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SUSSEX COUNTY MUNICIPAL  
UTILITIES

Authority Budget

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(Authority Web Address)

Department Of



Community  
Affairs

APPROVED COPY

Division of Local Government Services

**2017 AUTHORITY BUDGET**

**Certification Section**

2017

**SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY**

**AUTHORITY BUDGET**

**FISCAL YEAR: FROM DECEMBER 1, 2016 TO NOVEMBER 30, 2017**

**For Division Use Only**

**CERTIFICATION OF APPROVED BUDGET**

*It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 11/21/2016

**CERTIFICATION OF ADOPTED BUDGET**

*It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By: \_\_\_\_\_ Date: \_\_\_\_\_

# 2017 PREPARER'S CERTIFICATION

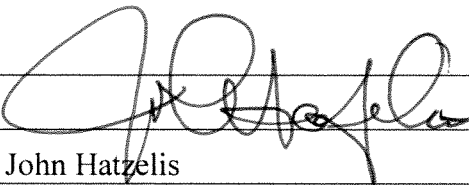
## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

### AUTHORITY BUDGET

**FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017**

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	<a href="mailto:jhatzelis@scmua.org">jhatzelis@scmua.org</a>		

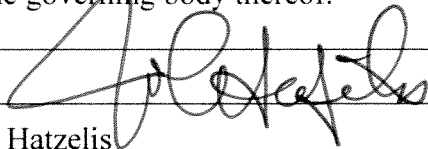
# 2017 APPROVAL CERTIFICATION

## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY AUTHORITY BUDGET

FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Sussex County Municipal Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 21<sup>st</sup> day of September, 2016.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

# INTERNET WEBSITE CERTIFICATION

<b>Authority's Web Address:</b>	WWW.SCMUA.ORG
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information
- Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

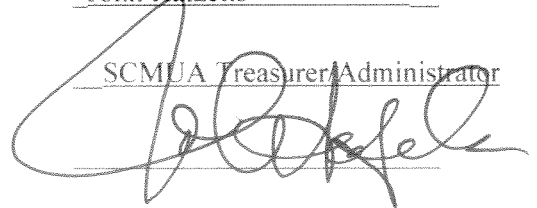
Name of Officer Certifying compliance

John Hatzelis

Title of Officer Certifying compliance

SCMUA Treasurer/Administrator

Signature



**2017 AUTHORITY BUDGET RESOLUTION**  
**SUSSEX COUNTY**  
**MUNICIPAL UTILITIES AUTHORITY**  
**(SCMUA)**

**FISCAL YEAR: FROM:** December 1, 2016 **TO:** November 30, 2017

WHEREAS, the Annual Budget and Capital Budget for the Sussex County MUA for the fiscal year beginning, December 1, 2016 and ending, November 30, 2017 has been presented before the governing body of the Sussex County MUA at its open public meeting of September 21, 2016; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$ 18,559,800 , Total Appropriations, including any Accumulated Deficit if any, of \$ 18,559,800 and Total Unrestricted Net Position utilized of \$7,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$15,015,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$0; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the SCMUA, at an open public meeting held on September 21, 2016 that the Annual Budget, including all

related schedules, and the Capital Budget/Program of the SCMUA for the fiscal year beginning, 12/1/16 and ending, 11/30/17 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the SCMUA will consider the Annual Budget and Capital Budget/Program for adoption on November 30, 2016.

  
Andrea Cocula, SCMUA Secretary

September 21, 2016

Governing Body Member:	Aye	Nay	Recorded Vote Abstain	Absent
R. Petillo	X			
T. Madsen	X			
D. Perez	X			
J. Drake	X			
K. Meyer				X
W. Dietz	X			
A. Cocula	X			



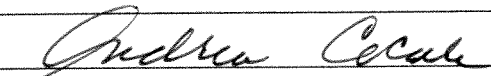
# 2017 ADOPTION CERTIFICATION

## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

### AUTHORITY BUDGET

FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Sussex County MUA Authority, pursuant to N.J.A.C. 5:31-2.3, on the 23 day of, November, 2016.

Officer's Signature:			
Name:	Andrea Cocula		
Title:	Board Secretary		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	<a href="mailto:jhatzelis@scmua.org">jhatzelis@scmua.org</a>		

# 2017 ADOPTED BUDGET RESOLUTION

## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017

WHEREAS, the Annual Budget and Capital Budget/Program for the SCMUA for the fiscal year beginning December 1, 2016 and ending, November 30, 2017 has been presented for adoption before the governing body of the SCMUA at its open public meeting of November 23, 2016; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and


WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$18,552,800, Total Appropriations, including any Accumulated Deficit, if any, of \$18,559,800 and Total Unrestricted Net Position utilized of \$7,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$15,015,000 and Total Unrestricted Net Position planned to be utilized of \$0; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of the SCMUA, at an open public meeting held on November 23, 2016 that the Annual Budget and Capital Budget/Program of the SCMUA for the fiscal year beginning, December 1, 2016 and, ending, November 30, 2017 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, November 23, 2016.

  
Andrea Cocula, Secretary

Governing Body	Aye	Recorded Vote		
		Nay	Abstain	Absent
R. Petillo	X			
D. Perez	X			
T. Madsen	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

**2017 AUTHORITY BUDGET**  
**Narrative and Information Section**

# 2017 AUTHORITY BUDGET MESSAGE & ANALYSIS

## Upper Wallkill Facilities AUTHORITY BUDGET

**FISCAL YEAR:**      **FROM:** December 1, 2016    **TO:** November 30, 2017

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2017 proposed Annual Budget and make comparison to the 2016 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

*1. The Upper Wallkill Facilities Budget is proposed to increase from \$6,191,500 in FY2016 to \$6,480,000 in FY2017 a 4.6% increase. Attached is a line item breakdown of the Upper Wallkill Facilities' Operating budget including an explanation for variances. The Service Charges will remain unchanged for Sludge, Septage, and Leachate Disposal. Sewage Treatment Charges to system participants will be increasing from \$4,979,501 in FY2016 to \$5,146,000 in FY2017 or a total of 3.3%. However this increase is mainly attributed to a debt service increase of \$150,000 related to the "Vernon Additional Bonds" which impacts only Vernon Township users. Otherwise the increase is actually 0.3%.*

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

*2. The proposed Upper Wallkill Budgets will have no or minimum impact to user charges/rates (other than to the Township of Vernon), however resultant revenues will be impacted by changes in volumes of wastewater anticipated to be received, projected new connections are reduced for FY2017, and miscellaneous revenues are projected to increase due to investment earnings.*

	<i>FY 2016</i>	<i>FY 2017</i>	
	<u>Budgeted</u>	<u>Projected</u>	<u>%</u>
Sludge Treatment	\$76,000	\$82,000	+7.8 %
Septage Treatment	\$550,000	\$616,000	+12.0 %
Hauled Sewage	\$11,000	\$13,000	+18.0%
Leachate	\$225,000	\$250,000	+11.1%
Misc. Revenue	\$28,000	\$28,000	-0-
O&M Services	\$97,000	\$187,000	+92.7%
Connection Fees	\$225,000	\$158,000	- 29.7%
Sewer User Charges	\$4,979,500	\$5,146,000	+3.3%
<b>Total</b>	<b>\$6,191,500</b>	<b>\$6,480,000</b>	<b>+4.6%</b>

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

*3. The local economy is recovering very slowly from the 2008 recession. Some developments in Vernon, Sparta and Hardyston Townships have maintained some connection fees. The Capital Budget will not require any issuance of bonds or notes so therefore no increase in debt service is anticipated.*

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

*4. No utilization of Unrestricted Net Position is proposed in the Upper Wallkill FY2017 budget.*

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

*5. No funds are anticipated to be transferred to County/Municipality in 2017.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

*6. The Upper Wallkill Facility Budget does not have a deficit/anticipated deficit.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

*7. A copy of the SCMUA's FY2016 rate schedule and proposed FY2017 rate schedule is attached. There are no significant changes to the existing rate structure.*

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See [Local Finance Notice 2014-9](#) for more information.

*8. A copy of the SCMUA's most recent Annual submission to EMMA is attached.*

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>Expense</b>			
<b>UW0100 · Salaries</b>			
UW0101 · Regular Time	890,000.00	1,010,000.00	13.48%
UW0102 · Overtime	89,000.00	89,000.00	0.00%
<b>Total UW0100 · Salaries</b>	<b>979,000.00</b>	<b>1,099,000.00</b>	<b>12.26%</b>
<b>UW0300 · Benefits</b>			
UW0301 · Social Security	72,000.00	84,000.00	16.67%
UW0302 · Public Employee Retirement Syst	95,000.00	100,000.00	5.26%
UW0303 · Prescription	65,000.00	45,000.00	-30.77%
UW0304 · N.J.S.U.I.	1,000.00	1,000.00	0.00%
UW0305 · Health Insurance	370,000.00	430,000.00	16.22%
UW0306 · Dental Insurance	14,000.00	16,000.00	14.29%
UW0307 · Medicare Reimbursement	12,000.00	12,000.00	0.00%
<b>Total UW0300 · Benefits</b>	<b>629,000.00</b>	<b>688,000.00</b>	<b>9.38%</b>
<b>UW0400 · Personal Services</b>			
UW0401 · Physicals	500.00	500.00	0.00%
UW0402 · Medical Services	1,000.00	1,000.00	0.00%
UW0403 · Uniforms & Boots	3,500.00	3,500.00	0.00%
<b>Total UW0400 · Personal Services</b>	<b>5,000.00</b>	<b>5,000.00</b>	<b>0.00%</b>
<b>UW0500 · Engineering &amp; Consulting Serv</b>			
UW0503 · Computer Consultant	1,000.00	1,000.00	0.00%
UW0504 · Safety Consultant	1,500.00	1,500.00	0.00%
UW0505 · Engineering/Management Consul.	24,000.00	24,000.00	0.00%
UW0506 · NJDEPS Permit Appeal	5,000.00	5,000.00	0.00%
UW0507 · TWA/WMP/NJPDES Review for Endor	1,000.00	1,000.00	0.00%
<b>Total UW0500 · Engineering &amp; Consulting S</b>	<b>32,500.00</b>	<b>32,500.00</b>	<b>0.00%</b>
<b>UW0600 · Legal Fees</b>			
UW0601 · General Counsel	10,000.00	10,000.00	0.00%
UW0602 · Special Counsel	10,000.00	10,000.00	0.00%
<b>Total UW0600 · Legal Fees</b>	<b>20,000.00</b>	<b>20,000.00</b>	<b>0.00%</b>
<b>UW0700 · Indirect Administrative Expense</b>	<b>626,000.00</b>	<b>630,000.00</b>	<b>0.64%</b>
<b>UW0800 · Trustee and Banking Fees</b>			
UW0801 · Trustee Fees	15,000.00	15,000.00	0.00%
<b>Total UW0800 · Trustee and Banking Fees</b>	<b>15,000.00</b>	<b>15,000.00</b>	<b>0.00%</b>

*INCREASE DUE TO  
27 PAYS IN 2017  
PLUS ADD'L STAFF  
FOR OPERATION.*

*INCREASE DUE TO  
PROJECTED MED.  
BENEFIT INCREASE  
+ ADD'L STAFF*

# OPERATING BUDGET

FY 2017

	Budget FY 2016	Budget FY 2017	% Change
<b>UW1000 · Insurance</b>			
UW1001 · Automobile	7,000.00	8,600.00	22.86%
UW1002 · General Liability	48,000.00	49,000.00	2.08%
UW1004 · Umbrella/Excess Liability	12,000.00	11,500.00	-4.17%
UW1005 · Property/Equipment/Boiler & Mac	106,000.00	110,000.00	3.77%
UW1006 · Workmans Compensation	33,000.00	31,500.00	-4.55%
UW1009 · Underground Storage Tank Liab.	10,000.00	10,600.00	6.00%
<b>Total UW1000 · Insurance</b>	<b>216,000.00</b>	<b>221,200.00</b>	<b>2.41%</b>
<b>UW1100 · Seminar &amp; Dues</b>			
UW1101 · Membership Dues	700.00	1,000.00	42.86%
UW1102 · Training Courses/Seminars	1,000.00	2,000.00	100.00%
UW1103 · License Fees	1,500.00	1,500.00	0.00%
<b>Total UW1100 · Seminar &amp; Dues</b>	<b>3,200.00</b>	<b>4,500.00</b>	<b>40.63%</b>
<b>UW1200 · Travel</b>			
UW1201 · Meals	200.00	200.00	0.00%
UW1202 · Lodging	500.00	500.00	0.00%
UW1205 · Mileage Reimbursement	300.00	300.00	0.00%
<b>Total UW1200 · Travel</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>
<b>UW1300 · Office Supplies</b>			
UW1301 · Supplies	1,500.00	1,500.00	0.00%
UW1303 · Maintenance	1,500.00	1,500.00	0.00%
<b>Total UW1300 · Office Supplies</b>	<b>3,000.00</b>	<b>3,000.00</b>	<b>0.00%</b>
<b>UW1400 · Advertising</b>			
UW1401 · Legal Ads	600.00	600.00	0.00%
UW1402 · Other	300.00	300.00	0.00%
<b>Total UW1400 · Advertising</b>	<b>900.00</b>	<b>900.00</b>	<b>0.00%</b>
<b>UW1500 · Printing Expenses</b>	400.00	400.00	0.00%
<b>UW1800 · Custodial Supplies</b>	6,000.00	6,000.00	0.00%
<b>UW1900 · Safety Equipment</b>			
UW1901 · Equipment	3,000.00	3,000.00	0.00%
UW1902 · Supplies	2,000.00	2,000.00	0.00%
UW1903 · Service	1,000.00	1,000.00	0.00%
<b>Total UW1900 · Safety Equipment</b>	<b>6,000.00</b>	<b>6,000.00</b>	<b>0.00%</b>

*TRAINING for  
NEW STAFF*

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>UW2000 · Chemicals</b>			
UW2001 · Polymer	20,000.00	25,000.00	25.00%
UW2002 · Potassium Permanganate	20,000.00	20,000.00	0.00%
UW2003 · Ferric Chloride	39,000.00	39,000.00	0.00%
UW2005 · Hydrogen Peroxide	30,000.00	30,000.00	0.00%
UW2009 · Strike	12,000.00	12,000.00	0.00%
UW2010 · Misc. Chemicals	7,000.00	7,000.00	0.00%
<b>Total UW2000 · Chemicals</b>	<b>128,000.00</b>	<b>133,000.00</b>	<b>3.91%</b>
<b>UW2100 · Laboratory Supples</b>			
UW2101 · Chemicals	2,000.00	2,000.00	0.00%
UW2102 · Glassware	500.00	500.00	0.00%
UW2103 · Equipment	5,500.00	5,500.00	0.00%
UW2104 · Supplies/Misc.	2,000.00	2,000.00	0.00%
<b>Total UW2100 · Laboratory Supples</b>	<b>10,000.00</b>	<b>10,000.00</b>	<b>0.00%</b>
<b>UW2200 · Plant Supplies</b>			
UW2201 · FLOW Charts & Pens	2,500.00	2,500.00	0.00%
UW2202 · Welding Supplies	1,000.00	1,000.00	0.00%
UW2203 · Metal, Steel & Aluminum	1,000.00	1,000.00	0.00%
UW2204 · Lubes & Grease	4,000.00	4,000.00	0.00%
UW2205 · Small Items - Hardware	12,000.00	12,000.00	0.00%
<b>Total UW2200 · Plant Supplies</b>	<b>20,500.00</b>	<b>20,500.00</b>	<b>0.00%</b>
<b>UW2300 · Testing Services</b>			
UW2301 · Sludge	1,000.00	1,000.00	0.00%
UW2303 · Effluent/Influent	6,000.00	6,000.00	0.00%
UW2307 · Various Other Testing	500.00	500.00	0.00%
<b>Total UW2300 · Testing Services</b>	<b>7,500.00</b>	<b>7,500.00</b>	<b>0.00%</b>
<b>UW2400 · Outside Services</b>			
UW2402 · Outside Repair Work	13,000.00	13,000.00	0.00%
UW2405 · Garbage	3,000.00	3,000.00	0.00%
UW2406 · Grit Disposal	14,000.00	14,000.00	0.00%
UW2407 · Sludge Disposal	360,000.00	480,000.00	33.33%
UW2408 · Maintenance Contracts	4,000.00	4,000.00	0.00%
UW2409 · Small Repairs	7,000.00	7,000.00	0.00%
<b>Total UW2400 · Outside Services</b>	<b>401,000.00</b>	<b>521,000.00</b>	<b>29.93%</b>

*COST INCREASE  
DUE TO  
NEW CONTRACT  
FOR SLUDGE  
HAULING +  
DISPOSAL*



# OPERATING BUDGET

FY 2017

	Budget FY 2016	Budget FY 2017	% Change
<b>UW2500 · Utilities</b>			
UW2501 · Telephone	24,000.00	25,000.00	4.17%
UW2502 · Electric	455,000.00	455,000.00	0.00%
UW2504 · Diesel Fuel	14,000.00	9,000.00	-35.71%
UW2505 · Gasoline	12,000.00	8,000.00	-33.33%
UW2506 · Propane/Natural Gas	25,000.00	23,000.00	-8.00%
UW2507 · Alarm System Monitoring	4,000.00	4,000.00	0.00%
UW2508 · Water	1,000.00	1,000.00	0.00%
<b>Total UW2500 · Utilities</b>	<b>535,000.00</b>	<b>525,000.00</b>	<b>-1.87%</b>
<b>UW2600 · Motor Vehicles &amp; Equipment</b>			
UW2602 · Maintenance & Repairs	10,000.00	10,000.00	0.00%
UW2604 · Oil & Lube	2,000.00	2,000.00	0.00%
UW2605 · Registrations	2,000.00	2,000.00	0.00%
UW2606 · Tires	2,000.00	2,000.00	0.00%
<b>Total UW2600 · Motor Vehicles &amp; Equipment</b>	<b>16,000.00</b>	<b>16,000.00</b>	<b>0.00%</b>
<b>UW2700 · Equipment/Stationary</b>			
UW2701 · New Purchases	15,000.00	15,000.00	0.00%
UW2702 · Maintenance/Repairs	40,000.00	35,000.00	-12.50%
UW2704 · Spare Parts	7,000.00	7,000.00	0.00%
<b>Total UW2700 · Equipment/Stationary</b>	<b>62,000.00</b>	<b>57,000.00</b>	<b>-8.06%</b>
<b>UW2800 · Electrical Equipment/Repairs</b>			
UW2801 · Meters, Instrum. Repairs & Cal	5,000.00	5,000.00	0.00%
UW2802 · Computer Hardware R&R	1,000.00	1,000.00	0.00%
UW2803 · Terminal, Circuit Board R&R	500.00	500.00	0.00%
UW2804 · Alarm System Repairs	500.00	500.00	0.00%
UW2805 · Miscellaneous Electrical Repair	5,000.00	5,000.00	0.00%
UW2806 · UV Lamps and Parts	10,000.00	7,000.00	-30.00%
<b>Total UW2800 · Electrical Equipment/Repairs</b>	<b>22,000.00</b>	<b>19,000.00</b>	<b>-13.64%</b>
<b>UW2900 · Purchases Related to O&amp;M OS</b>			
UW2903 · Homestead STP	12,000.00	12,000.00	0.00%
<b>Total UW2900 · Purchases Related to O&amp;M</b>	<b>12,000.00</b>	<b>12,000.00</b>	<b>0.00%</b>
<b>UW3500 · Taxes &amp; Fees</b>			
UW3505 · NJPDES Fees	37,000.00	37,000.00	0.00%
UW3510 · Railroad R.O.W. Charges	18,500.00	19,500.00	5.41%
<b>Total UW3500 · Taxes &amp; Fees</b>	<b>55,500.00</b>	<b>56,500.00</b>	<b>1.80%</b>

*REVAMPED UV  
LIGHTING SYSTEM  
WILL REDUCE  
NEED FOR LAMP  
REPLACEMENT*

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>UW3600 · Capital Outlays FY 2016</b>			
UW3601 · UV Upgrade	38,000.00		
UW3602 · Replacement Pumps	15,000.00		
UW3603 · Maintenance Shop Improvements	48,000.00		
UW3604 · Various Paving	40,000.00		
<b>Total UW3600 · Capital Outlays</b>	<b>141,000.00</b>	<b>0.00</b>	
<b>UW3600 · Capital Outlays FY 2017</b>			
UW3601 · Scada Upgrade		90,000.00	
UW3602 · Replacement Pumps		15,000.00	
UW3603 · Reserved			
UW3604 · Various Paving		40,000.00	
<b>Total UW3600 · Capital Outlays</b>	<b>0.00</b>	<b>145,000.00</b>	<b>2.84%</b>
<b>UW3700 · Debt Services</b>			
UW3703 · 2008 Series A	257,000.00	103,000.00	-59.92%
UW3704 · 2008 Series B SCMUA CAB	895,000.00	910,000.00	1.68%
UW3705 · 2008 Series B Vernon CAB	450,000.00	600,000.00	33.33%
UW3706 · Series 2012 Refunding	386,000.00	378,000.00	-2.07%
UW3707 · Series 2016 Refunding	0.00	134,000.00	100.00%
<b>Total UW3700 · Debt Services</b>	<b>1,988,000.00</b>	<b>2,125,000.00</b>	<b>6.89%</b>
<b>UW3800 · Renewal &amp; Replacement Fund</b>	<b>100,000.00</b>	<b>50,000.00</b>	<b>-50.00%</b>
<b>UW3900 · Vernon Rate Stabilization Fund</b>	<b>150,000.00</b>	<b>50,000.00</b>	<b>-66.67%</b>
<b>Total Expense</b>	<b>6,191,500.00</b>	<b>6,480,000.00</b>	<b>4.66%</b>

# 2017 AUTHORITY BUDGET MESSAGE & ANALYSIS

## Hampton Commons Facility

### AUTHORITY BUDGET

**FISCAL YEAR: FROM:** December 1, 2016 **TO:** November 30, 2017

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2017 proposed Annual Budget and make comparison to the 2016 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

*1. The Hampton Commons Wastewater Treatment Facilities FY2017 Budget is proposed to be \$177,000 this is a \$2,300 increase (or 1.32%) over FY2016 Budget of \$174,700. A copy of the Hampton Commons line items budget is attached along with the current and proposed rate schedule.*

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

*2. The proposed FY2017 Hampton Commons Budget will have minimal impact on user charges.*

<u>Revenue Source</u>	<u>FY2016</u>	<u>FY2017</u>	
Hampton Commons User Charges	\$138,695	\$138,995	+0.2%
Lowe's (Township of Hampton)	\$30,340	\$30,405	+0.2%
Investment Earnings	\$665	\$600	-9.7%
Appropriation from Surplus	\$5,000	\$7,000	+40.0%
<i>Total</i>	\$174,700	\$177,000	+1.32%

*The decrease in investment earnings (\$65) is based on projected interest rates and funds on deposit. Appropriation from surplus is increased by \$2,000 for rate stabilization.*

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

*3. The State/regional economy has not fully rebounded from 2008, however the Hampton Commons Facility relies on revenues solely from the Hampton Commons Homeowners Association and the Hampton Lowes Home Center. There is no planned Capital Budget expenditures for 2017.*

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

*4. The utilization of \$7,000 is Unrestricted Net Position in the Hampton Commons Budget and is for rate stabilization purposes.*

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

*5. No funds are budgeted to be transferred to the County/municipality.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

*6. The FY2017 Hampton Commons Budget does not have a deficit, nor a projected deficit.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

*7. There is no anticipated change in the rate structure for the FY2017 Hampton Commons Facility.*

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See [Local Finance Notice 2014-9](#) for more information.

*8. The latest EMMA filing is attached.*

06

# OPERATING BUDGET

## FY 2017

	Budget	Budget	%Change
	FY 2016	FY 2017	
<b>Expense</b>			
<b>HA0500 · Engineering &amp; Consulting Serv</b>			
HA0505 · Engineering/Management Consul.	1,500.00	1,500.00	0.00%
HA0506 · NJPDES Permits	500.00	500.00	0.00%
<b>Total HA0500 · Engineering &amp; Consulting</b>	<b>2,000.00</b>	<b>2,000.00</b>	<b>0.00%</b>
<b>HA0600 · Legal Fees</b>			
HA0601 · General Counsel	1,000.00	1,000.00	0.00%
<b>Total HA0600 · Legal Fees</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>
<b>HA0700 · Indirect Administrative Expense</b>			
HA0700 · Indirect Administrative Expense	47,000.00	47,000.00	0.00%
<b>HA1000 · Insurance</b>			
HA1005 · Property/Equipment/Boiler & Mac	3,000.00	3,100.00	3.33%
<b>Total HA1000 · Insurance</b>	<b>3,000.00</b>	<b>3,100.00</b>	<b>3.33%</b>
<b>HA1500 · Printing Expenses</b>			
HA1500 · Printing Expenses	0.00	200.00	100.00%
<b>HA1900 · Safety Equipment</b>			
HA1901 · Equipment	450.00	450.00	0.00%
HA1902 · Supplies	550.00	550.00	0.00%
<b>Total HA1900 · Safety Equipment</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>
<b>HA2000 · Chemicals</b>			
HA2003 · Ferric Chloride	2,500.00	2,500.00	0.00%
HA2004 · Misc. Chemicals	500.00	500.00	0.00%
<b>Total HA2000 · Chemicals</b>	<b>3,000.00</b>	<b>3,000.00</b>	<b>0.00%</b>
<b>HA2100 · Laboratory Supplies</b>			
HA2101 · Chemicals	200.00	200.00	0.00%
HA2103 · Equipment	700.00	700.00	0.00%
HA2104 · Supplies/Misc.	100.00	100.00	0.00%
<b>Total HA2100 · Laboratory Supplies</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>
<b>HA2200 · Plant Supplies</b>			
HA2201 · FLOW Charts & Pens	200.00	200.00	0.00%
HA2203 · Metal, Steel & Aluminum	100.00	100.00	0.00%
HA2204 · Lubes & Grease	100.00	100.00	0.00%
HA2205 · Small Items - Hardware	600.00	600.00	0.00%
<b>Total HA2200 · Plant Supplies</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>

# OPERATING BUDGET

FY 2017

	Budget	Budget	%Change
	FY 2016	FY 2017	
<b>HA2300 · Testing Services</b>			
HA2301 · Sludge	500.00	500.00	0.00%
HA2303 · Effluent/Influent	3,000.00	3,000.00	0.00%
<b>Total HA2300 · Testing Services</b>	<b>3,500.00</b>	<b>3,500.00</b>	<b>0.00%</b>
<b>HA2400 · Outside Services</b>			
HA2402 · Outside Repair Work	500.00	500.00	0.00%
HA2407 · Sludge Disposal	19,000.00	19,000.00	0.00%
HA2408 · Maintenance Contracts	500.00	500.00	0.00%
HA2411 · Hauled Sewage	1,500.00	1,500.00	0.00%
<b>Total HA2400 · Outside Services</b>	<b>21,500.00</b>	<b>21,500.00</b>	<b>0.00%</b>
<b>HA2500 · Utilities</b>			
HA2501 · Telephone	500.00	500.00	0.00%
HA2502 · Electric	24,000.00	24,000.00	0.00%
HA2506 · Natural Gas	500.00	500.00	0.00%
HA2507 · Alarm System Monitoring	500.00	500.00	0.00%
HA2508 · Water	200.00	200.00	0.00%
<b>Total HA2500 · Utilities</b>	<b>25,700.00</b>	<b>25,700.00</b>	<b>0.00%</b>
<b>HA2700 · Equipment/Stationary</b>			
HA2701 · New Purchases	1,000.00	1,000.00	0.00%
HA2702 · Maintenance/Repairs	1,000.00	1,000.00	0.00%
HA2704 · Spare Parts	1,000.00	1,000.00	0.00%
<b>Total HA2700 · Equipment/Stationary</b>	<b>3,000.00</b>	<b>3,000.00</b>	<b>0.00%</b>
<b>HA2800 · Electrical Equipment/Repairs</b>			
HA2801 · Meters, Instrum. Repairs & Cal	300.00	300.00	0.00%
HA2804 · Alarm System Repairs	100.00	100.00	0.00%
HA2805 · Miscellaneous Electrical Repair	100.00	100.00	0.00%
<b>Total HA2800 · Electrical Equipment/Rep</b>	<b>500.00</b>	<b>500.00</b>	<b>0.00%</b>
<b>HA3400 · Special Accounts</b>			
HA3403 · Payment to UW Operating (O&M)	49,500.00	51,500.00	4.04%
<b>Total HA3400 · Special Accounts</b>	<b>49,500.00</b>	<b>51,500.00</b>	<b>4.04%</b>
<b>HA3500 · Taxes &amp; Fees</b>			
HA3505 · NJPDES Fees	6,000.00	6,000.00	0.00%
<b>Total HA3500 · Taxes &amp; Fees</b>	<b>6,000.00</b>	<b>6,000.00</b>	<b>0.00%</b>
HA3800 · R&R Fund	6,000.00	6,000.00	0.00%
<b>Total Expense</b>	<b>174,700.00</b>	<b>177,000.00</b>	<b>1.32%</b>

# 2017 AUTHORITY BUDGET MESSAGE & ANALYSIS

## Solid Waste Facilities AUTHORITY BUDGET

**FISCAL YEAR:** FROM: December 1, 2016 TO: November 30, 2017

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2017 proposed Annual Budget and make comparison to the 2016 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

*1. The Solid Waste Facilities FY2017 Operating Budget is proposed to be \$10,807,000, this is a reduction of \$4,600 in comparison to the Adopted FY2016 Budget of \$10,811,600. Attached is a line item budget detailing budget expenditures and explanation of increases/decreases. FY2017 Tipping Fees are anticipated to remain at FY2016 rates.*

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

*2. Anticipated revenues for FY2017 are outlined below:*

	<i>Adopted FY2016</i>	<i>Proposed FY2017</i>	
<i>Estimated Recycling Market Revenues</i>	<i>\$300,000</i>	<i>\$200,000</i>	<i>-33.0%</i>
<i>Recycling Disposal Charges</i>	<i>\$5,000</i>	<i>\$5,000</i>	<i>0.0%</i>
<i>Class C (Brush, Leaves, Stumps)</i>	<i>\$115,500</i>	<i>\$117,000</i>	<i>+1.2%</i>
<i>Misc. Charges</i>	<i>\$188,100</i>	<i>\$188,000</i>	<i>0.0%</i>
<i>Non-Operating Revenues</i>	<i>\$303,000</i>	<i>\$297,000</i>	<i>-1.9%</i>
<i>Tipping Fees</i>	<i>\$9,900,00</i>	<i>\$10,000,000</i>	<i>+1.0%</i>

*Total \$10,811,600 \$10,807,000*

*Solid Waste Revenues tend to fluctuate significantly due to market conditions. Recycling markets, especially overseas markets remain soft and are not expected to rebound in 2017. The revenues for Class C Vegetative Waste remain stable. Charges for disposal of "Misc. charges" including street sweepings remains strong due to regulations requiring municipalities/counties to sweep roads and clean catch basins on a regular basis. Non-Operating revenues are expected to remain flat n 2017. Tipping fee revenues are expected to increase somewhat from \$9,900,000 projections in FY2016 to \$10,000,000 in FY2017 due to projected tonnage increases.*

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

*3. The local/regional economy remains weak since the 2008 recession. Tonnage reductions have occurred due to a number of reasons including competition from private Transfer Stations, reduced consumer spending and housing foreclosures. This was offset somewhat in 2017 with the SCMUA taking responsibility for Solid Waste Flow Control Enforcement. The Capital Budget Plan anticipates the expansion of the landfill to provide capacity beyond 2021, when the existing landfill reaches maximum capacity. Project will be financed through the NJEIT and projected annual debt service will not cause any increase in tipping fees.*

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

*4. Unrestricted Net Position is not being budgeted in FY2017.*

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

*5. No funds are budgeted for County/Municipality transfer.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

*6. The Solid Waste Facilities "Total Unrestricted Net Position" indicates a negative balance of \$3,481,175. The SCMUA has a multi-prong approach to reconciling this condition. In 2017, the SCMUA has budgeted \$2,104,000 for deposit in the Landfill Cell Closure Account. This is possible due to the fact that the Solid Waste Debt Service requirements will be completely satisfied on 11/30/16. Going forward, the SCMUA will have additional funds to apply to the Landfill Cell Closure Account to ensure proper funding is maintained. Additionally, the SCMUA has recently obtained all required approvals for a landfill expansion project which will extend the existing landfill life from year 2021 to 2060. This will provide an additional 39 years to fund landfill closure. In 2017, a revised Landfill Closure/Post Closure Care Plan will be submitted to the NJDEP for their consideration. This Plan is expected to substantially reduce closure cost estimates as well as Post Closure Care Cost estimates.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

*7. Attached are copies of the SCMUA current FY2016 and proposed FY2017 Rate Schedule. There are no proposed changes to FY2017 rates for solid waste disposal.*



24A

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. *A copy of the SCMUA most recent EMMA submission is attached.*

**OPERATING BUDGET**  
FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>Expense</b>			
<b>LF0100 · Salaries</b>			
LF0101 · Regular Time	1,670,000.00	1,936,000.00	15.93%
LF0102 · Overtime	200,000.00	200,000.00	0.00%
<b>Total LF0100 · Salaries</b>	<b>1,870,000.00</b>	<b>2,136,000.00</b>	<b>14.22%</b>
<b>LF0300 · Benefits</b>			
LF0301 · Social Security	140,000.00	164,000.00	17.14%
LF0302 · Public Employee Retirement Syst	169,000.00	190,000.00	12.43%
LF0303 · Prescription	120,000.00	108,000.00	-10.00%
LF0304 · N.J.S.U.I.	1,000.00	1,500.00	50.00%
LF0305 · Health Insurance	605,000.00	671,000.00	10.91%
LF0306 · Dental Insurance	22,000.00	25,000.00	13.64%
LF0307 · Medicare Reimbursement	15,000.00	15,250.00	1.67%
<b>Total LF0300 · Benefits</b>	<b>1,072,000.00</b>	<b>1,174,750.00</b>	<b>9.58%</b>
<b>LF0400 · Personal Services</b>			
LF0401 · Physicals	1,000.00	1,000.00	0.00%
LF0402 · Medical Services	2,000.00	2,500.00	25.00%
LF0403 · Uniforms & Boots	18,000.00	20,000.00	11.11%
<b>Total LF0400 · Personal Services</b>	<b>21,000.00</b>	<b>23,500.00</b>	<b>11.90%</b>
<b>LF0500 · Engineering &amp; Consulting Serv</b>			
LF0505 · Engineering/Management Consul.	65,000.00	65,000.00	0.00%
LF0506 · Other	15,000.00	15,000.00	0.00%
LF0507 · Cover Material Consultant	3,000.00	3,000.00	0.00%
LF0508 · Gas Management Consulting	28,000.00	28,000.00	0.00%
LF0509 · Title V Consulting	0.00	60,000.00	100.00%
<b>Total LF0500 · Engineering &amp; Consulting</b>	<b>111,000.00</b>	<b>171,000.00</b>	<b>54.05%</b>
<b>LF0600 · Legal Fees</b>			
LF0601 · General Counsel	5,000.00	5,000.00	0.00%
LF0602 · Special Counsel	20,000.00	25,000.00	25.00%
<b>Total LF0600 · Legal Fees</b>	<b>25,000.00</b>	<b>30,000.00</b>	<b>20.00%</b>
<b>LF0700 · Indirect Administrative Expense</b>	<b>626,000.00</b>	<b>630,000.00</b>	<b>0.64%</b>
<b>LF0800 · Trustee and Banking Fees</b>			
LF0801 · Trustee Fees	8,000.00	8,000.00	0.00%
<b>Total LF0800 · Trustee and Banking Fees</b>	<b>8,000.00</b>	<b>8,000.00</b>	<b>0.00%</b>

INCREASE DUE  
TO 27 PAYS IN  
2017. + ADD'L  
STAFF FOR OPERA

LARGE TURN OVER  
IN STAFF IN 20  
2017

SOLID WASTE  
FACILITY WILL  
BE REQUIRED TO  
OBTAIN / FILE  
TITLE V AIR  
PERMIT IN 201

11 17 17

**OPERATING BUDGET**  
FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>LF0900 · Auditing/Accounting Fees</b>			
LF0901 · Audit Fees	3,000.00	3,000.00	0.00%
<b>Total LF0900 · Auditing/Accounting Fees</b>	<b>3,000.00</b>	<b>3,000.00</b>	<b>0.00%</b>
<b>LF1000 · Insurance</b>			
LF1001 · Automobile	16,500.00	16,500.00	0.00%
LF1002 · General Liability	63,000.00	65,000.00	3.17%
LF1004 · Umbrella/Excess Liability	17,000.00	16,800.00	-1.18%
LF1005 · Property/Equipment/Boiler & Mac	39,500.00	42,000.00	6.33%
LF1006 · Workmans Compensation	58,000.00	60,000.00	3.45%
LF1009 · Underground Storage Tank Liab.	5,000.00	5,300.00	6.00%
LF1010 · Environmental Impar	0.00	31,000.00	100.00%
<b>Total LF1000 · Insurance</b>	<b>199,000.00</b>	<b>236,600.00</b>	<b>18.89%</b>
<b>LF1100 · Seminar &amp; Dues</b>			
LF1101 · Membership Dues	1,500.00	1,500.00	0.00%
LF1102 · Training Courses/Seminars	2,500.00	2,500.00	0.00%
LF1103 · License Fees	500.00	500.00	0.00%
<b>Total LF1100 · Seminar &amp; Dues</b>	<b>4,500.00</b>	<b>4,500.00</b>	<b>0.00%</b>
<b>LF1200 · Travel</b>			
LF1201 · Meals	200.00	200.00	0.00%
LF1202 · Lodging	500.00	500.00	0.00%
LF1205 · Mileage Reimbursement	300.00	300.00	0.00%
<b>Total LF1200 · Travel</b>	<b>1,000.00</b>	<b>1,000.00</b>	<b>0.00%</b>
<b>LF1300 · Office Supplies</b>			
LF1301 · Supplies	6,000.00	6,000.00	0.00%
LF1302 · Equipment	2,500.00	2,500.00	0.00%
LF1303 · Maintenance	500.00	500.00	0.00%
<b>Total LF1300 · Office Supplies</b>	<b>9,000.00</b>	<b>9,000.00</b>	<b>0.00%</b>
<b>LF1400 · Advertising</b>			
LF1401 · Legal Ads	1,000.00	1,000.00	0.00%
LF1402 · Other	3,000.00	3,000.00	0.00%
LF1403 · Ads for Grants	1,000.00	1,000.00	0.00%
<b>Total LF1400 · Advertising</b>	<b>5,000.00</b>	<b>5,000.00</b>	<b>0.00%</b>

*ENVIRONMENT  
IMPAIRMENT  
INSURANCE  
PREMIUM DUE  
IN 2017*

**OPERATING BUDGET**  
FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>LF1500 · Printing Expenses</b>	6,000.00	6,000.00	0.00%
<b>LF1700 · Publications/Subscriptions</b>	500.00	500.00	0.00%
<b>LF1800 · Custodial Supplies</b>	9,000.00	9,000.00	0.00%
<b>LF1900 · Safety Equipment</b>			
LF1901 · Equipment	8,000.00	8,000.00	0.00%
LF1902 · Supplies	3,000.00	3,000.00	0.00%
LF1903 · Service	4,000.00	4,000.00	0.00%
<b>Total LF1900 · Safety Equipment</b>	<b>15,000.00</b>	<b>15,000.00</b>	<b>0.00%</b>
<b>LF2000 · Chemicals</b>			
LF2001 · Litter Nets	6,000.00	6,000.00	0.00%
LF2002 · Geotextiles	1,000.00	1,000.00	0.00%
LF2003 · Stone/Building Material	15,000.00	15,000.00	0.00%
LF2004 · Bags, Asbestos / Recycling	2,000.00	2,000.00	0.00%
LF2005 · Seed	1,000.00	1,000.00	0.00%
LF2009 · Ice Melt	3,500.00	3,500.00	0.00%
LF2010 · Road Salt	7,500.00	7,500.00	0.00%
LF2011 · Landfill Tarps	2,000.00	2,000.00	0.00%
LF2012 · Landfill Cover Material	215,000.00	215,000.00	0.00%
LF2013 · Mulch Colorant & Supplies	5,000.00	5,000.00	0.00%
<b>Total LF2000 · Chemicals</b>	<b>258,000.00</b>	<b>258,000.00</b>	<b>0.00%</b>
<b>LF2200 · Plant Supplies</b>			
LF2202 · Welding Supplies	4,000.00	3,000.00	-25.00%
LF2203 · Metal, Steel & Aluminum	15,000.00	12,000.00	-20.00%
LF2204 · Grease	1,000.00	1,000.00	0.00%
LF2205 · Small Items - Hardware	11,000.00	11,000.00	0.00%
LF2206 · Landscape Supply	3,000.00	3,000.00	0.00%
<b>Total LF2200 · Plant Supplies</b>	<b>34,000.00</b>	<b>30,000.00</b>	<b>-11.76%</b>
<b>LF2300 · Testing Services</b>			
LF2303 · Effluent/Influent	0.00	0.00	0.00%
LF2304 · Leachate	4,000.00	4,000.00	0.00%
LF2305 · Groundwater & Monitoring Wells	23,000.00	23,000.00	0.00%
LF2306 · Stream	1,000.00	1,000.00	0.00%
LF2307 · Various Other Testing	2,000.00	2,000.00	0.00%
<b>Total LF2300 · Testing Services</b>	<b>30,000.00</b>	<b>30,000.00</b>	<b>0.00%</b>

*REDUCED NEED  
FOR WELDING  
& STEEL FOR  
FACILITY*

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>LF2400 · Outside Services</b>			
LF2401 · Scale Calibration and Repair	8,000.00	8,000.00	0.00%
LF2402 · Outside Repair Work	38,000.00	38,000.00	0.00%
LF2403 · Household Harzardous Waste Disp	45,000.00	55,000.00	22.22%
LF2407 · SWAP/SLAP	26,000.00	26,000.00	0.00%
LF2408 · Maintenance Contracts	14,000.00	14,000.00	0.00%
LF2409 · Small Repairs	2,500.00	2,500.00	0.00%
LF2411 · Septic Disposal	1,000.00	1,000.00	0.00%
LF2412 · Confined Space/Pump Station Mai	2,000.00	2,000.00	0.00%
LF2413 · Pavement & Maintenance/Striping	5,000.00	5,000.00	0.00%
LF2414 · Maint. Contract (HVAC)	8,000.00	8,000.00	0.00%
<b>Total LF2400 · Outside Services</b>	<b>149,500.00</b>	<b>159,500.00</b>	<b>6.69%</b>
<b>LF2500 · Utilities</b>			
LF2501 · Telephone	16,500.00	16,500.00	0.00%
LF2502 · Electric	110,000.00	110,000.00	0.00%
LF2503 · Heating Fuel	65,000.00	65,000.00	0.00%
LF2504 · Diesel Fuel	225,000.00	225,000.00	0.00%
LF2505 · Gasoline	12,000.00	12,000.00	0.00%
LF2506 · Propane	500.00	500.00	0.00%
LF2507 · Alarm System Monitoring	2,000.00	2,000.00	0.00%
LF2508 · Water	4,000.00	4,000.00	0.00%
<b>Total LF2500 · Utilities</b>	<b>435,000.00</b>	<b>435,000.00</b>	<b>0.00%</b>
<b>LF2600 · Motor Vehicles &amp; Equipment</b>			
LF2601 · Vehicle Purchases - Lease Pymts	330,000.00	320,000.00	-3.03%
LF2602 · Maintenance & Repairs	135,000.00	135,000.00	0.00%
LF2603 · Rentals	20,000.00	20,000.00	0.00%
LF2604 · Oil & Lube	20,000.00	20,000.00	0.00%
LF2605 · Registration	8,000.00	8,000.00	0.00%
LF2606 · Tires	30,000.00	35,000.00	16.67%
<b>Total LF2600 · Motor Vehicles &amp; Equipme</b>	<b>543,000.00</b>	<b>538,000.00</b>	<b>-0.92%</b>
<b>LF2700 · Equipment/Stationary</b>			
LF2701 · New Purchases	15,000.00	15,000.00	0.00%
LF2702 · Maintenance/Repairs	14,000.00	14,000.00	0.00%
LF2703 · Rentals	2,000.00	2,000.00	0.00%
LF2704 · Spare Parts	2,000.00	2,000.00	0.00%
<b>Total LF2700 · Equipment/Stationary</b>	<b>33,000.00</b>	<b>33,000.00</b>	<b>0.00%</b>

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>LF2800 · Electrical Equipment/Repairs</b>			
LF2801 · Meters, Instrum. Repairs & Cal	6,000.00	6,000.00	0.00%
LF2802 · Computer Hardware R&R	4,000.00	4,000.00	0.00%
LF2803 · Terminal, Circuit Board R&R	1,000.00	1,000.00	0.00%
LF2804 · Alarm System Repairs	5,000.00	5,000.00	0.00%
LF2805 · Miscellaneous Electrical Repair	30,000.00	30,000.00	0.00%
<b>Total LF2800 · Electrical Equipment/Repa</b>	<b>46,000.00</b>	<b>46,000.00</b>	<b>0.00%</b>
<b>LF3000 · Leachate Disposal</b>	<b>225,000.00</b>	<b>277,000.00</b>	<b>23.11%</b>
<b>LF3100 · Recycling Services</b>			
LF3102 · Payment to Recycle Market	20,000.00	30,000.00	50.00%
LF3103 · Payment to Bulky Waste Market	8,000.00	10,000.00	25.00%
LF3104 · Shared Services Fiber Rebate	8,000.00	5,000.00	-37.50%
<b>Total LF3100 · Recycling Services</b>	<b>36,000.00</b>	<b>45,000.00</b>	<b>25.00%</b>
<b>LF3200 · Landfill Gas System</b>			
LF3201 · Maintenance & Repair Gas System	7,600.00	7,600.00	0.00%
LF3202 · Maintenance & Repair Flare	5,000.00	5,000.00	0.00%
LF3203 · Instrumentation Repair/Calibrat	5,000.00	5,000.00	0.00%
LF3204 · Outside Repair/Service	15,000.00	15,000.00	0.00%
LF3205 · Flare Testing/Sampling & Analys	3,000.00	3,000.00	0.00%
LF3206 · Surface Emission Monitoring	23,000.00	23,000.00	0.00%
LF3207 · Upgrades	20,000.00	20,000.00	0.00%
<b>Total LF3200 · Landfill Gas System</b>	<b>78,600.00</b>	<b>78,600.00</b>	<b>0.00%</b>
<b>LF3400 · Special Accounts</b>			
LF3402 · Host Community Benefits	475,000.00	490,000.00	3.16%
LF3404 · Post Closure Care Fund	95,000.00	98,000.00	3.16%
LF3405 · Landfill Cell Closure Escrow Acct	0.00	2,104,000.00	100.00%
<b>Total LF3400 · Special Accounts</b>	<b>570,000.00</b>	<b>2,692,000.00</b>	<b>372.28%</b>
<b>LF3500 · Taxes &amp; Fees</b>			
LF3501 · NJ Recycling Tax	285,000.00	294,000.00	3.16%
LF3504 · San. LF Closure & Cont. Tax	47,500.00	49,000.00	3.16%
LF3505 · NJPDES Fees	60,000.00	17,050.00	-71.58%
LF3506 · NJPDES Permits	0.00	75,000.00	100.00%
LF3507 · NJDEP Admin. Review & Inspectio	20,000.00	25,000.00	25.00%
LF3508 · Misc. Fees	5,000.00	12,000.00	140.00%
LF3509 · Solid Waste Facility Fees	16,000.00	20,000.00	25.00%
LF3510 · Title V Air Permitting	0.00	50,000.00	100.00%
<b>Total LF3500 · Taxes &amp; Fees</b>	<b>433,500.00</b>	<b>542,050.00</b>	<b>25.04%</b>

LEACHATE VOLUME  
+ STRENGTH INCREASE  
RESULT IN ADDITIONAL  
DISPOSAL COSTS

RECYCLING  
SERVICES INCREASE  
DUE TO ELASTICITY  
AGREEMENT +  
COMMODITIES  
PRICE DECREASES

FUNDING  
NECESSARY TO  
REDUCE DEFICIT  
IN LANDFILL  
CLOSURE FUND  
(SEE AUDIT)

NJPDES  
PERMIT  
FEE INCREASE  
+ TITLE V  
PERMIT

# OPERATING BUDGET

FY 2017

	Budget	Budget	% Change
	FY 2016	FY 2017	
<b>LF3600 · Capital Outlays FY 2016</b>			
LF3601 · Replacement Rolloff Containers	15,000.00		
LF3602 · Concrete Repairs to RC and Main	25,000.00		
LF3603 · Various Paving Projects	45,000.00		
LF3604 · BWFR Knee Wall Replacement	30,000.00		
LF3605 · Replacement Deck - Truck Scale	70,000.00		
<b>Total LF3600 · Capital Outlays</b>	<b>185,000.00</b>	<b>0.00</b>	
<b>LF3600 · Capital Outlays FY 2017</b>			
LF3601 · Scale #5 Third Outbound/Booth		75,000.00	
LF3602 · Leachate Tank Upgrade for Title V		60,000.00	
LF3603 · Various Paving Projects		45,000.00	
LF3604 · Track Excavator		200,000.00	
LF3605 · Replacement Roll-Off Containers		15,000.00	
LF3607 · Concrete Repairs to RC/RC		20,000.00	
<b>Total LF3600 · Capital Outlays</b>	<b>0.00</b>	<b>415,000.00</b>	<b>124.32%</b>
<b>LF3700 · Debt Service</b>			
LF3701 · Bond Principal	2,373,000.00		-100.00%
LF3702 · Bond Interest	1,397,000.00		-100.00%
<b>Total LF3700 · Debt Service</b>	<b>3,770,000.00</b>	<b>0.00</b>	<b>-100.00%</b>
<b>LF3800 · Renewal &amp; Replacement Fund</b>			
	0.00	765,000.00	100.00%
<b>Total Expense</b>	<b>10,811,600.00</b>	<b>10,807,000.00</b>	<b>-0.04%</b>

*CAPITAL OUTLAYS FOR FACILITY IMPROVEMENTS FOR SAFETY + PERMIT COMPLIANCE*

*SOLID WASTE FACILITY D/S RETIRED IN 20*

*FUNDING FOR EQUIPMENT R+R SEE Capital Budget*

# 2017 AUTHORITY BUDGET MESSAGE & ANALYSIS

## Wallkill River Watershed Program

### AUTHORITY BUDGET

**FISCAL YEAR:** FROM: December 1, 2016 TO: November 30, 2017

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2017 proposed Annual Budget and make comparison to the 2016 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

*1. The Wallkill Watershed Program's proposed FY2017 Budget is \$493,800 which is an increase of \$17,600 or 3.7% increase over FY2016 adopted Budget of \$476,200. The major increase in the FY2017 Budget is an increase in the benefits line item of \$13,550, which is related to the anticipated increase in medical benefit expenses in 2017. Attached is a complete line item budget for reference.*

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

*2. All revenues for the Proposed FY2017 Budget are related to NJDEP 319(h) Grant funds which provide the majority of the Watershed Program's Fund Source.*

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

*3. The local/regional economy remains sluggish, however the Wallkill Watershed Program funding sources are not revenue generated, but consist of grant funds.*



4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

*4. Unrestricted Net Position funding is not utilized in the FY2017 Budget Proposal.*

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

*5. No funds are proposed to be transferred to a County/Municipality.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

*6. There is no current or projected budgetary deficit for the Wallkill Watershed Program.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

*7. A copy of the SCMUA's current FY2016 and proposed FY2017 Rate Schedule is attached.*

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

*8. The SCMUA's most recent EMMA submittal is attached.*

## OPERATING BUDGET FY 2017

Expense	Budget	Budget	%Change
	FY 2016	FY 2017	
<b>WW0100 · Salaries</b>			
WW0101 · Regular Time	195,000.00	206,000.00	5.64%
WW0102 · Overtime	5,000.00	1,000.00	-80.00%
<b>Total WW0100 · Salaries</b>	<b>200,000.00</b>	<b>207,000.00</b>	<b>3.50%</b>
<b>WW0300 · Benefits</b>			
WW0301 · Social Security	15,500.00	16,000.00	3.23%
WW0302 · Public Employee Retirement Syst	18,000.00	20,000.00	11.11%
WW0303 · Prescription	13,000.00	14,500.00	11.54%
WW0304 · N.J.S.U.I.	100.00	150.00	50.00%
WW0305 · Health Insurance	51,000.00	60,000.00	17.65%
WW0306 · Dental Insurance	2,000.00	2,500.00	25.00%
<b>Total WW0300 · Benefits</b>	<b>99,600.00</b>	<b>113,150.00</b>	<b>13.60%</b>
<b>WW0400 · Personal Services</b>			
WW0402 · Medical Services	200.00	200.00	0.00%
WW0403 · Uniforms & Boots	300.00	300.00	0.00%
<b>Total WW0400 · Personal Services</b>	<b>500.00</b>	<b>500.00</b>	<b>0.00%</b>
<b>WW0500 · Engineering &amp; Consulting Serv</b>			
WW0503 · Computer Consultant	0.00	150.00	100.00%
WW0505 · Engineering/Management Consul.	35,000.00	35,000.00	0.00%
<b>Total WW0500 · Engineering &amp; Consulting</b>	<b>35,000.00</b>	<b>35,150.00</b>	<b>0.43%</b>
<b>WW0600 · Legal Fees</b>			
WW0601 · General Counsel	1,000.00	1,000.00	0.00%
WW0602 · Special Counsel	1,000.00	1,000.00	0.00%
<b>Total WW0600 · Legal Fees</b>	<b>2,000.00</b>	<b>2,000.00</b>	<b>0.00%</b>
<b>WW0700 · Indirect Administrative Expens</b>	24,000.00	24,000.00	0.00%
<b>WW1000 · Insurance</b>			
WW1001 · Automobile	0.00	1,400.00	100.00%
WW1006 · Workmans Compensation	6,000.00	6,500.00	8.33%
WW1010 · Environmental Imparment/Polluti	500.00	500.00	0.00%
<b>Total WW1000 · Insurance</b>	<b>6,500.00</b>	<b>8,400.00</b>	<b>29.23%</b>
<b>WW1100 · Seminar &amp; Dues</b>			
WW1101 · Membership Dues	300.00	300.00	0.00%
WW1102 · Training Courses/Seminars	500.00	500.00	0.00%
<b>Total WW1100 · Seminar &amp; Dues</b>	<b>800.00</b>	<b>800.00</b>	<b>0.00%</b>

*INCREASE DUE TO  
PROJECTED MED. BENEF  
COST INCREASES*

# OPERATING BUDGET

FY 2017

	Budget	Budget	%Change
	FY 2016	FY 2017	
<b>WW1200 · Travel</b>			
WW1201 · Meals	200.00	200.00	0.00%
WW1202 · Lodging	200.00	200.00	0.00%
WW1205 · Mileage Reimbursement	2,500.00	2,500.00	0.00%
<b>Total WW1200 · Travel</b>	<b>2,900.00</b>	<b>2,900.00</b>	<b>0.00%</b>
<b>WW1300 · Office Supplies</b>			
WW1301 · Supplies	1,000.00	1,000.00	0.00%
WW1302 · Equipment	2,000.00	2,000.00	0.00%
<b>Total WW1300 · Office Supplies</b>	<b>3,000.00</b>	<b>3,000.00</b>	<b>0.00%</b>
<b>WW1400 · Advertising</b>			
WW1401 · Legal Ads	300.00	300.00	0.00%
<b>Total WW1400 · Advertising</b>	<b>300.00</b>	<b>300.00</b>	<b>0.00%</b>
<b>WW1500 · Printing Expenses</b>	1,000.00	1,000.00	0.00%
<b>WW1700 · Publications/Subscriptions</b>	200.00	200.00	0.00%
<b>WW1900 · Safety Equipment</b>			
WW1902 · Supplies	1,500.00	1,500.00	0.00%
<b>Total WW1900 · Safety Equipment</b>	<b>1,500.00</b>	<b>1,500.00</b>	<b>0.00%</b>
<b>WW2300 · Testing Services</b>			
WW2306 · Stream	2,500.00	2,500.00	0.00%
WW2307 · Various Other Testing	1,000.00	1,000.00	0.00%
<b>Total WW2300 · Testing Services</b>	<b>3,500.00</b>	<b>3,500.00</b>	<b>0.00%</b>
<b>WW2400 · Outside Services</b>			
WW2408 · Maintenance Contracts	400.00	400.00	0.00%
<b>Total WW2400 · Outside Services</b>	<b>400.00</b>	<b>400.00</b>	<b>0.00%</b>
<b>WW3600 · Capital Outlays</b>			
WW3601 · Riparian Resoration Project	15,000.00	10,000.00	-33.33%
WW3602 · Stormwater MBP Project	30,000.00	30,000.00	0.00%
WW3603 · Agricultural BMP Project	50,000.00	50,000.00	0.00%
<b>Total WW3600 · Capital Outlays</b>	<b>95,000.00</b>	<b>90,000.00</b>	<b>-5.26%</b>
<b>Total Expense</b>	<b>476,200.00</b>	<b>493,800.00</b>	<b>3.70%</b>

# 2017 AUTHORITY BUDGET MESSAGE & ANALYSIS

## Paulinskill Basin Water Reclamation Facility AUTHORITY BUDGET

**FISCAL YEAR:** FROM: December 1, 2016 TO: November 30, 2017

*Answer all questions below. Attach additional pages and schedules as needed.*

1. Complete a brief statement on the 2017 proposed Annual Budget and make comparison to the 2016 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

*1. FY2017 will be the first year of operation for the Paulinskill Basin Water Reclamation Facility. This facility is expected to be operational in the Fall of 2016. this facility will serve the Borough of Branchville and portions of Frankford Township.*

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

*2. The proposed FY2017 Budget was prepared using best estimates of all operating expenses, reserve requirements and USDA Loan payment obligations. Based on calculations, SCMUA user charges will be about \$968 per E.D.U. for Branchville and Frankford system participants.*

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

*3. The local/regional economy still has not recovered fully from the 2008 recession.*

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

*4. Unrestrictive Net Position is not being budgeted in FY2017.*

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

*5. No funds are budgeted for County/Municipal transfer.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

*6. There is no anticipated deficit in FY2017.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

*7. Attached are copies of the SCMUA's current FY2016 and Proposed FY2017 Rate Schedule.*

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

*8. A copy of the SCMUA most recent Annual Operating Date submission to EMMA is attached.*

PROPOSED BUDGET  
FY 2017

Account	Dec '16 - Nov 17
PK0500 · Engineering & Consulting Servic	
PK0501 · Lab Consulting	
PK0502 · Special Studies - US Fish & Wildlife	10,000.00
PK0503 · Computer Consultant	
PK0504 · Safety Consultant	
PK0505 · Engineering/Management Consul.	1,000.00
PK0506 · NJPDES Permits	
	11,000.00
PK0600 · Legal Fees	
PK0601 · General Counsel	
PK0602 · Special Counsel	
PK0700 · Indirect Administrative Expense	48,000.00
PK1000 · Insurance	
PK1001 · Automobile	1,000.00
PK1002 · General Liability	1,000.00
PK1003 · Public Officials Liability	
PK1004 · Umbrella/Excess Liability	
PK1005 · Property/Equipment/Boiler & Mac	8,000.00
PK1006 · Workers Compensation	
PK1007 · Individual Bond	
PK1008 · Blanket Bond/Crime	
PK1009 · Underground Storage Tank Liab.	
PK1010 · Environmental Imparment/Polluti	
	10,000.00
PK1500 · Printing Expense	300.00
PK1900 · Safety Equipment	
PK1901 · Equipment	350.00
PK1902 · Supplies	450.00
PK1903 · Services	
	800.00
PK2000 · Chemicals	
PK2001 · Polymer	
PK2002 · Potassium Permanganate	
PK2003 · Ferric Chloride	
PK2005 · Chlorine	
PK2006 · Sulfuric Acid	500.00
PK2007 · Sodium Hypochloride	500.00
PK2008 · Carbon Replacement	
PK2009 · Sodium Bicarbonate	
PK2010 · Misc. Chemicals	
	1,000.00

**PROPOSED BUDGET  
FY 2017**

Account	Dec '16 - Nov 17
PK2100 · Laboratory Supplies	
PK2101 · Chemicals	200.00
PK2102 · Glassware	
PK2103 · Equipment	500.00
PK2104 · Supplies/Misc.	100.00
	<b>800.00</b>
PK2200 · Plant Supplies	
PK2201 · Flow Charts & Pens	
PK2202 · Welding Supplies	
PK2203 · Metal Steel & Aluminum	
PK2204 · Lubes & Grease	100.00
PK2205 · Small Items - Hardware	100.00
	<b>200.00</b>
PK2300 · Testing Supplies	
PK2301 · Sludge	500.00
PK2302 · Compost	
PK2303 · Effluent/Influent	1,500.00
PK2304 · Leachate	
PK2305 · Groundwater & Monitoring Wells	
PK2306 · Stream	
PK2307 · Various Other Testing	
	<b>2,000.00</b>
PK2400 · Outside Services	
PK2401 · Scale Calibration and Repair	
PK2402 · Outside Repair Work	
PK2403 · Household Harzardous Waste Disp	
PK2404 · Highlands Workshop Personnel	
PK2405 · Garbage	1,500.00
PK2406 · Grit Disposal	
PK2407 · Sludge Disposal	24,000.00
PK2408 · Maintenance Contracts	
PK2409 · Small Repairs	
PK2410 · Custodial Services	
PK2411 · Hauled Sewage	1,500.00
	<b>27,000.00</b>
PK2500 · Utilities	
PK2501 · Telephone	1,000.00
PK2502 · Electric	60,000.00
PK2503 · Heating Fuel	
PK2504 · Diesel Fuel	500.00
PK2505 · Gasoline	900.00
PK2506 · Natural Gas	15,000.00
PK2507 · Alarm System Monitoring	1,000.00
PK2508 · Water	
	<b>78,400.00</b>

**PROPOSED BUDGET  
FY 2017**

Account	Dec '16 - Nov 17
PK2700 · Equipment/Stationary	
PK2701 · New Purchases	1,000.00
PK2702 · Maintenance/Repairs	
PK2703 · Rentals	
PK2704 · Spare Parts	
	1,000.00
PK2800 · Electrical Equipment/Repairs	
PK2801 · Meters, Instrum. Repairs & Cal	500.00
PK2802 · Computer Hardware R&R	
PK2803 · Terminal, Circuit Board R&R	
PK2804 · Alarm System Repairs	
PK2805 · Miscellaneous Electrical Repair	
	500.00
PK3400 · Special Accounts	
PK3401 · Repayment of County Loan	
PK3402 · Host Community Benefits	
PK3403 · Payment to UW Operating (O&M)	87,000.00
PK3404 · Post Closure Care Fund	
PK3405 · Special Accts. (Closure Escrow)	
	87,000.00
PK3500 · Taxes & Fees	
PK3501 · NJ Recycling Tax	
PK3502 · Solid Waste Services Tax	
PK3503 · Resource Recovery Tax	
PK3504 · San. Landfill Closure & Cont.	
PK3505 · NJPDES Fees	6,000.00
PK3506 · NJPDES Fines	
PK3507 · NJDEP Admin. Review & Inspectio	
PK3508 · Misc. Fees	
PK3509 · Solid Waste Facility Fees	
	6,000.00
PK3600 · Capital Outlay	
PK3601 · Reserved #1	
PK3602 · Reserved #2	
PK3700 · Debt Service	
PK3701 · Bond Principle	
PK3602 · Bond Interest	
	318,000.00
PK3800 · R&R Fund	10,000.00
	602,000.00
TOTAL EXPENSES	602,000.00



## John Hatzelis

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**From:** "Compliance Coordinator Phoenix Advisors" <compliance@muniadvisors.com>  
**To:** <jhatzelis@scmua.org>  
**Sent:** Monday, June 27, 2016 9:07 AM  
**Subject:** Continuing Disclosure Submission Confirmation-2015 Operating Data  
 Dear Mr. Hatzelis,

As your continuing disclosure agent, Phoenix Advisors has filed your 2015 Operating Data online with the Municipal Securities Rulemaking Board. Below is the confirmation of your filing. If you have any questions, please do not hesitate to call us at (609) 291-0130.

Thanks,

Phoenix Advisors, LLC

-----Original Message-----

**From:** [EMMANotifications@msrb.org](mailto:EMMANotifications@msrb.org) [mailto:[EMMANotifications@msrb.org](mailto:EMMANotifications@msrb.org)]  
**Sent:** Monday, June 27, 2016 9:05 AM  
**To:** Compliance Coordinator Phoenix Advisors <[compliance@muniadvisors.com](mailto:compliance@muniadvisors.com)>  
**Subject:** Published Submission Confirmation

Your Continuing Disclosure Submission has been published.

SubmissionId: ER769742

Disclosure Type: FINANCIAL/OPERATING FILING Annual Financial Information and Operating Data (Rule 15c2-12): 2015 Operating Data-Sussex County MUA for the year ended 11/30/2015

Document Name: Financial Operating Filing dated 06/27/2016  
 2015 Operating Data.pdf posted 06/27/2016 9:03:43 AM

The following Issuers are associated with this Continuing Disclosure Submission:

CUSIP6	State	Issuer Name
869267	NJ	SUSSEX CNTY N J MUN UTILS AUTH WASTEWATER FACS REV
86926L	NJ	SUSSEX CNTY N J MUN UTILS AUTH SOLID WASTE REV

The following 102 Securities have been published with this Continuing Disclosure Submission:

Security: CUSIP - 869267EP5, Maturity Date - 12/01/2003  
 Security: CUSIP - 869267EQ3, Maturity Date - 12/01/2004  
 Security: CUSIP - 869267ER1, Maturity Date - 12/01/2005  
 Security: CUSIP - 869267ES9, Maturity Date - 12/01/2006  
 Security: CUSIP - 869267ET7, Maturity Date - 12/01/2007  
 Security: CUSIP - 869267EU4, Maturity Date - 12/01/2008  
 Security: CUSIP - 869267EV2, Maturity Date - 12/01/2009

Security: CUSIP - 869267EW0, Maturity Date - 12/01/2010  
Security: CUSIP - 869267EX8, Maturity Date - 12/01/2011  
Security: CUSIP - 869267EY6, Maturity Date - 12/01/2012  
Security: CUSIP - 869267EZ3, Maturity Date - 12/01/2013  
Security: CUSIP - 869267FA7, Maturity Date - 12/01/2013  
Security: CUSIP - 869267FB5, Maturity Date - 12/01/2014  
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Security: CUSIP - 869267FD1, Maturity Date - 12/01/2015  
Security: CUSIP - 869267FE9, Maturity Date - 12/01/2016  
Security: CUSIP - 869267FF6, Maturity Date - 12/01/2017  
Security: CUSIP - 869267FG4, Maturity Date - 12/01/2018  
Security: CUSIP - 869267GB4, Maturity Date - 12/01/2009  
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Security: CUSIP - 869267GM0, Maturity Date - 12/01/2019  
Security: CUSIP - 869267GN8, Maturity Date - 12/01/2020  
Security: CUSIP - 869267GP3, Maturity Date - 12/01/2021  
Security: CUSIP - 869267GQ1, Maturity Date - 12/01/2022  
Security: CUSIP - 869267GR9, Maturity Date - 12/01/2023  
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Security: CUSIP - 869267GU2, Maturity Date - 12/01/2026  
Security: CUSIP - 869267GV0, Maturity Date - 12/01/2027  
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Security: CUSIP - 86926LBP7, Maturity Date - 12/01/2008  
Security: CUSIP - 86926LBQ5, Maturity Date - 12/01/2009  
Security: CUSIP - 86926LBR3, Maturity Date - 12/01/2010  
Security: CUSIP - 86926LBS1, Maturity Date - 12/01/2011  
Security: CUSIP - 86926LBT9, Maturity Date - 12/01/2012  
Security: CUSIP - 86926LBU6, Maturity Date - 12/01/2013  
Security: CUSIP - 86926LBV4, Maturity Date - 12/01/2014  
Security: CUSIP - 86926LBW2, Maturity Date - 12/01/2015  
Security: CUSIP - 86926LBX0, Maturity Date - 12/01/2016

Please follow the link to view this submission:

[http://emma.msrb.org/ContinuingDisclosureView/ContinuingDisclosureDetails.aspx?  
submissionId=ER769742](http://emma.msrb.org/ContinuingDisclosureView/ContinuingDisclosureDetails.aspx?submissionId=ER769742)

Please follow the link to make changes to this submission:

<http://dataport.emma.msrb.org/AboutDataport.aspx>

PLEASE DO NOT REPLY. This is a system-generated e-mail. If you need assistance please contact the MSRB at 202-838-1330 or you may obtain more information at [www.msrb.org](http://www.msrb.org).

## AUTHORITY CONTACT INFORMATION 2017

Please complete the following information regarding this Authority. All information requested below must be completed.

<b>Name of Authority:</b>	Sussex County Municipal Utilities Authority		
<b>Federal ID Number:</b>	22-2272173		
Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998 x 103	Fax:	973-579-7819

<b>Preparer's Name:</b>	John Hatzelis, Treasurer/Administrator		
Preparer's Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998 x 103	Fax:	973-579-7819
E-mail:	<a href="mailto:jhatzelis@scmua.org">jhatzelis@scmua.org</a>		

<b>Chief Executive Officer:</b>	N/A		
Phone: (ext.)		Fax:	
E-mail:			

<b>Chief Financial Officer:</b>	N/A		
Phone: (ext.)		Fax:	
E-mail:			

<b>Name of Auditor:</b>	Paul Cuva, CPA		
Name of Firm:	Ferraioli, Wielkocz, Cerullo & Cuva, P.A.		
Address:	401 Wanaque Avenue		
City, State, Zip:	Pompton Lakes	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-895-6631
E-mail:	<a href="mailto:paulcuv@optonline.net">paulcuv@optonline.net</a>		

# AUTHORITY INFORMATIONAL QUESTIONNAIRE

## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

**FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017**

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in calendar year 2015 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 86
- 2) Provide the amount of total salaries and wages for calendar year 2015 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: \$3,600,614
- 3) Provide the number of regular voting members of the governing body: 7
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? NO *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year because of their relationship with the Authority file the form as required? **(Checked to see if individuals actually filed at [http://fds.state.nj.us/njdca\\_prod/fdssearch.aspx](http://fds.state.nj.us/njdca_prod/fdssearch.aspx) before answering)** YES *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? NO *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
  - a. A current or former commissioner, officer, key employee, or highest compensated employee? NO
  - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? NO
  - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NO*If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. NO *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. ***Attach a narrative of your Authority's procedures for all employees.*** ANSWER 1,2, & 3
- 11) Did the Authority pay for meals or catering during the current fiscal year? NO *If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? \_\_\_\_\_ If "yes," **attach a detailed list of all travel expenses** for the current fiscal year and provide an explanation for each expenditure listed. ~ Only for attendance at NJ League Municipalities Meeting.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- First class or charter travel NO
  - Travel for companions NO
  - Tax indemnification and gross-up payments NO
  - Discretionary spending account NO
  - Housing allowance or residence for personal use NO
  - Payments for business use of personal residence NO
  - Vehicle/auto allowance or vehicle for personal use NO
  - Health or social club dues or initiation fees NO
  - Personal services (i.e.: maid, chauffeur, chef) NO
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? YES *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)*
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? NO *If "yes," attach explanation including amount paid.*
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? NO *If "yes," attach explanation including amount paid.*
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? NO *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? NO *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? NO *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,  
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

**SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY**

**FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017**

*Complete the attached table for all persons required to be listed per #1-4 below.*

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

**Commissioner:** A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

**Officer:** A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

**Key employee:** An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

**Highest compensated employee:** One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

**Compensation:** All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

**Reportable compensation:** The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2017, the calendar year 2015 W-2 and 1099 should be used (60 days prior to start of budget year is November 1, 2016, with 2014 being the most recent calendar year ended), and for fiscal years ending June 30, 2018, the calendar year 2016 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2017, with 2016 being the most recent calendar year ended).

**Other Public Entity:** Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.



**Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)**

Sussex County Municipal Utilities Authority  
 For the Period December 1, 2016 to November 30, 2017

Name	Title	Position		Reportable Compensation from Authority (W-2/ 1099)		Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where individual is an Employee or Member of the Governing Body	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
		Commissioner	Officer	Key Employee	Highest Compensated Employee								
1 John Hatzells	Administrator	x		x				\$ 156,818	Statewide JIF	1 mtg/month	\$ -	\$ -	\$ 156,818
2 Thomas Varro	Chief Engineer			x				139,200					139,200
3 John Nugent	Superintendent			x				125,000					125,000
4 Ronald Petillo	Chairman							3,700					3,700
5 Thomas Madsen	Vice Chairman							3,700	Sen. Groho				3,700
6 Andera Cocolia	Secretary							3,700					3,700
7 Wayne Dietz	Commissioner							3,700					3,700
8 Karl Meyer	Commissioner							3,700					3,700
9 Daniel Perez	Commissioner							3,700					3,700
10 John Drake	Commissioner							3,700					3,700
11								-					-
12								-					-
13								-					-
14								-					-
15								-					-
Total:								\$ 446,918	\$ -	\$ -	\$ -	\$ -	\$ 446,918

Enter the total number of employees/ independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed:

# Schedule of Health Benefits - Detailed Cost Analysis

Sussex County Municipal Utilities Authority

For the Period December 1, 2016 to November 30, 2017

	# of Covered Members (Medical & Rx) Proposed Budget	Annual Cost Estimate per Employee Proposed Budget	Total Cost Estimate Proposed Budget	# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
<b>Active Employees - Health Benefits - Annual Cost</b>								
Single Coverage	26	\$ 13,060	\$ 339,560	22	\$ 11,873	\$ 261,206	\$ 78,354	30.0% #DIV/0!
Parent & Child	0	-	-	0	-	-	-	-
Employee & Spouse (or Partner)	4	26,121	104,484	5	23,746	118,730	(14,246)	-12.0%
Family	16	36,439	583,024	17	33,126	563,142	19,882	3.5%
Employee Cost Sharing Contribution (enter as negative -)			(224,758)			(204,325)	(20,433)	10.0%
Subtotal	46		802,310	44		738,753	63,557	8.6%
<b>Commissioners - Health Benefits - Annual Cost</b>								
Single Coverage	0	-	-	0	-	-	-	#DIV/0!
Parent & Child	0	-	-	0	-	-	-	#DIV/0!
Employee & Spouse (or Partner)	1	26,121	26,121	1	23,746	23,746	2,375	10.0%
Family	2	36,439	72,878	2	33,125	66,250	6,628	10.0%
Employee Cost Sharing Contribution (enter as negative -)			(3,359)			(3,054)	(305)	10.0%
Subtotal	3		95,640	3		86,942	8,698	10.0%
<b>Retirees - Health Benefits - Annual Cost</b>								
Single Coverage	9	10,683	96,147	8	9,712	77,696	18,451	23.7%
Parent & Child	1	22,626	22,626	1	20,569	20,569	2,057	10.0%
Employee & Spouse (or Partner)	15	20,780	311,700	14	18,891	264,474	47,226	17.9%
Family	4	35,373	141,492	3	32,157	96,471	45,021	46.7%
Employee Cost Sharing Contribution (enter as negative -)			-			-	-	#DIV/0!
Subtotal	29		571,965	26		459,210	112,755	24.6%
<b>GRAND TOTAL</b>	<b>78</b>		<b>\$ 1,469,915</b>	<b>73</b>		<b>\$ 1,284,905</b>	<b>\$ 185,010</b>	<b>14.4%</b>

Is medical coverage provided by the SHBP (Yes or No)? Yes  
 Is prescription drug coverage provided by the SHBP (Yes or No)? Yes

# Schedule of Accumulated Liability for Compensated Absences

Sussex County Municipal Utilities Authority

December 1, 2016 to November 30, 2017

For the Period

Complete the below table for the Authority's accrued liability for compensated absences.

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Legal Basis for Benefit (check applicable items)		
			Approved Labor Agreement	Resolution	Individual Employment Agreement
Total SCMUA Compensated Absences	See Attached N-6	\$ 813,360		x	
<b>Total liability for accumulated compensated absences at beginning of current year</b>		<b>\$ 813,360</b>			

AS	De Groot, K	29.75	56.50	\$24.66	\$5,868	\$5,572	\$5,572	\$11,441	AS OF
AS	Frey, P	57.25	119.75	\$40.06	\$16,054	\$16,790	\$16,790	\$32,844	11/30/2015
AS	Hatzelis, J	27.75	114.75	\$69.71	\$15,476	\$31,998	\$20,000	\$35,476	
AS	<del>Kinney, J</del>	5.50	180.50	\$35.29	\$1,553	\$25,476	\$20,000	\$21,553	
AS	Korger, P	61.75	257.25	\$33.84	\$14,625	\$30,465	\$20,000	\$34,625	
AS	Kronski, T	2.25	43.75	\$29.74	\$468	\$4,555	\$4,555	\$5,023	
AS	Olm, V	2.75	8.75	\$28.75	\$553	\$880	\$880	\$1,434	
AS	Rogers, K	1.00	1.25	\$19.23	\$135	\$84	\$84	\$219	
AS	Van Benschoten	7.25	43.00	\$30.93	\$1,794	\$4,654	\$4,654	\$6,448	
AS	Sajdak, N	21.75	91.25	\$37.91	\$6,596	\$13,837	\$13,837	\$20,433	
AS	Zappa, S	8.00	27.25	\$16.04	\$1,026	\$1,748	\$1,748	\$2,774	
AS	Varro, T	56.50	122.75	\$66.92	\$30,249	\$32,859	\$20,000	\$50,249	
<b>AS Total</b>									<b>\$222,518</b>
<b>SW</b>	Bannon, K	3.00	5.00	\$14.50	\$348	\$290	\$290	\$638	
SW	Blaine, J	27.50	123.75	\$16.95	\$3,728	\$8,388	\$8,388	\$12,116	
SW	Carson, J	25.50	186.00	\$39.00	\$7,956	\$29,015	\$20,000	\$27,956	
SW	Casapulla, F	5.50	56.75	\$36.31	\$1,598	\$8,242	\$8,242	\$9,840	
SW	Caton, R	9.00	160.75	\$31.06	\$2,237	\$19,975	\$19,975	\$22,211	
SW	Crabb, J	4.00	5.00	\$14.25	\$456	\$285	\$285	\$741	
SW	Devantoy, W	8.00	10.00	\$23.00	\$1,472	\$920	\$920	\$2,392	
SW	Dibble, D	47.00	174.75	\$25.52	\$9,595	\$17,837	\$17,837	\$27,432	
SW	Fredholm, R	5.75	17.00	\$14.03	\$645	\$954	\$954	\$1,600	
SW	Hartenfels, J	14.00	14.75	\$21.37	\$2,393	\$1,261	\$1,261	\$3,654	
SW	Little, S	7.00	12.50	\$28.49	\$1,595	\$1,425	\$1,425	\$3,020	
SW	Lyon, E	2.00	2.50	\$33.16	\$531	\$332	\$332	\$862	
SW	Matyisin, J	27.25	127.75	\$19.43	\$4,236	\$9,929	\$9,929	\$14,164	
SW	Mc Cain, N	15.50	123.25	\$21.68	\$2,689	\$10,690	\$10,690	\$13,378	
SW	Morris, J	12.25	60.00	\$34.41	\$3,372	\$8,259	\$8,259	\$11,631	
SW	Russomano, J	15.00	26.75	\$17.21	\$2,065	\$1,841	\$1,841	\$3,906	
SW	Sheppard, M	1.00	1.25	\$14.03	\$112	\$70	\$70	\$182	
SW	Soules, G	22.00	77.00	\$19.73	\$3,473	\$6,077	\$6,077	\$9,550	
SW	Sparnon, J	50.25	163.50	\$44.23	\$17,781	\$28,927	\$20,000	\$37,781	
SW	Spychalski, S	51.75	286.00	\$24.37	\$10,091	\$27,883	\$20,000	\$30,091	
SW	Starch, J	47.75	179.50	\$33.22	\$12,690	\$23,851	\$20,000	\$32,690	
SW	Szymczak, N	18.00	88.25	\$16.00	\$2,304	\$5,648	\$5,648	\$7,952	
SW	Teague, Ron	16.50	109.25	\$16.46	\$2,173	\$7,194	\$7,194	\$9,367	
SW	Teague, R	10.75	90.25	\$24.63	\$2,118	\$8,891	\$8,891	\$11,009	
SW	Vealey, C	1.00	2.50	\$25.00	\$200	\$250	\$250	\$450	
SW	Wagner, R	7.00	5.75	\$23.00	\$1,288	\$529	\$529	\$1,817	
SW	Williams, R	49.00	197.25	\$31.95	\$12,526	\$25,211	\$20,000	\$32,526	
SW	Wilson, B	13.25	88.75	\$25.44	\$2,696	\$9,030	\$9,030	\$11,727	
Sw	Yurchak, S	39.25	153.50	\$20.45	\$6,421	\$12,556	\$12,556	\$18,978	
<b>SW Total</b>									<b>\$359,659</b>
UW	Baron, A	42.00	169.25	\$42.00	\$14,110	\$28,431	\$20,000	\$34,110	
UW	Cathcart, J	-0.50	43.25	\$27.48	-\$110	\$4,754	\$4,754	\$4,644	
UW	Coppolella, M	50.00	243.00	\$25.86	\$10,345	\$25,138	\$20,000	\$30,345	
UW	Doyle, J	9.00	87.75	\$23.54	\$1,695	\$8,264	\$8,264	\$9,959	
UW	Grabkowski, T	8.00	18.00	\$17.81	\$1,140	\$1,283	\$1,283	\$2,423	
UW	Grennille, C	0.00	14.75	\$17.81	\$0	\$1,051	\$1,051	\$1,051	
UW	Kinney, J	56.50	167.00	\$35.26	\$15,938	\$23,554	\$20,000	\$35,938	
UW	Luberger, A	9.50	56.75	\$22.70	\$1,725	\$5,152	\$5,152	\$6,877	
UW	Nugent, J	62.50	173.25	\$57.21	\$28,606	\$39,648	\$20,000	\$48,606	
UW	Ruberto, N	4.00	5.00	\$16.00	\$512	\$320	\$320	\$832	
UW	Smith, L	36.50	137.50	\$23.03	\$6,725	\$12,666	\$12,666	\$19,391	
UW	Storey, D	-0.50	30.00	\$38.53	-\$154	\$4,624	\$4,624	\$4,470	
UW	Wulf, D	38.25	150.75	\$40.97	\$12,537	\$24,705	\$20,000	\$32,537	
<b>UW Total</b>									<b>\$231,182</b>
<b>Grand Total</b>									<b>\$813,360</b>

# Schedule of Shared Service Agreements

Sussex County Municipal Utilities Authority  
 December 1, 2016 to November 30, 2017

*Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.*

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority
Sussex County Sheriff's Dept	SCMUA	Sheriff's Labor Assistance Program				\$ 26,000
SCMUA	Various Municipalities	Certified Recycling Coordinator		Annually		\$ 1,500
Morris County Coop Purchasing	SCMUA	Coop. Purchasing Program		Annually		
County of Sussex	SCMUA	GIS Services				
SCMUA	County of Sussex	Solid Waste Enforcement Services		7/1/2015	6/1/2020	
Statewide Ins. Fund	SCMUA	Insurance		1/1/2014	12/31/2016	
SCMUA	Hardyston Twsp.	Recycling Marketing Program		Annually		\$ 8,000
SCMUA	County of Sussex	Sewage Treatment Plant O&M		1/1/1985	n/a	\$ 34,000

# **2017 AUTHORITY BUDGET**

## **Financial Schedules Section**

2017

**2016 Budget Summary**

Sussex County Municipal Utilities Authority

December 1, 2016 to November 30, 2017

For the Period

\$ Increase (Decrease) Proposed vs. Adopted  
% Increase (Decrease) Proposed vs. Adopted

	Proposed Budget				Total All Operations	Adopted Budget Total All Operations	% Increase (Decrease) Proposed vs. Adopted
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program			
<b>REVENUES</b>							
Total Operating Revenues	\$ 6,452,000	\$ 169,400	\$ 10,510,000	\$ -	\$ 17,733,400	\$ 16,841,135	\$ 892,265 5.3%
Total Non-Operating Revenues	28,000	600	297,000	493,800	819,400	807,865	11,535 1.4%
Total Anticipated Revenues	6,480,000	170,000	10,807,000	493,800	18,552,800	17,649,000	903,800 5.1%
<b>APPROPRIATIONS</b>							
Total Administration	630,000	47,000	630,000	24,000	1,379,000	1,323,000	56,000 4.2%
Total Cost of Providing Services	3,625,000	124,000	7,308,000	469,800	11,752,800	10,317,000	1,435,800 13.9%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,432,000	-	-	-	1,547,600	3,727,000	(2,179,400) -58.5%
Total Operating Appropriations	5,687,000	171,000	7,938,000	493,800	14,679,400	15,367,000	(687,600) -4.5%
Total Interest Payments on Debt	693,000	-	-	-	895,400	2,031,000	(1,135,600) -55.9%
Total Other Non-Operating Appropriations	100,000	6,000	2,869,000	-	2,985,000	256,000	2,729,000 1066.0%
Total Non-Operating Appropriations	793,000	6,000	2,869,000	-	3,880,400	2,287,000	1,593,400 69.7%
Accumulated Deficit	-	-	-	-	-	-	#DIV/0!
Total Appropriations and Accumulated Deficit	6,480,000	177,000	10,807,000	493,800	18,559,800	17,654,000	905,800 5.1%
Less: Total Unrestricted Net Position Utilized	-	7,000	-	-	7,000	5,000	2,000 40.0%
Net Total Appropriations	6,480,000	170,000	10,807,000	493,800	18,552,800	17,649,000	903,800 5.1%
<b>ANTICIPATED SURPLUS (DEFICIT)</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ - #DIV/0!

## 2018 REVENUE SCHEDULE

## Sussex County Municipal Utilities Authority

For the Period December 1, 2016 to November 30, 2017

	Proposed Budget						Adopted Budget			
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations	Total All Operations	All Operations	All Operations
<b>OPERATING REVENUES</b>										
<i>Service Charges</i>										
Residential	\$ 5,146,000	\$ 169,400	\$ 10,510,000	\$ -	\$ 602,000		\$ 16,427,400	\$ 15,657,135	\$ 770,265	4.9%
Business/Commercial	-	-	-	-	-		-	-	-	#DIV/0!
Industrial	-	-	-	-	-		-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-		-	-	-	#DIV/0!
Other	-	-	-	-	-		-	-	-	#DIV/0!
Total Service Charges	5,146,000	169,400	10,510,000	-	602,000	-	16,427,400	15,657,135	770,265	4.9%
<i>Connection Fees</i>										
Residential	159,000	-	-	-	-		159,000	225,000	(66,000)	-29.3%
Business/Commercial	-	-	-	-	-		-	-	-	#DIV/0!
Industrial	-	-	-	-	-		-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-		-	-	-	#DIV/0!
Other	-	-	-	-	-		-	-	-	#DIV/0!
Total Connection Fees	159,000	-	-	-	-	-	159,000	225,000	(66,000)	-29.3%
<i>Parking Fees</i>										
Meters	-	-	-	-	-		-	-	-	#DIV/0!
Permits	-	-	-	-	-		-	-	-	#DIV/0!
Fines/Penalties	-	-	-	-	-		-	-	-	#DIV/0!
Other	-	-	-	-	-		-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-		-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
UW Other Operating Revenue	1,147,000	-	-	-	-		1,147,000	959,000	188,000	19.6%
Other Revenue 2	-	-	-	-	-		-	-	-	#DIV/0!
Other Revenue 3	-	-	-	-	-		-	-	-	#DIV/0!
Other Revenue 4	-	-	-	-	-		-	-	-	#DIV/0!
Total Other Revenue	1,147,000	-	-	-	-	-	1,147,000	959,000	188,000	19.6%
Total Operating Revenues	6,452,000	169,400	10,510,000	-	602,000	-	17,733,400	16,841,135	892,265	5.3%
<b>NON-OPERATING REVENUES</b>										
<i>Grants &amp; Entitlements (List)</i>										
NJDEP - REA Entitlement	-	-	114,000	-	-		114,000	114,000	-	0.0%
NJDEP 319	-	-	-	493,800	-		493,800	476,200	17,600	3.7%
Grant #3	-	-	-	-	-		-	-	-	#DIV/0!
Grant #4	-	-	-	-	-		-	-	-	#DIV/0!
Total Grants & Entitlements	-	-	114,000	493,800	-	-	607,800	590,200	17,600	3.0%
<i>Local Subsidies &amp; Donations (List)</i>										
Local Subsidy #1	-	-	-	-	-		-	-	-	#DIV/0!
Local Subsidy #2	-	-	-	-	-		-	-	-	#DIV/0!
Local Subsidy #3	-	-	-	-	-		-	-	-	#DIV/0!
Local Subsidy #4	-	-	-	-	-		-	-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-		-	-	-	#DIV/0!
<i>Interest on Investments &amp; Deposits</i>										
Investments	12,000	600	-	-	-		12,600	12,665	(65)	-0.5%
Security Deposits	-	-	-	-	-		-	-	-	#DIV/0!
Penalties	-	-	-	-	-		-	-	-	#DIV/0!
Other Investments	-	-	-	-	-		-	-	-	#DIV/0!
Total Interest	12,000	600	-	-	-	-	12,600	12,665	(65)	-0.5%
<i>Other Non-Operating Revenues (List)</i>										
UW Non-Operating Revenues	16,000	-	183,000	-	-		199,000	16,000	183,000	1143.8%
SW Non-Operating Revenues	-	-	-	-	-		-	189,000	(189,000)	-100.0%
Other Non-Operating #3	-	-	-	-	-		-	-	-	#DIV/0!
Other Non-Operating #4	-	-	-	-	-		-	-	-	#DIV/0!
Other Non-Operating Revenues	16,000	-	183,000	-	-	-	199,000	205,000	(6,000)	-2.9%
Total Non-Operating Revenues	28,000	600	297,000	493,800	-	-	819,400	807,865	11,535	1.4%
<b>TOTAL ANTICIPATED REVENUES</b>	<b>\$ 6,480,000</b>	<b>\$ 170,000</b>	<b>\$ 10,807,000</b>	<b>\$ 493,800</b>	<b>\$ 602,000</b>	<b>\$ -</b>	<b>\$ 18,552,800</b>	<b>\$ 17,649,000</b>	<b>\$ 903,800</b>	<b>5.1%</b>



## Sussex County Municipal Utilities Authority

	Adopted Budget						Total All Operations
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	
<b>OPERATING REVENUES</b>							
<i>Service Charges</i>							\$ 15,657,135
Residential	\$ 4,979,500	\$ 169,035	\$ 10,508,600	\$ -	\$ -	-	-
Business/Commercial	-	-	-	-	-	-	-
Industrial	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Service Charges	4,979,500	169,035	10,508,600	-	-	-	15,657,135
<i>Connection Fees</i>							225,000
Residential	225,000	-	-	-	-	-	-
Business/Commercial	-	-	-	-	-	-	-
Industrial	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Connection Fees	225,000	-	-	-	-	-	225,000
<i>Parking Fees</i>							-
Meters	-	-	-	-	-	-	-
Permits	-	-	-	-	-	-	-
Fines/Penalties	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							959,000
UW Other Operating Revenue	959,000	-	-	-	-	-	-
Other Revenue 2	-	-	-	-	-	-	-
Other Revenue 3	-	-	-	-	-	-	-
Other Revenue 4	-	-	-	-	-	-	-
Total Other Revenue	959,000	-	-	-	-	-	959,000
Total Operating Revenues	6,163,500	169,035	10,508,600	-	-	-	16,841,135
<b>NON-OPERATING REVENUES</b>							
<i>Grants &amp; Entitlements (List)</i>							114,000
NJDEP - REA Entitlement	-	-	114,000	-	-	-	-
NJDEP - 319(h) Grants	-	-	-	476,200	-	-	476,200
Grant #3	-	-	-	-	-	-	-
Grant #4	-	-	-	-	-	-	-
Total Grants & Entitlements	-	-	114,000	476,200	-	-	590,200
<i>Local Subsidies &amp; Donations (List)</i>							-
Local Subsidy #1	-	-	-	-	-	-	-
Local Subsidy #2	-	-	-	-	-	-	-
Local Subsidy #3	-	-	-	-	-	-	-
Local Subsidy #4	-	-	-	-	-	-	-
Total Local Subsidies & Donations	-	-	-	-	-	-	-
<i>Interest on Investments &amp; Deposits</i>							12,665
Investments	12,000	665	-	-	-	-	-
Security Deposits	-	-	-	-	-	-	-
Penalties	-	-	-	-	-	-	-
Other Investments	-	-	-	-	-	-	-
Total Interest	12,000	665	-	-	-	-	12,665
<i>Other Non-Operating Revenues (List)</i>							16,000
UW Non-Operating Revenues	16,000	-	-	-	-	-	-
SW Non-Operating Revenues	-	-	189,000	-	-	-	189,000
Other Non-Operating Revenues	16,000	-	189,000	-	-	-	205,000
Total Non-Operating Revenues	28,000	665	303,000	476,200	-	-	807,865
<b>TOTAL ANTICIPATED REVENUES</b>	\$ 6,191,500	\$ 169,700	\$ 10,811,600	\$ 476,200	\$ -	\$ -	\$ 17,649,000

Sussex County Municipal Utilities Authority

For the Period December 1, 2016 to November 30, 2017

	Proposed Budget						Adopted Budget			\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations	Total All Operations	All Operations		
<b>OPERATING APPROPRIATIONS</b>											
<i>Administration - Personnel</i>											
Salary & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!
Fringe Benefits	-	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Administration - Personnel	-	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Administration - Other (List)</i>											
Other Admin Expense #1	630,000	47,000	630,000	24,000	48,000	-	1,379,000	1,323,000	56,000	4.2%	
Other Admin Expense #2	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Admin Expense #3	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Admin Expense #4	-	-	-	-	-	-	-	-	-	#DIV/0!	
Miscellaneous Administration*	-	-	-	-	-	-	-	-	-	#DIV/0!	
Total Administration - Other	630,000	47,000	630,000	24,000	48,000	-	1,379,000	1,323,000	56,000	4.2%	
Total Administration	630,000	47,000	630,000	24,000	48,000	-	1,379,000	1,323,000	56,000	4.2%	
<i>Cost of Providing Services - Personnel</i>											
Salary & Wages	1,099,000	-	2,136,000	207,000	-	-	3,442,000	3,049,000	393,000	12.9%	
Fringe Benefits	688,000	-	1,174,750	113,150	-	-	1,975,900	1,800,600	175,300	9.7%	
Total COPS - Personnel	1,787,000	-	3,310,750	320,150	-	-	5,417,900	4,849,600	568,300	11.7%	
<i>Cost of Providing Services - Other (List)</i>											
Other COPS Expense #1	1,838,000	124,000	3,997,250	149,650	226,000	-	6,334,900	5,467,400	867,500	15.9%	
Other COPS Expense #2	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other COPS Expense #3	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other COPS Expense #4	-	-	-	-	-	-	-	-	-	#DIV/0!	
Miscellaneous COPS*	-	-	-	-	-	-	-	-	-	#DIV/0!	
Total COPS - Other	1,838,000	124,000	3,997,250	149,650	226,000	-	6,334,900	5,467,400	867,500	15.9%	
Total Cost of Providing Services	3,625,000	124,000	7,308,000	469,800	226,000	-	11,752,800	10,317,000	1,435,800	13.9%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,432,000	-	-	-	115,600	-	1,547,600	3,727,000	(2,179,400)	-58.5%	
Total Operating Appropriations	5,687,000	171,000	7,938,000	493,800	389,600	-	14,679,400	15,367,000	(687,600)	-4.5%	
<b>NON-OPERATING APPROPRIATIONS</b>											
Total Interest Payments on Debt	693,000	-	-	-	202,400	-	895,400	2,031,000	(1,135,600)	-55.9%	
Operations & Maintenance Reserve	-	-	-	-	-	-	-	-	-	#DIV/0!	
Renewal & Replacement Reserve	50,000	6,000	765,000	-	10,000	-	831,000	106,000	725,000	684.0%	
Municipality/County Appropriation	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other Reserves	50,000	-	2,104,000	-	-	-	2,154,000	150,000	2,004,000	1336.0%	
Total Non-Operating Appropriations	793,000	6,000	2,869,000	-	212,400	-	3,880,400	2,287,000	1,593,400	69.7%	
<b>TOTAL APPROPRIATIONS</b>	6,480,000	177,000	10,807,000	493,800	602,000	-	18,559,800	17,654,000	905,800	5.1%	
<b>ACCUMULATED DEFICIT</b>											
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>	6,480,000	177,000	10,807,000	493,800	602,000	-	18,559,800	17,654,000	905,800	5.1%	
<b>UNRESTRICTED NET POSITION UTILIZED</b>											
Municipality/County Appropriation	-	-	-	-	-	-	-	-	-	#DIV/0!	
Other	-	7,000	-	-	-	-	7,000	5,000	2,000	40.0%	
Total Unrestricted Net Position Utilized	-	7,000	-	-	-	-	7,000	5,000	2,000	40.0%	
<b>TOTAL NET APPROPRIATIONS</b>	\$ 6,480,000	\$ 170,000	\$ 10,807,000	\$ 493,800	\$ 602,000	\$ -	\$ 18,552,800	\$ 17,649,000	\$ 903,800	5.1%	

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 284,350.00 \$ 8,550.00 \$ 396,900.00 \$ 24,690.00 \$ 19,480.00 \$ - \$ 733,970.00

## Sussex County Municipal Utilities Authority

	Adopted Budget						Total All Operations
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	
<b>OPERATING APPROPRIATIONS</b>							
<i>Administration - Personnel</i>							
Salary & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
Fringe Benefits	-	-	-	-	-	-	-
Total Administration - Personnel	-	-	-	-	-	-	-
<i>Administration - Other (List)</i>							
Other Admin Expense #1	626,000	47,000	626,000	24,000	-	-	1,323,000
Other Admin Expense #2	-	-	-	-	-	-	-
Other Admin Expense #3	-	-	-	-	-	-	-
Other Admin Expense #4	-	-	-	-	-	-	-
Miscellaneous Administration*	-	-	-	-	-	-	-
Total Administration - Other	626,000	47,000	626,000	24,000	-	-	1,323,000
Total Administration	626,000	47,000	626,000	24,000	-	-	1,323,000
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	979,000	-	1,870,000	200,000	-	-	3,049,000
Fringe Benefits	629,000	-	1,072,000	99,600	-	-	1,800,600
Total COPS - Personnel	1,608,000	-	2,942,000	299,600	-	-	4,849,600
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense #1	1,718,500	121,700	3,473,600	152,600	-	-	5,466,400
Other COPS Expense #2	-	-	-	-	-	-	-
Other COPS Expense #3	-	-	-	-	-	-	-
Other COPS Expense #4	-	-	-	-	-	-	-
Miscellaneous COPS*	-	-	-	-	-	-	-
Total COPS - Other	1,718,500	121,700	3,473,600	152,600	-	-	5,466,400
Total Cost of Providing Services	3,326,500	121,700	6,415,600	452,200	-	-	10,316,000
Total Principal Payments on Debt Service in Lieu of Depreciation	1,354,000	-	2,373,000	-	-	-	3,727,000
Total Operating Appropriations	5,306,500	168,700	9,414,600	476,200	-	-	15,366,000
<b>NON-OPERATING APPROPRIATIONS</b>							
Total Interest Payments on Debt	635,000	-	1,397,000	-	-	-	2,032,000
Operations & Maintenance Reserve	-	-	-	-	-	-	-
Renewal & Replacement Reserve	100,000	6,000	-	-	-	-	106,000
Municipality/County Appropriation	-	-	-	-	-	-	-
Other Reserves	150,000	-	-	-	-	-	150,000
Total Non-Operating Appropriations	885,000	6,000	1,397,000	-	-	-	2,288,000
<b>TOTAL APPROPRIATIONS</b>	6,191,500	174,700	10,811,600	476,200	-	-	17,654,000
<b>ACCUMULATED DEFICIT</b>	-	-	-	-	-	-	-
<b>TOTAL APPROPRIATIONS &amp; ACCUMULATED DEFICIT</b>	6,191,500	174,700	10,811,600	476,200	-	-	17,654,000
<b>UNRESTRICTED NET POSITION UTILIZED</b>							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other	-	5,000	-	-	-	-	5,000
Total Unrestricted Net Position Utilized	-	5,000	-	-	-	-	5,000
<b>TOTAL NET APPROPRIATIONS</b>	\$ 6,191,500	\$ 169,700	\$ 10,811,600	\$ 476,200	\$ -	\$ -	\$ 17,649,000

\* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 265,325.00 \$ 8,435.00 \$ 470,730.00 \$ 23,810.00 \$ - \$ - \$ 768,300.00

# 5 Year Debt Service Schedule - Principal

## Sussex County Municipal Utilities Authority

	2016	2017	2018	2019	2020	2021	Thereafter	Total Principal Outstanding
Current Year	<i>Fiscal Year Beginning in</i>							
(2015)	2016	2017	2018	2019	2020	2021	Thereafter	
<i>Upper Walkmill Facilities</i>								
Series 2008 CIB	\$ 90,000	\$ 95,000	\$ 95,000					\$ 190,000
Series 2008 CAB's	974,000	1,037,000	1,046,000	1,129,000	1,138,000	1,069,000	11,259,000	17,826,000
Series 2012 Refunding	290,000	290,000	295,000	120,000	130,000	135,000	1,445,000	2,540,000
Series 2016 Refunding CIB/CAB's	10,000	10,000	10,000	110,000	120,000	120,000	7,880,000	8,365,000
<b>Total Principal</b>	<b>1,354,000</b>	<b>1,432,000</b>	<b>1,446,000</b>	<b>1,359,000</b>	<b>1,388,000</b>	<b>1,324,000</b>	<b>20,584,000</b>	<b>28,921,000</b>
<i>Hampton Comm. Facility</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Principal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Solid Waste Facilities</i>								
Series D (2006)	2,373,000	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Principal</b>	<b>2,373,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Watershed Program</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Principal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Paulinskill Facility</i>								
USDA RD Loans	-	115,600	119,000	122,000	125,000	128,000	131,000	7,825,000
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Principal</b>	<b>115,600</b>	<b>119,000</b>	<b>119,000</b>	<b>122,000</b>	<b>125,000</b>	<b>128,000</b>	<b>131,000</b>	<b>7,825,000</b>
<i>n/a</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Principal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL PRINCIPAL ALL OPERATIONS</b>	<b>\$ 3,727,000</b>	<b>\$ 1,547,600</b>	<b>\$ 1,565,000</b>	<b>\$ 1,481,000</b>	<b>\$ 1,513,000</b>	<b>\$ 1,455,000</b>	<b>\$ 27,668,400</b>	<b>\$ 36,746,000</b>

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

<b>Moody's</b>	<b>Fitch</b>	<b>Standard &amp; Poors</b>
AA+	AA+	AA+
2016	2016	2016

# 5 Year Debt Service Schedule - Interest

## Sussex County Municipal Utilities Authority

2016
2017
2018
2019
2020
2021
2022

	Current Year (2016)	2017	2018	2019	2020	2021	Thereafter	Total Interest Payments Outstanding
<i>Upper Walkill Facilities</i>								
Series 2008 CIB	\$ 168,000	\$ 8,000	\$ 4,000	-	-	-	-	\$ 12,000
Series 2008 CAB's	311,000	473,000	564,000	716,000	847,000	962,000	1,026,000	20,741,000
Series 2012 Refunding	97,000	88,000	78,000	69,000	65,000	60,000	54,000	262,000
Series 2016 Refunding CIB/CAB's	59,000	124,000	123,000	123,000	119,000	116,000	112,000	6,829,000
<b>Total Interest Payments</b>	<b>635,000</b>	<b>693,000</b>	<b>769,000</b>	<b>1,031,000</b>	<b>1,138,000</b>	<b>1,192,000</b>	<b>27,115,000</b>	<b>32,846,000</b>
<i>Hampton Comm. Facility</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Interest Payments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Solid Waste Facilities</i>								
Series D (2006)	1,397,000	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Interest Payments</b>	<b>1,397,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Watershed Program</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Interest Payments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Paulinskill Facility</i>								
USDA RD Loans	-	202,400	200,000	197,000	194,000	190,000	187,000	3,574,100
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Interest Payments</b>	<b>-</b>	<b>202,400</b>	<b>200,000</b>	<b>197,000</b>	<b>194,000</b>	<b>190,000</b>	<b>187,000</b>	<b>4,744,500</b>
<i>n/a</i>								
Debt Issuance #1	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-
<b>Total Interest Payments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL INTEREST ALL OPERATIONS</b>	<b>\$ 2,032,000</b>	<b>\$ 895,400</b>	<b>\$ 969,000</b>	<b>\$ 1,105,000</b>	<b>\$ 1,225,000</b>	<b>\$ 1,328,000</b>	<b>\$ 1,379,000</b>	<b>\$ 30,689,100</b>
								<b>\$ 37,590,500</b>

## PAULINSKILL WATER RECLAMATION FACILITY

FY	Fiscal Year		Annual D/S	Balance
	Interest	Principal		
				\$ 7,825,000.00
				\$ 7,767,563.25
FY17	\$ 202,798.92	\$ 115,617.08	\$ 318,416.00	\$ 7,709,382.92
				\$ 7,650,449.30
FY18	\$ 199,785.65	\$ 118,630.35	\$ 318,416.00	\$ 7,590,752.57
				\$ 7,530,282.75
FY19	\$ 196,693.20	\$ 121,722.80	\$ 318,416.00	\$ 7,469,029.77
				\$ 7,406,983.38
FY20	\$ 193,519.47	\$ 124,896.53	\$ 318,416.00	\$ 7,344,133.24
				\$ 7,280,468.84
FY21	\$ 190,262.32	\$ 128,153.68	\$ 318,416.00	\$ 7,215,979.56
				\$ 7,150,654.61
FY22	\$ 186,919.53	\$ 131,496.47	\$ 318,416.00	\$ 7,084,483.09
				\$ 7,017,453.93
FY23	\$ 183,488.83	\$ 134,927.17	\$ 318,416.00	\$ 6,949,555.92
				\$ 6,880,777.72
FY24	\$ 179,967.90	\$ 138,448.10	\$ 318,416.00	\$ 6,811,107.83
				\$ 6,740,534.58
FY25	\$ 176,354.35	\$ 142,061.65	\$ 318,416.00	\$ 6,669,046.18
				\$ 6,596,630.65
FY26	\$ 172,645.72	\$ 145,770.28	\$ 318,416.00	\$ 6,523,275.89
				\$ 6,448,969.62
FY27	\$ 168,839.48	\$ 149,576.52	\$ 318,416.00	\$ 6,373,699.38
				\$ 6,297,452.58
FY28	\$ 164,933.06	\$ 153,482.94	\$ 318,416.00	\$ 6,220,216.44
				\$ 6,141,978.03
FY29	\$ 160,923.80	\$ 157,492.20	\$ 318,416.00	\$ 6,062,724.24
				\$ 5,982,441.79
FY30	\$ 156,808.97	\$ 161,607.03	\$ 318,416.00	\$ 5,901,117.21
				\$ 5,818,736.88
FY31	\$ 152,585.77	\$ 165,830.23	\$ 318,416.00	\$ 5,735,286.98
				\$ 5,650,753.51
FY32	\$ 148,251.32	\$ 170,164.68	\$ 318,416.00	\$ 5,565,122.29
				\$ 5,478,378.96
FY33	\$ 143,802.67	\$ 174,613.33	\$ 318,416.00	\$ 5,390,508.97
				\$ 5,301,497.55
FY34	\$ 139,236.80	\$ 179,179.20	\$ 318,416.00	\$ 5,211,329.77
				\$ 5,119,990.48
FY35	\$ 134,550.59	\$ 183,865.41	\$ 318,416.00	\$ 5,027,464.36
				\$ 4,933,735.85



# Upper Walkill Facilities - Debt Service Schedule

5/17/2016

File= Upper Walkill DEBTSERVICE Revised 2016

	Vernon Project Financing												Gross Annual Debt Service
	2008 Series A (CIB) (UNREFUNDED)		2008 Series B (CABS) (UNREFUNDED)		2016 Series A Refunding (CIB)		2016 Series B Refunding (CABS)		Series 2012 Refunding Bonds		Total Annual		
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	
FY16(*)	\$ 90,000	\$ 89,478	\$ 973,941	\$ 371,059	\$ -	\$ 58,180	\$ -	\$ -	\$ 290,000	\$ 95,656	\$ 1,353,941	\$ 615,373	\$ 1,969,314
FY17	\$ 95,000	\$ 7,600	\$ 1,037,128	\$ 472,872	\$ 10,000	\$ 123,150	\$ -	\$ -	\$ 290,000	\$ 86,956	\$ 1,432,128	\$ 690,578	\$ 2,122,706
FY18	\$ 95,000	\$ 3,800	\$ 1,045,824	\$ 564,176	\$ 10,000	\$ 122,950	\$ -	\$ -	\$ 295,000	\$ 78,256	\$ 1,445,824	\$ 769,182	\$ 2,215,006
FY19			\$ 1,128,900	\$ 716,100	\$ 110,000	\$ 122,750	\$ -	\$ -	\$ 120,000	\$ 69,406	\$ 1,358,900	\$ 908,256	\$ 2,267,156
FY20			\$ 1,147,604	\$ 847,396	\$ 115,000	\$ 119,450	\$ -	\$ -	\$ 125,000	\$ 64,606	\$ 1,387,604	\$ 1,031,452	\$ 2,419,056
FY21			\$ 1,138,242	\$ 961,758	\$ 120,000	\$ 116,000	\$ -	\$ -	\$ 130,000	\$ 59,606	\$ 1,388,242	\$ 1,137,364	\$ 2,525,606
FY22			\$ 1,069,141	\$ 1,025,859	\$ 120,000	\$ 112,400	\$ -	\$ -	\$ 135,000	\$ 54,406	\$ 1,324,141	\$ 1,192,665	\$ 2,516,806
FY23			\$ 1,263,940	\$ 1,356,060	\$ 125,000	\$ 107,600	\$ -	\$ -	\$ 140,000	\$ 49,006	\$ 1,528,940	\$ 1,512,666	\$ 3,041,606
FY24			\$ 1,193,410	\$ 1,426,590	\$ 125,000	\$ 102,600	\$ -	\$ -	\$ 145,000	\$ 44,632	\$ 1,463,410	\$ 1,573,822	\$ 3,037,232
FY25			\$ 1,125,500	\$ 1,494,500	\$ 130,000	\$ 97,600	\$ -	\$ -	\$ 150,000	\$ 40,100	\$ 1,405,500	\$ 1,632,200	\$ 3,037,700
FY26			\$ 1,060,209	\$ 1,559,791	\$ 140,000	\$ 92,400	\$ -	\$ -	\$ 155,000	\$ 35,413	\$ 1,355,209	\$ 1,687,604	\$ 3,042,813
FY27			\$ 997,565	\$ 1,622,435	\$ 145,000	\$ 86,800	\$ -	\$ -	\$ 160,000	\$ 30,182	\$ 1,302,565	\$ 1,739,417	\$ 3,041,982
FY28			\$ 937,514	\$ 1,682,486	\$ 150,000	\$ 81,000	\$ -	\$ -	\$ 165,000	\$ 24,782	\$ 1,252,514	\$ 1,788,268	\$ 3,040,782
FY29			\$ 881,866	\$ 1,738,134	\$ 155,000	\$ 75,000	\$ -	\$ -	\$ 170,000	\$ 19,213	\$ 1,206,866	\$ 1,832,347	\$ 3,039,213
FY30			\$ 826,924	\$ 1,793,076	\$ 165,000	\$ 68,800	\$ -	\$ -	\$ 175,000	\$ 13,050	\$ 1,166,924	\$ 1,874,926	\$ 3,041,850
FY31			\$ 776,254	\$ 1,843,746	\$ 170,000	\$ 62,200	\$ -	\$ -	\$ 185,000	\$ 6,706	\$ 1,131,254	\$ 1,912,652	\$ 3,043,906
FY32			\$ 782,176	\$ 2,032,824	\$ 175,000	\$ 55,400	\$ -	\$ -	\$ -	\$ -	\$ 957,176	\$ 2,088,224	\$ 3,045,400
FY33			\$ 735,124	\$ 2,074,876	\$ 180,000	\$ 48,400	\$ -	\$ -	\$ -	\$ -	\$ 915,124	\$ 2,123,276	\$ 3,038,400
FY34			\$ 693,480	\$ 2,116,520	\$ 190,000	\$ 41,200	\$ -	\$ -	\$ -	\$ -	\$ 883,480	\$ 2,157,720	\$ 3,041,200
FY35					\$ 195,000	\$ 33,600	\$ 1,082,319	\$ 922,681	\$ -	\$ -	\$ 1,277,319	\$ 956,281	\$ 2,233,600
FY36					\$ 205,000	\$ 25,800	\$ 1,036,004	\$ 968,996	\$ -	\$ -	\$ 1,241,004	\$ 984,796	\$ 2,235,800
FY37					\$ 210,000	\$ 19,650	\$ 992,595	\$ 1,012,405	\$ -	\$ -	\$ 1,202,595	\$ 1,032,055	\$ 2,234,650
FY38					\$ 220,000	\$ 13,350	\$ 949,521	\$ 1,045,480	\$ -	\$ -	\$ 1,169,521	\$ 1,058,830	\$ 2,228,351
FY39					\$ 225,000	\$ 6,750	\$ 914,620	\$ 1,085,380	\$ -	\$ -	\$ 1,139,620	\$ 1,092,130	\$ 2,231,750
FY40													
Totals	\$ 280,000	\$ 100,878	\$ 18,814,742	\$ 25,700,258	\$ 3,390,000	\$ 1,794,030	\$ 4,975,059	\$ 5,034,942	\$ 2,830,000	\$ 771,976	\$ 30,289,801	\$ 33,402,084	\$ 63,691,885

(\*) Note Pd \$93,878 on 6-1-16



**2016 Net Position Reconciliation**

**Sussex County Municipal Utilities Authority**

For the Period December 1, 2016 to November 30, 2017

*Proposed Budget*

	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations
<b>TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)</b>	\$ 1,184,725	\$ 436,714	\$ 1,039,616	\$ 151,128	\$ 18,587	-	\$ 2,830,770
Less: Invested in Capital Assets, Net of Related Debt (1)	(2,863,989)	275,813	6,146,772	-	-	-	3,558,596
Less: Restricted for Debt Service Reserve (1)	-	-	-	-	-	-	-
Less: Other Restricted Net Position (1)	3,785,060	32,741	(1,625,981)	-	18,587	-	2,210,407
Total Unrestricted Net Position (1)	263,654	128,160	(3,481,175)	151,128	-	-	(2,938,233)
Less: Designated for Non-Operating Improvements & Repairs	-	-	-	-	-	-	-
Less: Designated for Rate Stabilization	373,111	5,000	-	151,128	-	-	529,239
Less: Other Designated by Resolution	2,872,662	-	5,213,651	-	-	-	8,086,313
Plus: Accrued Unfunded Pension Liability (1)	-	-	-	-	-	-	-
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)	-	-	-	-	-	-	-
Plus: Estimated Income (Loss) on Current Year Operations (2)	-	-	-	-	-	-	-
Plus: Other Adjustments (attach schedule)	-	-	-	-	-	-	-
<b>UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET</b>	2,763,205	123,160	1,732,476	-	-	-	4,618,841
Unrestricted Net Position Utilized to Balance Proposed Budget	-	7,000	-	-	-	-	7,000
Unrestricted Net Position Utilized in Proposed Capital Budget	-	-	-	-	-	-	-
Appropriation to Municipality/County (3)	-	-	-	-	-	-	-
Total Unrestricted Net Position Utilized in Proposed Budget	-	7,000	-	-	-	-	7,000
<b>PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR (4)</b>	\$ 2,763,205	\$ 116,160	\$ 1,732,476	\$ -	\$ -	\$ -	\$ 4,611,841

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

# 2017

**SUSSEX COUNTY MUNICIPAL  
UTILITIES AUTHORITY**

# **AUTHORITY CAPITAL BUDGET/ PROGRAM**

# 2017 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

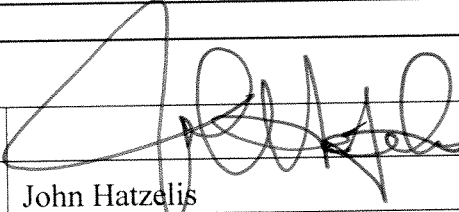
## SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

**FISCAL YEAR: FROM: DECEMBER 1, 2016 TO: NOVEMBER 30, 2017**

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the SCMUA Authority, on the 21<sup>st</sup> day of Sept, 2016.

**OR**

It is hereby certified that the governing body of the \_\_\_\_\_ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): \_\_\_\_\_

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

FY2017

CAPITAL BUDGET  
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

December 1, 2016 TO November 30, 2017

CAPITAL BUDGET MESSAGE

The SCMUA Capital Budgets were prepared by SCMUA Staff and Professionals and reviewed and approved by the Board of Commissioners. The Capital Budgets were prepared using best estimates of anticipated costs for each project/acquisition.

Upper Wallkill Facilities

The Upper Wallkill Facilities has been in operation since 1984 and serves numerous Sussex County Municipalities. Although the Upper Wallkill Water Pollution Control Facilities is 32 years old it is in relatively good condition, however certain equipment is reaching the end of its life cycle and requires repair or replacement. The 2017 Capital Budget and 5 year spending plan sewer prepared to address anticipated repairs and upgrades necessary to maintain the facility without the need to incur any additional debt. For FY2017 Capital Outlays in the amount of \$145,000 are budgeted for Replacement & Pumps, Maintenance, various Paving Projects and SCADA System upgrade. The Renewal and Replacement Fund will be utilized for repairs to the Treatment Units' concrete structure and Replacement of Belt Filter Presses. The Phase II Construction Fund will be utilized to replace one Microstrainer Unit which has reached the end of its useful life and rehabilitation of the Clarifier/Gravity Thickener Units.

Hampton Commons

The Hampton Common's Facility has been in operation since 1987 and is in good working order. This 50,000 gpd facility serves the Hampton Commons Homeowners Association and the Lowe's Home Center in Hampton Township. There are no planned Capital Improvements for FY2017.

CB-2

### Solid Waste Facilities

The Solid Waste Facilities has been in operation since 1989 and serves all Sussex County municipalities and residents. The FY2017 Capital Budget anticipates on funding the replacement/repair of a number of pieces of equipment that has reached the end of their life cycle. This equipment replacement/repair will be funded by Capital Outlays (\$415,000) and the Renewal & Replacement Fund (\$765,000). The Landfill Gas Management system requires a number of gas wells to be replaced to ensure landfill odors are minimized and landfill gas collection to be maximized. The SCMUA is in the process of designing a landfill expansion, which has received SWMP approval from the County and the NJDEP. The proposed Landfill Life Expansion Project is anticipated to be funded by the NJ Environmental Infrastructure Trust Program and will not negatively impact user charges/tipping fees. In 2016, all Solid Waste Debt was retired, the anticipated debt services on the landfill expansion project will not negatively impact tipping fees.

### Watershed Program

The Wallkill Watershed Management Program has budgeted three projects to be funded from Capital Outlays. All three of these projects are anticipated to enhance water quality in the County. The Program is funded by NJDEP 319 (h) grants and will not impact Sussex County tax payers.

### Paulinskill Water Reclamation Facilities

The SCMUA is currently constructing the Paulinskill Water Reclamation Facilities to serve the Borough of Branchville and a small portion of Frankford Township. The Project will eliminate the need for these communities to rely on substandard septic systems which have experienced a high failure rate over the past number of years. The Project is being funded by USDA-RD Program Loans and Grants. The total anticipated project cost is \$13,475,500 however approximately 45% will be funded by USDA grants, the remainder by 40 year low interest loans. The project will be completed by the end of FY2017 and will be operational in 2017. Therefore, no Capital Budget is necessary for FY2017.

CB-2

**2016 Proposed Capital Budget**

Sussex County Municipal Utilities Authority  
 For the Period December 1, 2016 to November 30, 2017

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
<i>Upper Walkkill Facilities</i>					
Capital Outlays (see attached)	\$ 145,000		\$ -		\$ 145,000
Renewal & Replacement Fund	115,000		115,000		-
Phase II Construction Fund	2,975,000		-		2,975,000
Phase III Construction Fund	-		-		-
<b>Total</b>	<b>3,235,000</b>	<b>-</b>	<b>115,000</b>	<b>-</b>	<b>3,120,000</b>
<i>Hampton Comm. Facility</i>					
Capital Outlays (see attached)	-				-
Renewal & Replacement Fund	-				-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Solid Waste Facilities</i>					
Capital Outlays (see attached)	415,000				415,000
Renewal & Replacement Fund	765,000		765,000		-
Cell Closure Escrow Fund	150,000				150,000
Debt Authorized	10,360,000			10,360,000	-
<b>Total</b>	<b>11,690,000</b>	<b>-</b>	<b>765,000</b>	<b>10,360,000</b>	<b>565,000</b>
<i>Watershed Program</i>					
Capital Outlays (see attached)	90,000				90,000
<b>Total</b>	<b>90,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>90,000</b>
<i>Paulinskill Facility</i>					
Debt Authorized	-				-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>n/a</i>					
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL PROPOSED CAPITAL BUDGET</b>	<b>\$ 15,015,000</b>	<b>\$ -</b>	<b>\$ 880,000</b>	<b>\$ 10,360,000</b>	<b>\$ - \$ 3,775,000</b>

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

Sussex County Municipal Utilities Authority

For the Period December 1, 2016 to November 30, 2017

Fiscal Year Beginning in

	Estimated Total Cost	Current Year					
		Proposed Budget	2017	2018	2019	2020	2021
<i>Upper Walkkill Facilities</i>							
Capital Outlays (see attached)	\$ 285,000	\$ 145,000	\$ 20,000	\$ 20,000	\$ 80,000	\$ 20,000	\$ -
Renewal & Replacement Fund	1,040,000	115,000	800,000	125,000	-	-	-
Phase II Construction Fund	3,960,000	2,975,000	965,000	20,000	-	-	-
Phase III Construction Fund	180,000	-	180,000	-	-	-	-
<b>Total</b>	<b>5,465,000</b>	<b>3,235,000</b>	<b>1,965,000</b>	<b>165,000</b>	<b>80,000</b>	<b>20,000</b>	<b>-</b>
<i>Hampton Comm. Facility</i>							
Capital Outlays (see attached)	5,000	-	5,000	-	-	-	-
Renewal & Replacement Fund	93,000	-	93,000	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
<b>Total</b>	<b>98,000</b>	<b>-</b>	<b>98,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Solid Waste Facilities</i>							
Capital Outlays (see attached)	905,000	415,000	175,000	160,000	95,000	60,000	-
Renewal & Replacement Fund	1,635,000	765,000	320,000	150,000	-	400,000	-
Cell Closure Escrow Fund	2,020,000	150,000	30,000	920,000	-	920,000	-
Debt Authorized	17,020,000	10,360,000	6,660,000	-	-	-	-
<b>Total</b>	<b>21,580,000</b>	<b>11,690,000</b>	<b>7,185,000</b>	<b>1,230,000</b>	<b>95,000</b>	<b>1,380,000</b>	<b>-</b>
<i>Watershed Program</i>							
Capital Outlays (see attached)	90,000	90,000	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
<b>Total</b>	<b>90,000</b>	<b>90,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>Paulinskill Facility</i>							
Debt Authorized	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<i>n/a</i>							
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL</b>	<b>\$ 27,233,000</b>	<b>\$ 15,015,000</b>	<b>\$ 9,248,000</b>	<b>\$ 1,395,000</b>	<b>\$ 175,000</b>	<b>\$ 1,400,000</b>	<b>\$ -</b>

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.



## 5 Year Capital Improvement Plan Funding Sources

## Sussex County Municipal Utilities Authority

For the Period December 1, 2016 to November 30, 2017

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Upper Wallkill Facilities</i>						
Capital Outlays (see attached)	\$ 285,000					\$ 285,000
Renewal & Replacement Fund	1,040,000		1,040,000			
Phase II Construction Fund	3,960,000					3,960,000
Phase III Construction Fund	180,000					180,000
Total	5,465,000	-	1,040,000	-	-	4,425,000
<i>Hampton Comm. Facility</i>						
Capital Outlays (see attached)	5,000					5,000
Renewal & Replacement Fund	93,000		93,000			
\$0	-					
\$0	-					
Total	98,000	-	93,000	-	-	5,000
<i>Solid Waste Facilities</i>						
Capital Outlays (see attached)	905,000					905,000
Renewal & Replacement Fund	1,635,000		1,635,000			
Cell Closure Escrow Fund	2,020,000					2,020,000
Debt Authorized	17,020,000			17,020,000		
Total	21,580,000	-	1,635,000	17,020,000	-	2,925,000
<i>Watershed Program</i>						
Capital Outlays (see attached)	90,000					90,000
\$0	-					
\$0	-					
\$0	-					
Total	90,000	-	-	-	-	90,000
<i>Paulinskill Facility</i>						
Debt Authorized	-					
\$0	-					
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
<i>n/a</i>						
\$0	-					
\$0	-					
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
<b>TOTAL</b>	<b>\$ 27,233,000</b>	<b>\$ -</b>	<b>\$ 2,768,000</b>	<b>\$ 17,020,000</b>	<b>\$ -</b>	<b>\$ 7,445,000</b>
Total 5 Year Plan per CB-4	\$ 27,233,000					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

Table 1

Sussex County Municipal Utilities Authority  
 FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)  
 Upper Walkkill Facilities

Projects	Estimated Total Cost	FY2017					FY2018					FY2019					FY2020					FY2021					
<b>1. Capital Outlays</b>																											
Replacement Pumps	\$ 95,000	\$	15,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000
Various Paving Projects	\$ 100,000	\$	40,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	60,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
SCADA System Upgrade	\$ 90,000	\$	90,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
<b>Capital Outlays - Subtotal</b>		\$	145,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	20,000	\$	80,000	\$	80,000	\$	80,000	\$	80,000	\$	20,000	\$	20,000	\$	20,000
<b>2. R&amp;R Fund</b>																											
Belt Filter Press	\$ 65,000	\$	15,000	\$	50,000																						
Design	\$ 750,000	\$	-	\$	750,000																						
Construction	\$ 815,000	\$	15,000	\$	800,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
Belt Filter Press Sub-total		\$	15,000	\$	800,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
Treatment Unit Concrete Repairs	\$ 175,000	\$	75,000	\$	-	\$	100,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
Engineering	\$ 50,000	\$	25,000	\$	-	\$	25,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
Treatment Units Sub-total	\$ 225,000	\$	100,000	\$	-	\$	125,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
<b>R&amp;R - Subtotal</b>		\$	115,000	\$	800,000	\$	125,000	\$	125,000	\$	125,000	\$	125,000	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-

25

**Table 1 (Continued)**

Sussex County Municipal Utilities Authority  
 FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)  
 Upper Wailkill Facilities

Projects:	FY2017	FY2018	FY2019	FY2020	FY2021
<b>3. Upper Wailkill Phase II Construction Fund</b>					
Estimated Total Cost					
Project #454 Microstrainer Replacement:					
Engineering	\$ 60,000	\$ -	\$ -	\$ -	\$ -
Construction	\$ 760,000	\$ -	\$ -	\$ -	\$ -
	<u>\$ 820,000</u>				
Project #453 Clarifiers/Gravity Thickener Rehab:					
Engineering	\$ 300,000	\$ -	\$ -	\$ -	\$ -
Construction	\$ 1,800,000	\$ -	\$ -	\$ -	\$ -
	<u>\$ 2,100,000</u>				
Project #455 Hamburg Reg. P/S Rehab.					
Engineering	\$ -	\$ 120,000	\$ -	\$ -	\$ -
Construction	\$ -	\$ 800,000	\$ -	\$ -	\$ -
	<u>\$ -</u>	<u>\$ 920,000</u>			
Indirect A.L.&F	\$ 55,000	\$ 45,000	\$ 20,000	\$ -	\$ -
<b>Phase II Construction Fund Sub-Total</b>	<u>\$ 2,975,000</u>	<u>\$ 965,000</u>	<u>\$ 20,000</u>	<u>\$ -</u>	<u>\$ -</u>
<b>4. Upper Wailkill Phase III Close-Out</b>					
Vernon Pump Station - High Flow Pumps	\$ -	\$ 180,000	\$ -	\$ -	\$ -
	<u>\$ 180,000</u>				
<b>UW Phase III Closeout Sub-Total</b>	<u>\$ -</u>	<u>\$ 180,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
<b>Total Capital</b>	<u>\$ 3,235,000</u>	<u>\$ 1,965,000</u>	<u>\$ 165,000</u>	<u>\$ 80,000</u>	<u>\$ 20,000</u>

**Table 1**  
**Sussex County Municipal Utilities Authority**  
**FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)**  
**Hampton Commons Treatment Facility**

Projects:	Estimated Total Cost	FY2017	FY2018	FY2019	FY2020	FY2021
<b>1. Capital Outlays</b>						
Nitrate Upgrade Feasibility Study	\$ 5,000	\$ -	\$ 5,000	\$ -	\$ -	\$ -
	\$ 5,000	\$ -	\$ 5,000	\$ -	\$ -	\$ -
<b>2. R&amp;R Fund</b>						
Generator Replacement	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -	\$ -
Collection System Video Inspection & Repairs	\$ 18,000	\$ -	\$ 18,000	\$ -	\$ -	\$ -
	\$ 93,000	\$ -	\$ 93,000	\$ -	\$ -	\$ -
<b>Total Capital</b>	\$ 98,000	\$ -	\$ 98,000	\$ -	\$ -	\$ -

REVISED  
9/16/16

**Table 1**  
Sussex County Municipal Utilities Authority  
FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)  
Solid Waste Facilities

Projects:	Estimated Total Cost	FY2017	FY2018	FY2019	FY2020	FY2021
<b>1. Capital Outlays</b>						
Replacement Rolloff Containers	\$ 75,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000
Concrete Repairs to RC/RC and Maint.	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -
Various Paving Projects	\$ 135,000	\$ 45,000	\$ -	\$ 45,000	\$ -	\$ 45,000
BWRF - Knee Wall Replacement	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -	\$ -
Emergency Backup Power Upgrades	\$ 150,000	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ -
Install Outbound Scale/Booth #3	\$ 155,000	\$ 75,000	\$ -	\$ -	\$ 80,000	\$ -
Replacement A/C Units	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -	\$ -
Small Track Excavator	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ -
Leachate Tank Upgrades for Title V	\$ 110,000	\$ 60,000	\$ 50,000	\$ -	\$ -	\$ -
<b>Total Capital Outlays</b>	\$ 905,000	\$ 415,000	\$ 175,000	\$ 160,000	\$ 95,000	\$ 60,000
<b>2. R&amp;R Fund</b>						
Replacement 1990 Lube Truck (#1732)	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ -
Rebuild 2008 Cat973 Track Loader (#1712)	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -	\$ -
Replace 1994 Mack Rolloff Truck (#1723)	\$ 180,000	\$ 180,000	\$ -	\$ -	\$ -	\$ -
Replace 1990 Ford Water Truck (#1719)	\$ 150,000	\$ 150,000	\$ -	\$ -	\$ -	\$ -
Replace 1999 Pickup Truck (#1703)	\$ 35,000	\$ 35,000	\$ -	\$ -	\$ -	\$ -
Replacement Cat 906 Wheel Loader	\$ 120,000	\$ -	\$ 120,000	\$ -	\$ -	\$ -
Cat 966 Loader - Rebuild	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -	\$ -
Contractor Area Relocation	\$ 150,000	\$ -	\$ -	\$ 150,000	\$ -	\$ -
Replace JD 750K Dozer (#1715)	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
Articulated Dump Truck - Rebuild	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
<b>Total R&amp;R</b>	\$ 1,635,000	\$ 765,000	\$ 320,000	\$ 150,000	\$ -	\$ 400,000

**Table 1 (continued)**  
**Sussex County Municipal Utilities Authority**  
**FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)**  
**Solid Waste Facilities**

Projects:	Estimated Total Cost	Estimated Total Cost				
		FY2017	FY2018	FY2019	FY2020	FY2021
<b>3. Cell Closure Account</b>						
Landfill Gas System Improvements:						
Well Install	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -
Design	\$ 110,000	\$ 10,000	\$ -	\$ 50,000	\$ -	\$ 50,000
RE&I	\$ 210,000	\$ 10,000	\$ -	\$ 100,000	\$ -	\$ 100,000
Construction	\$ 1,500,000	\$ -	\$ -	\$ 750,000	\$ -	\$ 750,000
<b>SubTotal</b>	<b>\$ 1,870,000</b>	<b>\$ 70,000</b>	<b>\$ -</b>	<b>\$ 900,000</b>	<b>\$ -</b>	<b>\$ 900,000</b>
Indirect A.L. & F.	\$ 90,000	\$ 60,000	\$ 30,000	\$ -	\$ -	\$ -
Closure/Post Closure Care Plan Update:	\$ 60,000	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ 20,000
<b>Total Cell Closure Account</b>	<b>\$ 2,020,000</b>	<b>\$ 150,000</b>	<b>\$ 30,000</b>	<b>\$ 920,000</b>	<b>\$ -</b>	<b>\$ 920,000</b>

**Table 1 (continued)**

Sussex County Municipal Utilities Authority  
 FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)  
**Solid Waste Facilities**

Projects:	Estimated Total Cost	FY2017	FY2018	FY2019	FY2020	FY2021
<b>4. Debt Authorized</b>						
Landfill Life Extension: Stage 1 Berm:						
RE&I	\$ 270,000	\$ -	\$ -	\$ -	\$ -	\$ -
Construction	\$ 9,300,000	\$ 9,300,000	\$ -	\$ -	\$ -	\$ -
<b>SubTotal</b>	<b>\$ 9,570,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Leachate P/S and FIM (Proj #444)						
Engineering	\$ 1,450,000	\$ 790,000	\$ 660,000	\$ -	\$ -	\$ -
Construction	\$ 6,000,000	\$ -	\$ 6,000,000	\$ -	\$ -	\$ -
<b>SubTotal</b>	<b>\$ 7,450,000</b>	<b>\$ 790,000</b>	<b>\$ 6,660,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Total Debt Authorized</b>	<b>\$ 17,020,000</b>	<b>\$ 10,360,000</b>	<b>\$ 6,660,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Capital Budget Total #1 to #4</b>	<b>\$ 21,580,000</b>	<b>\$ 11,690,000</b>	<b>\$ 7,185,000</b>	<b>\$ 1,230,000</b>	<b>\$ 95,000</b>	<b>\$ 1,380,000</b>

**ESTIMATED DEBT SERVICE - For Proposed "Debt Authorized"**

Project Description	Est. Cost	Loan Term/Rate	Semi-Annual D/S	Annual D/S
Landfill Exp.	\$ 9,570,000	12 Years @ 2.5%	\$464,017.22	\$928,034
Leachate PS/FM	\$ 7,450,000	20 years @ 2.75%	\$243,366.34	\$486,773
<b>Total Debt / Debt Service</b>	<b>\$ 17,020,000</b>			<b>\$1,414,807</b>

Estimate does not include "Cost of Issuance"

**Table 1**  
**Sussex County Municipal Utilities Authority**  
**FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)**  
**Walkkill Watershed Management Program**

	Estimated Total Cost	FY2017	FY2018	FY2019	FY2020	FY2021
<b>1. Capital Outlays</b>						
Riparian Restoration Project	\$ 10,000	\$ 10,000				
Stormwater BMP Project	\$ 30,000	\$ 30,000				
Agricultural BMP Project	\$ 50,000	\$ 50,000				
	\$ 90,000	\$ 90,000	\$ -	\$ -	\$ -	\$ -
<b>Total Capital</b>	\$ 90,000	\$ 90,000	\$ -	\$ -	\$ -	\$ -



Table 1

Sussex County Municipal Utilities Authority

FY2017 Capital Budget & Five Year Capital Plan (FY2017-2021)

Paulinskill Basin Water Reclamation Facilities

Projects:	Estimated Total Cost	FY2017					FY2018	FY2019	FY2020	FY2021
Paulinskill Basin Water Reclamation System										
Construction Phase Eng. Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Sub Total Eng. Services:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -