revenues for Class C Vegetative Waste is also weak in 2015/2016. Charges for disposal of "Misc. charges" including street sweepings remains strong due to regulations requiring municipalities/counties to sweep roads and clean catch basins on a regular basis. Non-Operating revenues anticipates an increase from \$158,500 in FY2015 to \$303,000 in FY2016 due to Landfill Gas collection sales. Tipping fee revenues are expected to decrease somewhat from \$10,100,000 projections in FY2015 to \$9,900,000 in FY2016 due to projected tonnage reductions for Waste Types ID13 and ID13C.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local/regional economy remains weak since the 2008 recession. Tonnage reductions have occurred due to a number of reasons including competition from private Transfer Stations, reduced consumer spending and housing foreclosures. This will be offset somewhat in 2016 with the SCMUA taking responsibility for Solid Waste Flow Control Enforcement. The Capital Budget Plan anticipates the expansion of the landfill to provide capacity beyond 2021, when the existing landfill reaches maximum capacity.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. Unrestricted Net Position is not being budgeted in FY2016.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are budgeted for County/Municipality transfer.

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. There is no anticipated deficit in FY2015 or FY2016.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. Attached are copies of the SCMUA current FY2015 and proposed FY2016 Rate Schedule. There are no proposed changes to FY2016 rates for solid waste disposal.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. A copy of the SCMUA most recent EMMA submission is attached.

**Solid Waste
OPERATING BUDGET
FY 2016**

	Budget	Budget	% Change
	FY 2015	FY 2016	
Expense			
LF0100 · Salaries			
LF0101 · Regular Time	1,577,000.00	1,670,000.00	5.57%
LF0102 · Overtime	200,000.00	200,000.00	0.00%
Total LF0100 · Salaries	1,777,000.00	1,870,000.00	4.97%
LF0300 · Benefits			
LF0301 · Social Security	136,000.00	140,000.00	2.86%
LF0302 · Public Employee Retirement Syst	160,000.00	169,000.00	5.33%
LF0303 · Prescription	111,000.00	120,000.00	7.50%
LF0304 · N.J.S.U.I.	1,000.00	1,000.00	0.00%
LF0305 · Health Insurance	583,000.00	605,000.00	3.64%
LF0306 · Dental Insurance	22,000.00	22,000.00	0.00%
LF0307 · Medicare Reimbursement	10,000.00	15,000.00	33.33%
Total LF0300 · Benefits	1,023,000.00	1,072,000.00	4.57%
LF0400 · Personal Services			
LF0401 · Physicals	500.00	1,000.00	50.00%
LF0402 · Medical Services	2,000.00	2,000.00	0.00%
LF0403 · Uniforms & Boots	18,000.00	18,000.00	0.00%
Total LF0400 · Personal Services	20,500.00	21,000.00	2.38%
LF0500 · Engineering & Consulting Serv			
LF0505 · Engineering/Management Consul.	65,000.00	65,000.00	0.00%
LF0506 · Other	15,000.00	15,000.00	0.00%
LF0507 · Cover Material Consultant	3,000.00	3,000.00	0.00%
LF0508 · Gas Management Consulting	18,000.00	28,000.00	35.71%
LF0509 · Title V Consulting	10,000.00	0.00	
Total LF0500 · Engineering & Consulting S	111,000.00	111,000.00	0.00%
LF0600 · Legal Fees			
LF0601 · General Counsel	10,000.00	5,000.00	-100.00%
LF0602 · Enforcement Counsel	10,000.00	20,000.00	50.00%
Total LF0600 · Legal Fees	20,000.00	25,000.00	20.00%
LF0700 · Indirect Administrative Expense	615,000.00	626,000.00	1.76%
LF0800 · Trustee and Banking Fees			
LF0801 · Trustee Fees	8,000.00	8,000.00	0.00%
Total LF0800 · Trustee and Banking Fees	8,000.00	8,000.00	0.00%

Proposed salary adjustments and hiring of a Solid Waste Enforcement Officer.

ANTICIPATED LEGAL COSTS FOR SOLID WASTE ENFORCEMENT PROGRAM

**Solid Waste
OPERATING BUDGET
FY 2016**

	Budget	Budget	% Change
	FY 2015	FY 2016	
LF0900 · Auditing/Accounting Fees			
LF0901 · Audit Fees	3,000.00	3,000.00	0.00%
Total LF0900 · Auditing/Accounting Fees	3,000.00	3,000.00	0.00%
LF1000 · Insurance			
LF1001 · Automobile	15,000.00	16,500.00	9.09%
LF1002 · General Liability	63,000.00	63,000.00	0.00%
LF1004 · Umbrella/Excess Liability	17,000.00	17,000.00	0.00%
LF1005 · Property/Equipment/Boiler & Mac	39,200.00	39,500.00	0.76%
LF1006 · Workmans Compensation	53,000.00	58,000.00	8.62%
LF1009 · Underground Storage Tank Liab.	5,000.00	5,000.00	0.00%
Total LF1000 · Insurance	192,200.00	199,000.00	3.42%
LF1100 · Seminar & Dues			
LF1101 · Membership Dues	2,000.00	1,500.00	-33.33%
LF1102 · Training Courses/Seminars	1,500.00	2,500.00	40.00%
LF1103 · License Fees	500.00	500.00	0.00%
Total LF1100 · Seminar & Dues	4,000.00	4,500.00	11.11%
LF1200 · Travel			
LF1201 · Meals	250.00	200.00	-25.00%
LF1202 · Lodging	250.00	500.00	50.00%
LF1205 · Mileage Reimbursement	500.00	300.00	-66.67%
Total LF1200 · Travel	1,000.00	1,000.00	0.00%
LF1300 · Office Supplies			
LF1301 · Supplies	6,000.00	6,000.00	0.00%
LF1302 · Equipment	2,500.00	2,500.00	0.00%
LF1303 · Maintenance	500.00	500.00	0.00%
Total LF1300 · Office Supplies	9,000.00	9,000.00	0.00%
LF1400 · Advertising			
LF1401 · Legal Ads	1,000.00	1,000.00	0.00%
LF1402 · Other	3,000.00	3,000.00	0.00%
LF1403 · Ads for Grants	1,000.00	1,000.00	0.00%
Total LF1400 · Advertising	5,000.00	5,000.00	0.00%

*ANTICIPATED TRAINING
FOR EQUIPMENT
MAINTENANCE.*

**Solid Waste
OPERATING BUDGET
FY 2016**

	Budget	Budget	% Change
	FY 2015	FY 2016	
LF1500 · Printing Expenses	6,000.00	6,000.00	0.00%
LF1700 · Publications/Subscriptions	500.00	500.00	0.00%
LF1800 · Custodial Supplies	9,000.00	9,000.00	0.00%
LF1900 · Safety Equipment			
LF1901 · Equipment	8,000.00	8,000.00	0.00%
LF1902 · Supplies	3,000.00	3,000.00	0.00%
LF1903 · Service	4,000.00	4,000.00	0.00%
Total LF1900 · Safety Equipment	15,000.00	15,000.00	0.00%
LF2000 · Operations Supplies			
LF2001 · Litter Nets	6,000.00	6,000.00	0.00%
LF2002 · Geotextiles	1,000.00	1,000.00	0.00%
LF2003 · Stone/Building Material	15,000.00	15,000.00	0.00%
LF2004 · Bags, Asbestos / Recycling	2,000.00	2,000.00	0.00%
LF2005 · Seed	1,000.00	1,000.00	0.00%
LF2009 · Ice Melt	3,500.00	3,500.00	0.00%
LF2010 · Road Salt	5,000.00	7,500.00	33.33%
LF2011 · Landfill Tarps	10,000.00	2,000.00	-400.00%
LF2012 · Landfill Cover Material	250,000.00	215,000.00	-16.28%
LF2013 · Mulch Colorant & Supplies	10,000.00	5,000.00	-100.00%
Total LF2000 · Chemicals & Woodchips	303,500.00	258,000.00	-17.64%
LF2200 · Plant Supplies			
LF2202 · Welding Supplies	4,000.00	4,000.00	0.00%
LF2203 · Metal, Steel & Aluminum	15,000.00	15,000.00	0.00%
LF2204 · Grease	1,000.00	1,000.00	0.00%
LF2205 · Small Items - Hardware	11,000.00	11,000.00	0.00%
LF2206 · Landscape Supply	3,000.00	3,000.00	0.00%
Total LF2200 · Plant Supplies	34,000.00	34,000.00	0.00%
LF2300 · Testing Services			
LF2304 · Leachate	4,000.00	4,000.00	0.00%
LF2305 · Groundwater & Monitoring Wells	23,000.00	23,000.00	0.00%
LF2306 · Stream	1,000.00	1,000.00	0.00%
LF2307 · Various Other Testing	2,000.00	2,000.00	0.00%
Total LF2300 · Testing Services	30,000.00	30,000.00	0.00%

*REDUCED EXPENSES
FOR LANDFILL
COVER MATERIAL
"ON HAND" SUPPLIES
SUFFICIENT*

**Solid Waste
OPERATING BUDGET
FY 2016**

	Budget	Budget	% Change
	FY 2015	FY 2016	
LF2400 · Outside Services			
LF2401 · Scale Calibration and Repair	8,000.00	8,000.00	0.00%
LF2402 · Outside Repair Work	38,000.00	38,000.00	0.00%
LF2403 · Household Harzardous Waste Disp	45,000.00	45,000.00	0.00%
LF2404 · Highlands Workshop Personnel	60,000.00	0.00	
LF2407 · SWAP/SLAP	26,000.00	26,000.00	0.00%
LF2408 · Maintenance Contracts	14,000.00	14,000.00	0.00%
LF2409 · Small Repairs	2,500.00	2,500.00	0.00%
LF2411 · Septic Disposal	1,000.00	1,000.00	0.00%
LF2412 · Confined Space/Pump Station Mai	5,000.00	2,000.00	-150.00%
LF2413 · Pavement & Maintenance/Striping	5,000.00	5,000.00	0.00%
LF2414 · Maint. Contract (HVAC)	8,000.00	8,000.00	0.00%
LF2415 · Licensed Operator (SIU/M20)	1,700.00	0.00	
Total LF2400 · Outside Services	214,200.00	149,500.00	-43.28%
LF2500 · Utilities			
LF2501 · Telephone	14,000.00	16,500.00	15.15%
LF2502 · Electric	110,000.00	110,000.00	0.00%
LF2503 · Heating Fuel	71,000.00	65,000.00	-9.23%
LF2504 · Diesel Fuel	270,000.00	225,000.00	-20.00%
LF2505 · Gasoline	20,000.00	12,000.00	-66.67%
LF2506 · Propane	1,000.00	500.00	-100.00%
LF2507 · Alarm System Monitoring	2,000.00	2,000.00	0.00%
LF2508 · Water	3,000.00	4,000.00	25.00%
Total LF2500 · Utilities	491,000.00	435,000.00	-12.87%
LF2600 · Motor Vehicles & Equipment			
LF2601 · Lease Purchases	214,000.00	330,000.00	35.15%
LF2602 · Maintenance & Repairs	125,000.00	135,000.00	7.41%
LF2603 · Rentals	20,000.00	20,000.00	0.00%
LF2604 · Oil & Lube	20,000.00	20,000.00	0.00%
LF2605 · Registration	8,000.00	8,000.00	0.00%
LF2606 · Tires	25,000.00	30,000.00	16.67%
Total LF2600 · Motor Vehicles & Equipmen	412,000.00	543,000.00	24.13%
LF2700 · Equipment/Stationary			
LF2701 · New Purchases	5,000.00	15,000.00	66.67%
LF2702 · Maintenance/Repairs	14,000.00	14,000.00	0.00%
LF2703 · Rentals	2,000.00	2,000.00	0.00%
LF2704 · Spare Parts	2,000.00	2,000.00	0.00%
Total LF2700 · Equipment/Stationary	23,000.00	33,000.00	30.30%

Highlands Workshop program phased out by Easter Seals

Fuel costs reduced due to economic conditions

Anticipate lease of New Cat. 973 Loader

Purchase of Solid Waste Enforcement Vehicle in 2016

Solid Waste
OPERATING BUDGET
 FY 2016

	Budget	Budget	% Change
	FY 2015	FY 2016	
LF2800 · Electrical Equipment/Repairs			
LF2801 · Meters, Instrum. Repairs & Cal	6,000.00	6,000.00	0.00%
LF2802 · Computer Hardware R&R	2,000.00	4,000.00	50.00%
LF2803 · Terminal, Circuit Board R&R	1,000.00	1,000.00	0.00%
LF2804 · Alarm System Repairs	1,000.00	5,000.00	80.00%
LF2805 · Miscellaneous Electrical Repair	10,000.00	30,000.00	66.67%
Total LF2800 · Electrical Equipment/Repair	20,000.00	46,000.00	56.52%
LF3000 · Leachate Disposal	225,000.00	225,000.00	0.00%
LF3100 · Recycling Services			
LF3102 · Payment to Recycle Market	20,000.00	20,000.00	0.00%
LF3103 · Payment to Bulky Waste Market	8,000.00	8,000.00	0.00%
LF3104 · Shared Services Fiber Rebate	8,000.00	8,000.00	0.00%
Total LF3100 · Recycling Services	36,000.00	36,000.00	0.00%
LF3200 · Landfill Gas System			
LF3201 · Maintenance & Repair Gas System	7,600.00	7,600.00	0.00%
LF3202 · Maintenance & Repair Flare	5,000.00	5,000.00	0.00%
LF3203 · Instrumentation Repair/Calibrat	5,000.00	5,000.00	0.00%
LF3204 · Outside Repair/Service	15,000.00	15,000.00	0.00%
LF3205 · Flare Testing/Sampling & Analys	3,000.00	3,000.00	0.00%
LF3206 · Surface Emission Monitoring	23,000.00	23,000.00	0.00%
LF3207 · Upgrades	10,000.00	20,000.00	50.00%
Total LF3200 · Landfill Gas System	68,600.00	78,600.00	12.72%
LF3400 · Special Accounts			
LF3402 · Host Community Benefits	505,000.00	475,000.00	-6.32%
LF3404 · Post Closure Care Fund	101,000.00	95,000.00	-6.32%
LF3405 · Spes. Accts.	101,000.00	0.00	
Total LF3400 · Special Accounts	707,000.00	570,000.00	-24.04%
LF3500 · Taxes & Fees			
LF3501 · NJ Recycling Tax	303,000.00	285,000.00	-6.32%
LF3504 · San. LF Closure & Cont. Tax	50,500.00	47,500.00	-6.32%
LF3505 · NJPDES Fees	60,000.00	60,000.00	0.00%
LF3507 · NJDEP Admin. Review & Inspectio	20,000.00	20,000.00	0.00%
LF3508 · Misc. Fees	5,000.00	5,000.00	0.00%
LF3509 · Solid Waste Facility Fees	16,000.00	16,000.00	0.00%
Total LF3500 · Taxes & Fees	454,500.00	433,500.00	-4.84%

Necessary Upgrade to Leachate Pump Station

Necessary Upgrades to LFG System Wells and Equipment

Anticipate reduced tonnage for 2016

11 11
 12 11
 11 11

**Solid Waste
OPERATING BUDGET
FY 2016**

	Budget	Budget	% Change
	FY 2015	FY 2016	
LF3600 · Capital Outlays - FY 2015			
LF3601 · Replacement Rolloff Containers	15,000.00		
LF3602 · Emergency Backup Power Upgrades	50,000.00		
LF3603 · BWRP Knee Wall Replacement	30,000.00		
LF3604 · Landfill Gas System Blower	25,000.00		
Total LF3600 · Capital Outlays	120,000.00	0.00	
LF3600 · Capital Outlays - FY 2016			
LF3601 · Replacement Rolloff Containers		15,000.00	
LF3602 · Concrete Repairs to RC/RC and Maint.		25,000.00	
LF3603 · Various Paving Projects		45,000.00	
LF3604 · BWRP Knee Wall Replacement		30,000.00	
LF3605 · Replacement Deck for Truck Scale		70,000.00	
Total LF3600 · Capital Outlays	0.00	185,000.00	+16.8%
LF3700 · Debt Service			
LF3701 · Bond Principal	2,373,000.00	2,373,000.00	0.00%
LF3702 · Bond Interest	1,397,000.00	1,397,000.00	0.00%
Total LF3700 · Debt Service	3,770,000.00	3,770,000.00	0.00%
LF3800 · Renewal & Replacement Fund	100,000.00	0.00	-100%
Total Expense	10,828,000.00	10,811,600.00	-0.15%

Expenses related to facility improvements for truck scales replacement

No contribution to RR Acct. for FY 2016 will revisit in 2017

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS

Wallkill River Watershed Program

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2015 TO: November 30, 2016

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Wallkill Watershed Program's proposed FY2016 Budget is \$476,000 which is an increase of \$28,500 or 6.4% increase over FY2015 adopted Budget of \$447,700. The major increase in the FY2016 Budget is an increase in the salaries line item of \$20,000, which is related to the hiring of a new Public Education & Outreach Coordinator. Attached is a complete line item budget for reference.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. All revenues for the Proposed FY2016 Budget are related to NDJEP 319(h) Grant funds which provide the majority of the Watershed Program's Fund Source.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local/regional economy remains sluggish, however the Wallkill Watershed Program funding sources are not revenue generated.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. Unrestricted Net Position funding is not utilized in the FY2016 Budget Proposal.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are proposed to be transferred to a County/Municipality.

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. There is no current or projected budgetary deficit for the Walkkill Watershed Program.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. A copy of the SCMUA's current FY2015 and proposed FY2016 Rate Schedule is attached.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. The SCMUA's most recent EMMA submittal is attached.

Walkill Watershed
OPERATING BUDGET
 FY 2015

	Budget	Budget	% Change
	FY 2015	FY 2016	
Expense			
WW0100 · Salaries			
WW0101 · Regular Time	175,000.00	195,000.00	10.26%
WW0102 · Overtime	5,000.00	5,000.00	0.00%
Total WW0100 · Salaries	180,000.00	200,000.00	10.00%
WW0300 · Benefits			
WW0301 · Social Security	13,500.00	15,500.00	12.90%
WW0302 · Public Employee Retirement Syst	14,500.00	18,000.00	19.44%
WW0303 · Prescription	12,000.00	13,000.00	7.69%
WW0304 · N.J.S.U.I.	100.00	100.00	0.00%
WW0305 · Health Insurance	48,000.00	51,000.00	5.88%
WW0306 · Dental Insurance	2,000.00	2,000.00	0.00%
Total WW0300 · Benefits	90,100.00	99,600.00	9.54%
WW0400 · Personal Services			
WW0401 · Physicals	0.00	0.00	
WW0402 · Medical Services	200.00	200.00	0.00%
WW0403 · Uniforms & Boots	300.00	300.00	0.00%
Total WW0400 · Personal Services	500.00	500.00	0.00%
WW0500 · Engineering & Consulting Serv			
WW0503 · Computer Consultant	2,500.00	0.00	
WW0505 · Engineering/Management Consul.	35,000.00	35,000.00	0.00%
Total WW0500 · Engineering & Consulting	37,500.00	35,000.00	-7.14%
WW0600 · Legal Fees			
WW0601 · General Counsel	1,000.00	1,000.00	0.00%
WW0602 · Special Counsel	1,000.00	1,000.00	0.00%
Total WW0600 · Legal Fees	2,000.00	2,000.00	0.00%
WW0700 · Indirect Administrative Expens	20,000.00	24,000.00	16.67%
WW1000 · Insurance			
WW1006 · Workmans Compensation	6,000.00	6,000.00	0.00%
WW1010 · Environmental Imparment/Polluti	500.00	500.00	0.00%
Total WW1000 · Insurance	6,500.00	6,500.00	0.00%
WW1100 · Seminar & Dues			
WW1101 · Membership Dues	300.00	300.00	0.00%
WW1102 · Training Courses/Seminars	500.00	500.00	0.00%
Total WW1100 · Seminar & Dues	800.00	800.00	0.00%

*IN LATE 2015 Program
 Hired P/T Educational
 + Outreach Employee*

*Increase due to
 project of increases
 in NJSHB costs*

*CONTRIBUTION TO CENTRAL
 SERVICES FOR ADMIN.
 SUPPORT*

Walkill Watershed
OPERATING BUDGET
 FY 2015

	Budget FY 2015	Budget FY 2016	% Change
WW1200 · Travel			
WW1201 · Meals	200 00	200 00	0 00%
WW1202 · Lodging	200 00	200 00	0 00%
WW1205 · Mileage Reimbursement	2,500 00	2,500 00	0 00%
Total WW1200 · Travel	2,900 00	2,900 00	0 00%
WW1300 · Office Supplies			
WW1301 · Supplies	1,000 00	1,000 00	0 00%
WW1302 · Equipment	2,000 00	2,000 00	0 00%
Total WW1300 · Office Supplies	3,000 00	3,000 00	0 00%
WW1400 · Advertising			
WW1401 · Legal Ads	300 00	300 00	0 00%
Total WW1400 · Advertising	300 00	300 00	0 00%
WW1500 · Printing Expenses	1,000 00	1,000 00	0 00%
WW1700 · Publications/Subscriptions	200 00	200 00	0 00%
WW1900 · Safety Equipment			
WW1902 · Supplies	1,500 00	1,500 00	0 00%
Total WW1900 · Safety Equipment	1,500 00	1,500 00	0 00%
WW2300 · Testing Services			
WW2306 · Stream	2,500 00	2,500 00	0 00%
WW2307 · Various Other Testing	1,000 00	1,000 00	0 00%
Total WW2300 · Testing Services	3,500 00	3,500 00	0 00%
WW2400 · Outside Services			
WW2408 · Maintenance Contracts	400 00	400 00	0 00%
Total WW2400 · Outside Services	400 00	400 00	0 00%
WW3600 · Capital Outlays			
WW3601 · Riparian Resoration Project	7,500 00	15,000 00	50 00%
WW3602 · Stormwater MBP Project	40,000 00	30,000 00	-33 33%
WW3603 · Agricultural BMP Project	50,000 00	50,000 00	0 00%
Total WW3600 · Capital Outlays	97,500 00	95,000 00	-2 63%
Total Expense	447,700 00	476,200 00	5 98%

AUTHORITY CONTACT INFORMATION 2016

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:			
Federal ID Number:	22-227-2173		
Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998 x 103	Fax:	973-579-7819

Preparer's Name:	John Hatzelis		
Preparer's Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998x103	Fax:	973-579-7819
E-mail:	jhatzelis@scmua.org		

Chief Executive Officer:	Same as above.		
Phone: (ext.)		Fax:	
E-mail:			

Chief Financial Officer:	Same as above.		
Phone: (ext.)		Fax:	
E-mail:			

Name of Auditor:	Paul Cuva, P.A.		
Name of Firm:	Ferraioli, Wielkottz, Cerullo & Cuva, P.A.		
Address:	401 Wanaque Avenue		
City, State, Zip:	Pompton Lakes	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-827-6631
E-mail:	paulcuv@optonline.net		

AUTHORITY INFORMATIONAL QUESTIONNAIRE

Sussex County Municipal Utilities Authority

(Name)

FISCAL YEAR: FROM: December 1, 2015 TO: November 30, 2016

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 77
- 2) Provide the amount of total salaries and wages for calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: \$3,479,601
- 3) Provide the number of regular voting members of the governing body: 7
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? NO *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year because of their relationship with the Authority file the form as required? YES *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? NO *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? NO
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? NO
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NO*If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. NO *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. **Answer: 1, 2, & 3.**
- 11) Did the Authority pay for meals or catering during the current fiscal year? NO *If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? YES
If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.- Only for attendance at NJ League of Municipalities Conven.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- First class or charter travel NO
 - Travel for companions NO
 - Tax indemnification and gross-up payments NO
 - Discretionary spending account NO
 - Housing allowance or residence for personal use NO
 - Payments for business use of personal residence NO
 - Vehicle/auto allowance or vehicle for personal use NO
 - Health or social club dues or initiation fees NO
 - Personal services (i.e.: maid, chauffeur, chef) NO
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? YES *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses.*
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? NO *If "yes," attach explanation including amount paid.*
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? NO *If "yes," attach explanation including amount paid.*
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? YES *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? NO *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? NO *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

Sussex County Municipal Utilities Authority

(Name)

FISCAL YEAR: FROM: December 1, 2015 **TO:** November 30, 2016

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2016, the calendar year 2014 W-2 and 1099 should be used (60 days prior to start of budget year is November 1, 2015, with 2014 being the most recent calendar year ended), and for fiscal years ending June 30, 2017, the calendar year 2015 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2016, with 2015 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Sussex County Municipal Utilities Authority
to November 30, 2016

For the Period #####

Reportable Compensation from
Authority (W-2/1099)

Name	Title	Position		Highest Compensated Employee		Average Hours per Week Dedicated to Position	Commissioner	Officer	Key Employee	Former	Reportable Compensation from Authority (W-2/1099)		Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities				
		Commissioner	Officer	Key Employee	Former						Base Salary/Stipend	Bonus													
1 John Hatzelis	Administrator					40 +	x					\$140,000	\$ -	\$ -	\$140,000	Statewide JIF	Commissioner	1 mtg/month	\$ -	\$ -	\$ -	\$ 140,000			
2 Thomas Varro	Chief Engineer					40 +	x					126,700	-	-	126,700								126,700		
3 John Nugent	Superintendent					40 +	x					114,600	-	-	114,600								114,600		
4 Ronald Pettilo	Chairman					2 mtgs/month	x	x				3,700	-	-	3,700								3,700		
5 Thomas Madsen	Vice Chairman					2 mtgs/month	x	x				3,700	-	-	3,700								3,700		
6 Andera Cocoula	Secretary					2 mtgs/month	x	x				3,700	-	-	3,700		Sen. Oroho						3,700		
7 Wayne Dietz	Commissioner					2 mtgs/month	x					3,700	-	-	3,700								3,700		
8 Karl Meyer	Commissioner					2 mtgs/month	x					3,700	-	-	3,700								3,700		
9 John Sowden	Commissioner					2 mtgs/month	x					3,700	-	-	3,700		McKeown School						3,700		
10 John Drake	Commissioner					2 mtgs/month	x					3,700	-	-	3,700								3,700		
11												-	-	-	-								-		
12												-	-	-	-								-		
13												-	-	-	-								-		
14												-	-	-	-								-		
15												-	-	-	-								-		
Total:											\$ 407,200	\$ -	\$ -	\$ -	\$ -	\$ 407,200						\$ -	\$ -	\$ -	\$ 407,200

Enter the total number of employees/ independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed:

Schedule of Health Benefits - Detailed Cost Analysis

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

	# of Covered Members (Medical & Rx) Proposed Budget		Annual Cost Estimate per Employee Proposed Budget		# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
	Budget	Estimate	Budget	Estimate					
Active Employees - Health Benefits - Annual Cost									
Single Coverage	20	\$ 12,967	\$ 259,340	20	\$ 12,233	\$ 244,660	\$ 14,680	6.0%	
Parent & Child	2	20,124	40,248	2	18,985	37,970	2,278	6.0%	
Employee & Spouse (or Partner)	6	23,815	142,890	6	22,467	134,802	8,088	6.0%	
Family	18	32,031	576,558	18	30,218	543,924	32,634	6.0%	
Employee Cost Sharing Contribution (enter as negative -)			174,775			174,775	-	0.0%	
Subtotal	46		1,193,811	46		1,136,131	57,680	5.1%	
Commissioners - Health Benefits - Annual Cost									
Single Coverage	0	-	-	0	-	-	-	#DIV/0!	
Parent & Child	0	-	-	0	-	-	-	#DIV/0!	
Employee & Spouse (or Partner)	1	24,276	24,276	1	22,902	22,902	1,374	6.0%	
Family	3	32,356	97,068	3	30,803	92,409	4,659	5.0%	
Employee Cost Sharing Contribution (enter as negative -)			3,574			3,574	-	0.0%	
Subtotal	4		124,918	4		118,885	6,033	5.1%	
Retirees - Health Benefits - Annual Cost									
Single Coverage	6	9,500	57,000	6	9,000	54,000	3,000	5.6%	
Parent & Child	1	20,800	20,800	1	19,500	19,500	1,300	6.7%	
Employee & Spouse (or Partner)	14	17,150	240,100	14	16,142	225,988	14,112	6.2%	
Family	2	32,500	65,000	2	30,415	60,830	4,170	6.9%	
Employee Cost Sharing Contribution (enter as negative -)			-			-	-	#DIV/0!	
Subtotal	23		382,900	23		360,318	22,582	6.3%	
GRAND TOTAL	73		\$ 1,701,629	73		\$ 1,615,334	\$ 86,295	5.3%	

Is medical coverage provided by the SHBP (Yes or No)? yes

Is prescription drug coverage provided by the SHBP (Yes or No)? yes

Schedule of Accumulated Liability for Compensated Absences

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

Complete the below table for the Authority's accrued liability for compensated absences.

*Legal Basis for Benefit
(check applicable items)*

	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
Individuals Eligible for Benefit					
Total SCMUA Compensated Absences		\$ 770,542	x		
Total liability for accumulated compensated absences at beginning of current year		\$ 770,542			

Schedule of Shared Service Agreements

Sussex County Municipal Utilities Authority

November 30, 2016

December 1, 2015

For the Period

to

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement		Amount to be	
				Effective Date	End Date	Received by/	Paid from Authority
Sussex County Sheriff's Dept	SCMUA	Sheriff's Labor Assistance Program				\$	26,000
SCMUA	Various Municipalities	Certified Recycling Coordinator		Annually		\$	1,500
Morris County Coop Purchasing	SCMUA	Coop. Purchasing Program		Annually			
County of Sussex	SCMUA	GIS Services					
SCMUA	County of Sussex	Solid Waste Enforcement Services		7/1/2015	6/1/2020		
Statewide Ins. Fund	SCMUA	Insurance		1/1/2014	12/31/2016		
SCMUA	Hardyston Twp.	Recycling Marketing Program		Annually		\$	8,000
SCMUA	County of Sussex	Sewage Treatment Plant O&M		1/1/1985	n/a	\$	34,000

2016 AUTHORITY BUDGET

Financial Schedules Section

2016 Budget Summary

Sussex County Municipal Utilities Authority
 For the Period December 1, 2015 to November 30, 2016

	Proposed Budget					Total All Operations	Adopted Budget Total All Operations	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility					n/a
REVENUES										
Total Operating Revenues	\$ 6,163,500	\$ 169,035	\$ 10,508,600	\$ -	\$ -	\$ 16,841,135	\$ 16,892,668	\$ (51,533)	-0.3%	
Total Non-Operating Revenues	28,000	665	303,000	476,200	-	807,865	631,532	176,333	27.9%	
Total Anticipated Revenues	6,191,500	169,700	10,811,600	476,200	-	17,649,000	17,524,200	124,800	0.7%	
APPROPRIATIONS										
Total Administration	626,000	47,000	626,000	24,000	-	1,323,000	1,295,000	28,000	2.2%	
Total Cost of Providing Services	3,327,500	121,700	6,415,600	452,200	-	10,317,000	10,063,200	253,800	2.5%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,354,000	-	2,373,000	-	-	3,727,000	3,730,000	(3,000)	-0.1%	
Total Operating Appropriations	5,307,500	168,700	9,414,600	476,200	-	15,367,000	15,088,200	278,800	1.8%	
Total Interest Payments on Debt	634,000	-	1,397,000	-	-	2,031,000	1,982,000	49,000	2.5%	
Total Other Non-Operating Appropriations	250,000	6,000	-	-	-	256,000	457,000	(201,000)	-44.0%	
Total Non-Operating Appropriations	884,000	6,000	1,397,000	-	-	2,287,000	2,439,000	(152,000)	-6.2%	
Accumulated Deficit	-	-	-	-	-	-	-	-	#DIV/0!	
Total Appropriations and Accumulated Deficit	6,191,500	174,700	10,811,600	476,200	-	17,654,000	17,527,200	126,800	0.7%	
Less: Total Unrestricted Net Position Utilized	-	5,000	-	-	-	5,000	3,000	2,000	66.7%	
Net Total Appropriations	6,191,500	169,700	10,811,600	476,200	-	17,649,000	17,524,200	124,800	0.7%	
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!	

2016 Revenue Schedule

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

	<i>Proposed Budget</i>						<i>Adopted Budget</i>		
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a Total All Operations	Total All Operations	All Operations	All Operations
							Proposed vs. Adopted	Proposed vs. Adopted	Proposed vs. Adopted
OPERATING REVENUES									
<i>Service Charges</i>									
Residential	\$ 4,979,500	\$ 169,035	\$ 10,508,600	\$ -	\$ -	\$ 15,657,135	\$ 15,789,668	\$ (132,533)	-0.8%
Business/Commercial	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	#DIV/0!
Total Service Charges	4,979,500	169,035	10,508,600	-	-	15,657,135	15,789,668	(132,533)	-0.8%
<i>Connection Fees</i>									
Residential	225,000	-	-	-	-	225,000	250,000	(25,000)	-10.0%
Business/Commercial	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	#DIV/0!
Total Connection Fees	225,000	-	-	-	-	225,000	250,000	(25,000)	-10.0%
<i>Parking Fees</i>									
Meters	-	-	-	-	-	-	-	-	#DIV/0!
Permits	-	-	-	-	-	-	-	-	#DIV/0!
Fines/Penalties	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>									
UW Other Operating Revenue	959,000	-	-	-	-	959,000	853,000	106,000	12.4%
Other Revenue 2	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 3	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 4	-	-	-	-	-	-	-	-	#DIV/0!
Total Other Revenue	959,000	-	-	-	-	959,000	853,000	106,000	12.4%
Total Operating Revenues	6,163,500	169,035	10,508,600	-	-	16,841,135	16,892,668	(51,533)	-0.3%
NON-OPERATING REVENUES									
<i>Grants & Entitlements (List)</i>									
NJDEP - REA Entitlement	-	-	114,000	-	-	114,000	110,000	4,000	3.6%
NJDEP 319	-	-	-	476,200	-	476,200	447,700	28,500	6.4%
Grant #3	-	-	-	-	-	-	-	-	#DIV/0!
Grant #4	-	-	-	-	-	-	-	-	#DIV/0!
Total Grants & Entitlements	-	-	114,000	476,200	-	590,200	557,700	32,500	5.8%
<i>Local Subsidies & Donations (List)</i>									
Local Subsidy #1	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #2	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #3	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #4	-	-	-	-	-	-	-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits</i>									
Investments	12,000	665	-	-	-	12,665	10,832	1,833	16.9%
Security Deposits	-	-	-	-	-	-	-	-	#DIV/0!
Penalties	-	-	-	-	-	-	-	-	#DIV/0!
Other Investments	-	-	-	-	-	-	-	-	#DIV/0!
Total Interest	12,000	665	-	-	-	12,665	10,832	1,833	16.9%
<i>Other Non-Operating Revenues (List)</i>									
UW Non-Operating Revenues	16,000	-	-	-	-	16,000	16,000	-	0.0%
SW Non- Operating Revenues	-	-	189,000	-	-	189,000	47,000	142,000	302.1%
Other Non-Operating #3	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating #4	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating Revenues	16,000	-	189,000	-	-	205,000	63,000	142,000	225.4%
Total Non-Operating Revenues	28,000	665	303,000	476,200	-	807,865	631,532	176,333	27.9%
TOTAL ANTICIPATED REVENUES	\$ 6,191,500	\$ 169,700	\$ 10,811,600	\$ 476,200	\$ -	\$ 17,649,000	\$ 17,524,200	\$ 124,800	0.7%

2015 Adopted Revenue Schedule

Sussex County Municipal Utilities Authority

	<i>Adopted Budget</i>						
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations
OPERATING REVENUES							
<i>Service Charges</i>							
Residential	\$ 4,952,000	\$ 168,168	\$ 10,669,500	\$ -	\$ -	-	\$ 15,789,668
Business/Commercial	-	-	-	-	-	-	-
Industrial	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Service Charges	4,952,000	168,168	10,669,500	-	-	-	15,789,668
<i>Connection Fees</i>							
Residential	250,000	-	-	-	-	-	250,000
Business/Commercial	-	-	-	-	-	-	-
Industrial	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Connection Fees	250,000	-	-	-	-	-	250,000
<i>Parking Fees</i>							
Meters	-	-	-	-	-	-	-
Permits	-	-	-	-	-	-	-
Fines/Penalties	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
UW Other Operating Revenue	853,000	-	-	-	-	-	853,000
Other Revenue 2	-	-	-	-	-	-	-
Other Revenue 3	-	-	-	-	-	-	-
Other Revenue 4	-	-	-	-	-	-	-
Total Other Revenue	853,000	-	-	-	-	-	853,000
Total Operating Revenues	6,055,000	168,168	10,669,500	-	-	-	16,892,668
NON-OPERATING REVENUES							
<i>Grants & Entitlements (List)</i>							
NJDEP - REA Entitlement	-	-	110,000	-	-	-	110,000
NJDEP - 319(h) Grants	-	-	-	447,700	-	-	447,700
Grant #3	-	-	-	-	-	-	-
Grant #4	-	-	-	-	-	-	-
Total Grants & Entitlements	-	-	110,000	447,700	-	-	557,700
<i>Local Subsidies & Donations (List)</i>							
Local Subsidy #1	-	-	-	-	-	-	-
Local Subsidy #2	-	-	-	-	-	-	-
Local Subsidy #3	-	-	-	-	-	-	-
Local Subsidy #4	-	-	-	-	-	-	-
Total Local Subsidies & Donations	-	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Investments	9,000	332	1,500	-	-	-	10,832
Security Deposits	-	-	-	-	-	-	-
Penalties	-	-	-	-	-	-	-
Other Investments	-	-	-	-	-	-	-
Total Interest	9,000	332	1,500	-	-	-	10,832
<i>Other Non-Operating Revenues (List)</i>							
UW Non-Operating Revenues	16,000	-	-	-	-	-	16,000
SW Non-Operating Revenues	-	-	47,000	-	-	-	47,000
Other Non-Operating Revenues	-	-	-	-	-	-	-
Total Non-Operating Revenues	16,000	-	47,000	-	-	-	63,000
Total Non-Operating Revenues	25,000	332	158,500	447,700	-	-	631,532
TOTAL ANTICIPATED REVENUES	\$ 6,080,000	\$ 168,500	\$ 10,828,000	\$ 447,700	\$ -	\$ -	\$ 17,524,200

2016 Appropriations Schedule

Sussex County Municipal Utilities Authority
 For the Period December 1, 2015 to November 30, 2016

	Proposed Budget						Adopted Budget			\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Upper Walkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations	Total All Operations	All Operations			All Operations
OPERATING APPROPRIATIONS												
<i>Administration - Personnel</i>												
Salary & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!	
Fringe Benefits	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Total Administration - Personnel	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
<i>Administration - Other (List)</i>												
Other Admin Expense #1	626,000	47,000	626,000	24,000	-	-	1,323,000	1,295,000	28,000	-	2.2%	
Other Admin Expense #2	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Admin Expense #3	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Admin Expense #4	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Miscellaneous Administration*	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Total Administration - Other	626,000	47,000	626,000	24,000	-	-	1,323,000	1,295,000	28,000	-	2.2%	
Total Administration	626,000	47,000	626,000	24,000	-	-	1,323,000	1,295,000	28,000	-	2.2%	
<i>Cost of Providing Services - Personnel</i>												
Salary & Wages	979,000	-	1,870,000	200,000	-	-	3,049,000	2,936,000	113,000	-	3.8%	
Fringe Benefits	629,000	-	1,072,000	99,600	-	-	1,800,600	1,731,600	69,000	-	4.0%	
Total COPS - Personnel	1,608,000	-	2,942,000	299,600	-	-	4,849,600	4,667,600	182,000	-	3.9%	
<i>Cost of Providing Services - Other (List)</i>												
Other COPS Expense #1	1,718,500	121,700	3,473,600	152,600	-	-	5,466,400	5,395,600	70,800	-	1.3%	
Other COPS Expense #2	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other COPS Expense #3	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other COPS Expense #4	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Miscellaneous COPS*	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Total COPS - Other	1,718,500	121,700	3,473,600	152,600	-	-	5,466,400	5,395,600	70,800	-	1.3%	
Total Cost of Providing Services	3,326,500	121,700	6,415,600	452,200	-	-	10,316,000	10,063,200	252,800	-	2.5%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,354,000	-	2,373,000	-	-	-	3,727,000	3,730,000	(3,000)	-	-0.1%	
Total Operating Appropriations	5,306,500	168,700	9,414,600	476,200	-	-	15,366,000	15,088,200	277,800	-	1.8%	
NON-OPERATING APPROPRIATIONS												
Total Interest Payments on Debt	635,000	-	1,397,000	-	-	-	2,032,000	1,982,000	50,000	-	2.5%	
Operations & Maintenance Reserve	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Renewal & Replacement Reserve	100,000	6,000	-	-	-	-	106,000	206,000	(100,000)	-	-48.5%	
Municipality/County Appropriation	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Reserves	150,000	-	-	-	-	-	150,000	251,000	(101,000)	-	-40.2%	
Total Non-Operating Appropriations	885,000	6,000	1,397,000	-	-	-	2,288,000	2,439,000	(151,000)	-	-6.2%	
TOTAL APPROPRIATIONS	6,191,500	174,700	10,811,600	476,200	-	-	17,654,000	17,527,200	126,800	-	0.7%	
ACCUMULATED DEFICIT												
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	6,191,500	174,700	10,811,600	476,200	-	-	17,654,000	17,527,200	126,800	-	0.7%	
UNRESTRICTED NET POSITION UTILIZED												
Municipality/County Appropriation	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other	-	5,000	-	-	-	-	5,000	-	5,000	-	#DIV/0!	
Total Unrestricted Net Position Utilized	-	5,000	-	-	-	-	5,000	-	5,000	-	#DIV/0!	
TOTAL NET APPROPRIATIONS	\$ 6,191,500	\$ 169,700	\$ 10,811,600	\$ 476,200	\$ -	\$ -	\$ 17,649,000	\$ 17,527,200	\$ 121,800	-	0.7%	

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 265,325.00 \$ 8,435.00 \$ 470,730.00 \$ 23,810.00 \$ - \$ - \$ 768,300.00

2015 Adopted Appropriations Schedule

Sussex County Municipal Utilities Authority

	<i>Adopted Budget</i>						
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
Fringe Benefits	-	-	-	-	-	-	-
Total Administration - Personnel	-	-	-	-	-	-	-
<i>Administration - Other (List)</i>							
Other Admin Expense #1	615,000	45,000	615,000	20,000	-	-	1,295,000
Other Admin Expense #2	-	-	-	-	-	-	-
Other Admin Expense #3	-	-	-	-	-	-	-
Other Admin Expense #4	-	-	-	-	-	-	-
Miscellaneous Administration*	-	-	-	-	-	-	-
Total Administration - Other	615,000	45,000	615,000	20,000	-	-	1,295,000
Total Administration	615,000	45,000	615,000	20,000	-	-	1,295,000
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	979,000	-	1,777,000	180,000	-	-	2,936,000
Fringe Benefits	618,500	-	1,023,000	90,100	-	-	1,731,600
Total COPS - Personnel	1,597,500	-	2,800,000	270,100	-	-	4,667,600
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense #1	1,675,500	120,500	3,442,000	157,600	-	-	5,395,600
Other COPS Expense #2	-	-	-	-	-	-	-
Other COPS Expense #3	-	-	-	-	-	-	-
Other COPS Expense #4	-	-	-	-	-	-	-
Miscellaneous COPS*	-	-	-	-	-	-	-
Total COPS - Other	1,675,500	120,500	3,442,000	157,600	-	-	5,395,600
Total Cost of Providing Services	3,273,000	120,500	6,242,000	427,700	-	-	10,063,200
Total Principal Payments on Debt Service in Lieu of Depreciation	1,357,000	-	2,373,000	-	-	-	3,730,000
Total Operating Appropriations	5,245,000	165,500	9,230,000	447,700	-	-	15,088,200
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	585,000	-	1,397,000	-	-	-	1,982,000
Operations & Maintenance Reserve	-	-	-	-	-	-	-
Renewal & Replacement Reserve	100,000	6,000	100,000	-	-	-	206,000
Municipality/County Appropriation	-	-	-	-	-	-	-
Other Reserves	150,000	-	101,000	-	-	-	251,000
Total Non-Operating Appropriations	835,000	6,000	1,598,000	-	-	-	2,439,000
TOTAL APPROPRIATIONS	6,080,000	171,500	10,828,000	447,700	-	-	17,527,200
ACCUMULATED DEFICIT							
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	6,080,000	171,500	10,828,000	447,700	-	-	17,527,200
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other	-	3,000	-	-	-	-	3,000
Total Unrestricted Net Position Utilized	-	3,000	-	-	-	-	3,000
TOTAL NET APPROPRIATIONS	\$ 6,080,000	\$ 168,500	\$ 10,828,000	\$ 447,700	\$ -	\$ -	\$ 17,524,200

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations	\$ 262,250.00	\$ 8,275.00	\$ 461,500.00	\$ 22,385.00	\$ -	\$ -	\$ 754,410.00
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5 Year Debt Service Schedule - Principal

Sussex County Municipal Utilities Authority

	Current Year (2015)	Fiscal Year Beginning in					Total Principal Outstanding	
		2016	2017	2018	2019	2020		2021
Upper Walkkill Facilities								
Series 2008 ClB	\$ 85,000	\$ 90,000	\$ 95,000	\$ 100,000	\$ 105,000	\$ 110,000	\$ 3,030,000	\$ 3,625,000
Series 2008 CAB's	982,000	974,000	1,046,000	1,129,000	1,148,000	1,138,000	15,052,000	21,525,000
Series 2012 Refunding	290,000	290,000	295,000	120,000	125,000	130,000	1,580,000	2,830,000
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	1,357,000	1,354,000	1,436,000	1,349,000	1,378,000	1,378,000	19,662,000	27,980,000
Hampton Comm. Facility								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
Solid Waste Facilities								
Series D (2006)	2,373,000	2,373,000	-	-	-	-	-	2,373,000
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	2,373,000	2,373,000	-	-	-	-	-	2,373,000
Watershed Program								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
Paulinskill Facility								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
Watershed Program								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
TOTAL PRINCIPAL ALL OPERATIONS								
	\$ 3,730,000	\$ 3,727,000	\$ 1,436,000	\$ 1,349,000	\$ 1,378,000	\$ 1,378,000	\$ 19,662,000	\$ 30,353,000

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

Moody's	Fitch	Standard & Poors
Aa2		
Year of Last Rating	2012	

5 Year Debt Service Schedule - Principal

Sussex County Municipal Utilities Authority

	Current Year (2015)	Fiscal Year Beginning in						Total Principal Outstanding
		2016	2017	2018	2019	2020	2021	
<i>Upper Walkkill Facilities</i>								
Series 2008 C/B	\$ 85,000	\$ 90,000	\$ 95,000	\$ 100,000	\$ 105,000	\$ 110,000	\$ 3,030,000	\$ 3,625,000
Series 2008 CAB's	982,000	974,000	1,046,000	1,129,000	1,148,000	1,138,000	15,052,000	21,525,000
Series 2012 Refunding	290,000	290,000	295,000	120,000	125,000	130,000	1,580,000	2,830,000
Debt Issuance #4								
Total Principal	1,357,000	1,354,000	1,436,000	1,349,000	1,378,000	1,378,000	19,662,000	27,980,000
<i>Hampton Comm. Facility</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
<i>Solid Waste Facilities</i>								
Series D (2006)	2,373,000	2,373,000	-	-	-	-	-	2,373,000
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	2,373,000	2,373,000	-	-	-	-	-	2,373,000
<i>Watershed Program</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
<i>Paulinskill Facility</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-	-
TOTAL PRINCIPAL ALL OPERATIONS	\$ 3,730,000	\$ 3,727,000	\$ 1,436,000	\$ 1,349,000	\$ 1,378,000	\$ 1,378,000	\$ 19,662,000	\$ 30,353,000

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

Moody's	Fitch	Standard & Poors
Aa2		
2012		

F-6 (REVISED-2)


2016 Net Position Reconciliation

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

		<i>Proposed Budget</i>					
Upper		Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	Total All Operations
		\$	\$	\$	\$	\$	\$
TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)		6,316,081	518,442	4,218,129	153,013	18,572	11,224,237
Less: Invested in Capital Assets, Net of Related Debt (1)		(346,062)	341,152	5,006,202			5,001,292
Less: Restricted for Debt Service Reserve (1)		-	-	-			-
Less: Other Restricted Net Position (1)		3,962,256	58,790	(1,693,253)		18,572	2,346,365
Total Unrestricted Net Position (1)		2,699,887	118,500	905,180	153,013	-	3,876,580
Less: Designated for Non-Operating Improvements & Repairs		-	-	-			-
Less: Designated for Rate Stabilization		-	-	-			-
Less: Other Designated by Resolution		352,683	3,000	-	153,013	-	508,696
Plus: Accrued Unfunded Pension Liability (1)		-	-	-			-
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)		-	-	-			-
Plus: Estimated Income (Loss) on Current Year Operations (2)		-	-	-			-
Plus: Other Adjustments (attach schedule)		-	-	-			-
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET		2,347,204	115,500	905,180	-	-	3,367,884
Unrestricted Net Position Utilized to Balance Proposed Budget		-	5,000	-			5,000
Unrestricted Net Position Utilized in Proposed Capital Budget		-	-	-			-
Appropriation to Municipality/County (3)		-	-	-			-
Total Unrestricted Net Position Utilized in Proposed Budget		-	5,000	-			5,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR		2,347,204	110,500	905,180	-	-	3,362,884

(1) Total of all operations for this line item must agree to audited financial statements.
 (2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.
 (3) Amount may not exceed 5% of total operating appropriations. See calculation below.
 Maximum Allowable Appropriation to Municipality/County \$ 265,375 \$ 8,435 \$ 470,730 \$ 23,810 \$ - \$ 768,350
 (4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.



2016
Sussex County
MUA

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2016 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

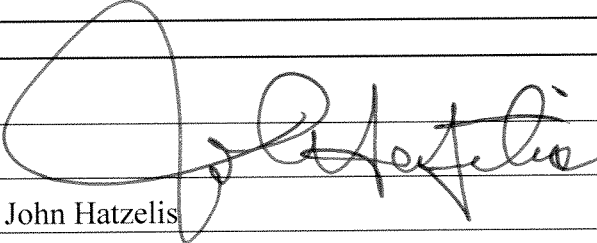
Sussex County Municipal Utilities Authority

FISCAL YEAR: FROM: December 1, 2015 **TO:** November 30, 2016

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Sussex County M.U. Authority, on the 16th day of September, 2015.

OR

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998 x103	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

FY2016

CAPITAL BUDGET
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

December 1, 2015 TO November 30, 2016

CAPITAL BUDGET MESSAGE

The SCMUA Capital Budgets were prepared by SCMUA Staff and Professionals and reviewed and approved by the Board of Commissioners. The Capital Budgets were prepared using best estimates of anticipated costs for each project/acquisition.

Upper Wallkill Facilities

The Upper Wallkill Facilities has been in operation since 1984 and serves numerous Sussex County Municipalities. Although the Upper Wallkill Water Pollution Control Facilities is over 30 years old it is in relatively good condition, however certain equipment is reaching the end of its life cycle and requires repair or replacement. The 2016 Capital Budget and 5 year spending plan sewer prepared to address anticipated repairs and upgrades necessary to maintain the facility without the need to incur any additional debt. For FY2016 Capital Outlays in the amount of \$141,000 are budgeted for maintenance of the Ultraviolet Disinfection Units, Replacement & Pumps, Maintenance Building Improvements and Various Paving Projects. The Renewal and Replacement Fund will be utilized for repairs to the Treatment Units' concrete structure. The Phase II Construction Fund will be utilized to replace one Microstrainer Unit which has reached the end of its useful life. The Phase III Construction Fund will be used to closeout the project by reimbursing the County of Sussex for 201 Planning Funds loaned many years ago. All the projects budgeted are anticipated to have no negative impact on user charges.

Hampton Commons

The Hampton Common's Facility has been in operation since 1987 and is in good working order. This 50,000 gpd facility serves the Hampton Commons Homeowners Association and the Lowe's Home Center in Hampton Township. In FY2016, the Capital Budget anticipates the video inspection repair of sewage collection system. This project will ensure that I&I is kept to a minimum which will reduce operating costs and provide better wastewater treatment. This capital outlay item will have minimal impact on user fees.

Solid Waste Facilities

The Solid Waste Facilities has been in operation since 1989 and serves all Sussex County municipalities and residents. The FY2016 Budget anticipates on funding the replacement/repair of a number of pieces of equipment that has reached the end of their life cycle. This equipment replacement/repair will be funded by Capital Outlays (\$185,000) and the Renewal & Replacement Fund (\$450,000). The Landfill Gas Management system requires a number of gas wells to be replaced to ensure landfill odors are minimized and landfill gas collection to be maximized. The SCMUA is in the process of designing a landfill expansion, which has received SWMP approval from the County and the NJDEP. The proposed Landfill Life Expansion Project is anticipated to be funded by the NJ Environmental Infrastructure Trust Program and will not negatively impact user charges/tipping fees since in 2016 all Solid Waste Debt will be retired.

Watershed Program

The Wallkill Watershed Management Program has budgeted three projects to be funded from Capital Outlays. All three of these projects are anticipated to enhance water quality in the County. The Program is funded by NJDEP 319 (h) grants and will not impact Sussex County tax payers.

Paulinskill Water Reclamation Facilities

The SCMUA is currently constructing the Paulinskill Water Reclamation Facilities to serve the Borough of Branchville and a small portion of Frankford Township. The Project will eliminate the need for these communities to rely on substandard septic systems which have experienced a high failure rate over the past number of years. The Project is being funded by USDA-RD Program Loans and Grants. The total anticipated project cost is \$13,475,500 however approximately 45% will be funded by USDA grants, the remainder by 40 year low interest loans. The project will be completed by the end of FY2016 and will be operational in 2017.

2016 Proposed Capital Budget

Sussex County Municipal Utilities Authority
 For the Period December 1, 2015 to November 30, 2016

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Upper Walkkill Facilities</i>						
Capital Outlays (see attached)	\$ 141,000					\$ 141,000
Renewal & Replacement Fund	100,000		100,000			
Phase II Construction Fund	950,000					950,000
Phase III Construction Fund	95,000					95,000
Total	1,286,000	-	100,000	-	-	1,186,000
<i>Hampton Comm. Facility</i>						
Capital Outlays (see attached)	-					
Renewal & Replacement Fund	18,000		18,000			
Total	18,000	-	18,000	-	-	-
<i>Solid Waste Facilities</i>						
Capital Outlays (see attached)	185,000					185,000
Renewal & Replacement Fund	450,000		450,000			
Cell Closure Escrow Fund	550,000					550,000
Debt Authorized	400,000			400,000		
Total	1,585,000	-	450,000	400,000	-	735,000
<i>Watershed Program</i>						
Capital Outlays (see attached)	95,000					95,000
Total	95,000	-	-	-	-	95,000
<i>Paulinskill Facility</i>						
Debt Authorized	3,112,000			3,112,000		
Total	3,112,000	-	-	3,112,000	-	-
<i>n/a</i>						
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 6,096,000	\$ -	\$ 568,000	\$ 3,512,000	\$ -	\$ 2,016,000

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

Fiscal Year Beginning in

	Estimated Total Cost	Current Year Proposed Budget	2017	2018	2019	2020	2021
<i>Upper Wallkill Facilities</i>							
Capital Outlays (see attached)	\$ 281,000	\$ 141,000	\$ 80,000	\$ 20,000	\$ 20,000	\$ 20,000	
Renewal & Replacement Fund	225,000	100,000	-	125,000	-	-	
Phase II Construction Fund	3,915,000	950,000	2,025,000	940,000			
Phase III Construction Fund	290,000	95,000	195,000				
Total	4,711,000	1,286,000	2,300,000	1,085,000	20,000	20,000	-
<i>Hampton Comm. Facility</i>							
Capital Outlays (see attached)	5,000	-	5,000				
Renewal & Replacement Fund	18,000	18,000					
\$0	-	-					
\$0	-	-					
Total	23,000	18,000	5,000	-	-	-	-
<i>Solid Waste Facilities</i>							
Capital Outlays (see attached)	845,000	185,000	325,000	275,000	45,000	15,000	
Renewal & Replacement Fund	1,125,000	450,000	355,000	320,000			
Cell Closure Escrow Fund	2,390,000	550,000	20,000	920,000	-	900,000	
Debt Authorized	12,710,000	400,000	12,310,000				
Total	17,070,000	1,585,000	13,010,000	1,515,000	45,000	915,000	-
<i>Watershed Program</i>							
Capital Outlays (see attached)	95,000	95,000					
\$0	-	-					
\$0	-	-					
\$0	-	-					
Total	95,000	95,000	-	-	-	-	-
<i>Paulinskill Facility</i>							
Debt Authorized	3,112,000	3,112,000					
\$0	-	-					
\$0	-	-					
\$0	-	-					
Total	3,112,000	3,112,000	-	-	-	-	-
<i>n/a</i>							
\$0	-	-					
\$0	-	-					
\$0	-	-					
\$0	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 25,011,000	\$ 6,096,000	\$ 15,315,000	\$ 2,600,000	\$ 65,000	\$ 935,000	\$ -

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Sussex County Municipal Utilities Authority

For the Period December 1, 2015 to November 30, 2016

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Upper Walkkill Facilities</i>						
Capital Outlays (see attached)	\$ 281,000					\$ 281,000
Renewal & Replacement Fund	225,000		225,000			
Phase II Construction Fund	3,915,000					3,915,000
Phase III Construction Fund	290,000					290,000
Total	4,711,000	-	225,000	-	-	4,486,000
<i>Hampton Comm. Facility</i>						
Capital Outlays (see attached)	5,000					5,000
Renewal & Replacement Fund	18,000		18,000			
\$0	-					
\$0	-					
Total	23,000	-	18,000	-	-	5,000
<i>Solid Waste Facilities</i>						
Capital Outlays (see attached)	845,000					845,000
Renewal & Replacement Fund	1,125,000		1,125,000			
Cell Closure Escrow Fund	2,390,000					2,390,000
Debt Authorized	12,710,000			12,710,000		
Total	17,070,000	-	1,125,000	12,710,000	-	3,235,000
<i>Watershed Program</i>						
Capital Outlays (see attached)	95,000					95,000
\$0	-					
\$0	-					
\$0	-					
Total	95,000	-	-	-	-	95,000
<i>Paulinskill Facility</i>						
Debt Authorized	3,112,000			3,112,000		
\$0	-					
\$0	-					
\$0	-					
Total	3,112,000	-	-	3,112,000	-	-
<i>n/a</i>						
\$0	-					
\$0	-					
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
TOTAL	\$ 25,011,000	\$ -	\$ 1,368,000	\$ 15,822,000	\$ -	\$ 7,821,000
Total 5 Year Plan per CB-4	<u>\$ 25,011,000</u>					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

Table 1

Sussex County Municipal Utilities Authority
 FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Upper Walkkill Facilities

Projects:	Estimated Total Cost	Estimated Total Cost				
		FY2016	FY2017	FY2018	FY2019	FY2020
1. Capital Outlays						
Upgrade UV System	\$ 38,000	\$ -	\$ -	\$ -	\$ -	\$ -
Replacement Pumps	\$ 95,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000
Maint. Bldg. Improvements (Design)	\$ 8,000	\$ -	\$ -	\$ -	\$ -	\$ -
(Construction)	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ -
Various Paving Projects	\$ 100,000	\$ 60,000	\$ -	\$ -	\$ -	\$ -
Capital Outlays - Subtotal	\$ 281,000	\$ 80,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000
2. R&R Fund						
Treatment Unit Concrete Repairs	\$ 175,000	\$ -	\$ -	\$ 100,000	\$ -	\$ -
Engineering	\$ 50,000	\$ -	\$ -	\$ 25,000	\$ -	\$ -
Treatment Units Subtotal	\$ 225,000	\$ -	\$ -	\$ 125,000	\$ -	\$ -
R&R - Subtotal	\$ 225,000	\$ -	\$ -	\$ 125,000	\$ -	\$ -

Table 1 (Continued)

Sussex County Municipal Utilities Authority
 FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Upper Walkkill Facilities

Projects:	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
3. Upper Walkkill Phase II Construction Fund						
Project #454 Microstrainer Replacement:						
Engineering	\$ 120,000	\$ -	\$ -	\$ -	\$ -	\$ -
Construction	\$ 800,000	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ 920,000	\$ -	\$ -	\$ -	\$ -	\$ -
Project #453 Clarifiers/Gravity Thickener Rehab:						
Engineering	\$ -	\$ 300,000	\$ -	\$ -	\$ -	\$ -
Construction	\$ 1,700,000	\$ 1,700,000	\$ -	\$ -	\$ -	\$ -
	\$ 2,000,000	\$ 2,000,000	\$ -	\$ -	\$ -	\$ -
Project #455 Hamburg Reg. P/S Rehab.						
Engineering	\$ 120,000	\$ -	\$ 120,000	\$ -	\$ -	\$ -
Construction	\$ 800,000	\$ -	\$ 800,000	\$ -	\$ -	\$ -
	\$ 920,000	\$ -	\$ 920,000	\$ -	\$ -	\$ -
Indirect A.L.&F	\$ 75,000	\$ 30,000	\$ 25,000	\$ 20,000	\$ -	\$ -
Phase II Construction Fund Sub-Total	\$ 3,915,000	\$ 950,000	\$ 2,025,000	\$ 940,000	\$ -	\$ -
4. Upper Walkkill Phase III Close-Out						
Vernon Pump Station - High Flow Pumps	\$ 180,000	\$ -	\$ 180,000	\$ -	\$ -	\$ -
Reimburse County of Sussex (201 Planning)	\$ 75,000	\$ 75,000	\$ -	\$ -	\$ -	\$ -
Indirect A.L.&F	\$ 35,000	\$ 20,000	\$ 15,000	\$ -	\$ -	\$ -
	\$ 290,000	\$ 95,000	\$ 195,000	\$ -	\$ -	\$ -
UW Phase III Closeout Sub-Total	\$ 290,000	\$ 95,000	\$ 195,000	\$ -	\$ -	\$ -
Total Capital	\$ 4,711,000	\$ 1,286,000	\$ 2,300,000	\$ 1,085,000	\$ 20,000	\$ 20,000

CB Detail Pg 2

Table 1

Sussex County Municipal Utilities Authority
 FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Hampton Commons Treatment Facility

Projects:	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
1. Capital Outlays						
Nitrate Upgrade Feasibility Study	\$ 5,000	\$ -	\$ 5,000	\$ -	\$ -	\$ -
	\$ 5,000	\$ -	\$ 5,000	\$ -	\$ -	\$ -
2. R&R Fund						
Collection System Video Inspection & Repairs	\$ 18,000	\$ 18,000	\$ -	\$ -	\$ -	\$ -
	\$ 18,000	\$ 18,000	\$ -	\$ -	\$ -	\$ -
Total Capital	\$ 23,000	\$ 18,000	\$ 5,000	\$ -	\$ -	\$ -

Table 1

Sussex County Municipal Utilities Authority
 FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
 Solid Waste Facilities

Projects:	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
1. Capital Outlays						
Replacement Rolloff Containers	\$ 45,000	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ 15,000
Concrete Repairs to RC/RC and Maint.	\$ 25,000	\$ 25,000	\$ -	\$ -	\$ -	\$ -
Various Paving Projects	\$ 90,000	\$ 45,000	\$ -	\$ -	\$ 45,000	\$ -
BWRF - Knee Wall Replacement	\$ 30,000	\$ 30,000	\$ -	\$ -	\$ -	\$ -
Emergency Backup Power Upgrades	\$ 150,000	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ -
Replacement Deck for Truck Scale	\$ 150,000	\$ 70,000	\$ -	\$ 80,000	\$ -	\$ -
Replacement A/C Units	\$ 30,000	\$ -	\$ -	\$ 30,000	\$ -	\$ -
Small Track Excavator	\$ 175,000	\$ -	\$ 175,000	\$ -	\$ -	\$ -
Leachate Tank Upgrades (Cat Walk/Sump)	\$ 150,000	\$ -	\$ 100,000	\$ 50,000	\$ -	\$ -
Total Capital Outlays	\$ 845,000	\$ 185,000	\$ 325,000	\$ 275,000	\$ 45,000	\$ 15,000
2. R&R Fund						
Replacement Lube Truck	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ -
Rebuild 977 Track Loader	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ -	\$ -
Replacement 1994 Mack Rolloff Truck	\$ 180,000	\$ -	\$ 180,000	\$ -	\$ -	\$ -
Articulated Dump Truck - Rebuild	\$ 175,000	\$ -	\$ 175,000	\$ -	\$ -	\$ -
Replacement Cat 906 Wheel Loader	\$ 120,000	\$ -	\$ -	\$ 120,000	\$ -	\$ -
Cat 966 Loader - Rebuild	\$ 200,000	\$ -	\$ -	\$ 200,000	\$ -	\$ -
Total R&R	\$ 1,125,000	\$ 450,000	\$ 355,000	\$ 320,000	\$ -	\$ -

Table 1 (continued)
Sussex County Municipal Utilities Authority
FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Solid Waste Facilities

Projects:	Estimated Total Cost	Estimated Total Cost				
		FY2016	FY2017	FY2018	FY2019	FY2020

3. Cell Closure Account

Landfill Gas System Improvements:									
Well Install	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Design	\$ 100,000	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ 50,000
RE&I	\$ 200,000	\$ -	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ 100,000
Construction	\$ 1,500,000	\$ -	\$ -	\$ 750,000	\$ -	\$ -	\$ -	\$ -	\$ 750,000
SubTotal	\$ 1,850,000	\$ 50,000	\$ -	\$ 900,000	\$ -	\$ -	\$ -	\$ -	\$ 900,000

4. Landfill Life Extension

Landfill Life Extension: Stage 1 Berm	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Design	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Leachate P/S and F/M	\$ 450,000	\$ 450,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SubTotal	\$ 450,000	\$ 450,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Indirect A.L. & F.	\$ 50,000	\$ 30,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Closure/Post Closure Care Plan Update:	\$ 40,000	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -

Total Cell Closure Account

Total Cell Closure Account	\$ 2,390,000	\$ 550,000	\$ 20,000	\$ 920,000	\$ -	\$ -	\$ -	\$ -	\$ 900,000
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Table 1 (continued)
Sussex County Municipal Utilities Authority
FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Solid Waste Facilities

Projects:	Estimated				
	FY2016	FY2017	FY2018	FY2019	FY2020

4. Debt Authorized

Landfill Life Extension: Stage 1 Berm:

	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
Design	\$ 300,000	\$ 300,000	\$ -	\$ -	\$ -	\$ -
RE&I	\$ 270,000	\$ -	\$ 270,000	\$ -	\$ -	\$ -
Construction	\$ 9,300,000	\$ -	\$ 9,300,000	\$ -	\$ -	\$ -
SubTotal	\$ 9,870,000	\$ 300,000	\$ 9,570,000	\$ -	\$ -	\$ -

Leachate P/S and F/M:

Design	\$ 100,000	\$ 100,000	\$ -	\$ -	\$ -	\$ -
RE&I	\$ 270,000	\$ -	\$ 270,000	\$ -	\$ -	\$ -
Construction	\$ 2,470,000	\$ -	\$ 2,470,000	\$ -	\$ -	\$ -
SubTotal	\$ 2,840,000	\$ 100,000	\$ 2,740,000	\$ -	\$ -	\$ -

Total Debt Authorized

\$ 12,710,000	\$ 400,000	\$ 12,310,000	\$ -	\$ -	\$ -
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Total #1 to #4

\$ 17,070,000	\$ 1,585,000	\$ 13,010,000	\$ 1,515,000	\$ 45,000	\$ 915,000
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Table 1
Sussex County Municipal Utilities Authority
FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)
Walkill Watershed Management Program

Projects:	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
1. Capital Outlays						
Riparian Restoration Project	\$ 15,000	\$ 15,000				
Stormwater BMP Project	\$ 30,000	\$ 30,000				
Agricultural BMP Project	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -
	\$ 95,000	\$ 95,000	\$ -	\$ -	\$ -	\$ -
Total Capital	\$ 95,000	\$ 95,000	\$ -	\$ -	\$ -	\$ -

Table 1

Sussex County Municipal Utilities Authority

FY2016 Capital Budget & Five Year Capital Plan (FY2016-2020)

Paulinskill Basin Water Reclamation Facilities

Projects:	Estimated Total Cost	FY2016	FY2017	FY2018	FY2019	FY2020
Paulinskill Basin Water Reclamation System						
Construction Phase Eng. Services						
Construction Administrative Services	\$ 113,000	\$ 113,000	\$ -	\$ -	\$ -	\$ -
Resident Engineering Services	\$ 85,000	\$ 85,000	\$ -	\$ -	\$ -	\$ -
Project Management	\$ 24,000	\$ 24,000	\$ -	\$ -	\$ -	\$ -
Post Construction Services	\$ 48,000	\$ 48,000	\$ -	\$ -	\$ -	\$ -
Sub Total Eng. Services:	\$ 270,000	\$ 270,000	\$ -	\$ -	\$ -	\$ -
Construction:						
PRC-101 Water Reclamation Facility	\$ 1,500,000	\$ 1,500,000	\$ -	\$ -	\$ -	\$ -
PRC-102 Recharge Beds	\$ 100,000	\$ 100,000	\$ -	\$ -	\$ -	\$ -
PRC-103 Transmission Facilities	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ -	\$ -
Sub-Total Construction	\$ 1,850,000	\$ 1,850,000	\$ -	\$ -	\$ -	\$ -
Other Expenses:						
Property Acquisition (FMI & Cowan), ROW, Title Ins.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
County of Sussex Road Opening Permit - inspection	\$ 3,000	\$ 3,000	\$ -	\$ -	\$ -	\$ -
US&WL Stream Sampling Program	\$ 30,000	\$ 30,000	\$ -	\$ -	\$ -	\$ -
Permitting, Testing, Sampling Etc.	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Utilities Connections (Gas, Electric)	\$ 5,000	\$ 5,000	\$ -	\$ -	\$ -	\$ -
SCMUA Indirect Admin. Legal, Fiscal	\$ 25,000	\$ 25,000	\$ -	\$ -	\$ -	\$ -
Direct Administrative, Legal & Fiscal	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Capitalized Interest on Note (Net Interest)	\$ 110,000	\$ 110,000	\$ -	\$ -	\$ -	\$ -
Cost of Issuance (Notes & bonds)	\$ 80,000	\$ 80,000	\$ -	\$ -	\$ -	\$ -
Reimburse County of Sussex (201 Planning)	\$ 19,000	\$ 19,000	\$ -	\$ -	\$ -	\$ -
Start-up Funds (Operating & R&R)	\$ 280,000	\$ 280,000	\$ -	\$ -	\$ -	\$ -
Contingency	\$ 400,000	\$ 400,000	\$ -	\$ -	\$ -	\$ -
Sub-Total Construction	\$ 992,000	\$ 992,000	\$ -	\$ -	\$ -	\$ -
Total	\$ 3,112,000	\$ 3,112,000	\$ -	\$ -	\$ -	\$ -

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