

DCA

RECEIVED
JAN 18 2018
SCMUA

ADOPTED COPY

FY2018

SUSSEX COUNTY MUNICIPAL
UTILITIES

Authority Budget

WWW.SCMUA.ORG
(Authority Web Address)

Department Of



Community
Affairs

APPROVED COPY

RECEIVED
MARCH 26 11 33
LOCAL GOVT SERVICES

Division of Local Government Services

2018 AUTHORITY BUDGET

Certification Section

2018

**SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
AUTHORITY BUDGET**

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA ^{Conditional} Date: 11/14/2017

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: Paul D. Cwert CPA, RMA Date: 11/16/2018

STATE OF NEW JERSEY
DEPARTMENT OF COMMUNITY AFFAIRS
DIVISION OF LOCAL GOVERNMENT SERVICES
BUREAU OF AUTHORITY REGULATION
TRENTON, N.J.

RECEIVED
NOV 17 2017
SCMUA

CONDITIONAL CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved budget of the Sussex County Municipal Utilities Authority for the fiscal year ending November 30, 2018 made a part hereof complies with the requirements of law and regulation except for the conditions noted below, and approval is given pursuant to N.J.S.A. 40A:5A-11 subject to the correction of such conditions.

11/14/2017
Date

By Paul D Ewert CPA RMA
Paul Ewert, Supervising Municipal Finance Auditor
Division of Local Government Services

CONDITIONS

Pursuant to N.J.S.A. 40A:5A-10 and 11 of the Local Authorities Fiscal Control Law and N.J.A.C. 5:31-2.1 et seq., each Authority in the State of New Jersey is required to submit a budget for each fiscal year to the Director of the Division of Local Government Services for review and approval. In accordance with these statutory and regulatory requirements, the Sussex County Municipal Utilities Authority submitted its introduced budget for the fiscal year ending December 31, 2018 to the Director for review and approval. During the review of the 2017 budget for the Authority, it was concluded that the Authority will need to adopt the 2017 Amendment Resolution.

The 2017 budget is approved pending the adoption of the 2017 Amendment Resolution.

When the 2017 Amendment Resolution has been adopted, the Authority should proceed as follows:

Upon the adoption of the 2017 Budget Amendment for the Sussex County Municipal Utilities Authority, the Authority may adopt the 2017 budget and submit the 2017 Budget Amendment and the 2017 Adopted Budget, including pages C-6 and C-7 (which refer to the adoption), to the Division for the Director's approval.

2018 PREPARER'S CERTIFICATION

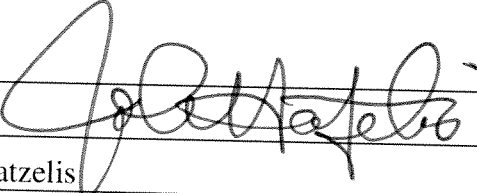
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	John Hatzelis		
Title:	Administrator / TREASURER		
Address:	34 South Route 94, Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	97-579-7819
E-mail address	jhatzelis@scmua.org		

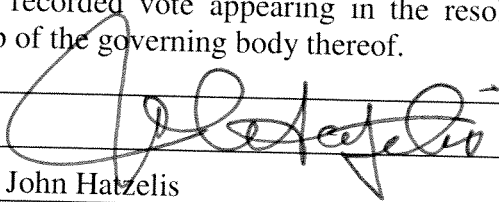
2018 APPROVAL CERTIFICATION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Sussex County Municipal Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 20th day of September, 2018.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.scmua.org
---------------------------------	---------------

All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information
- Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

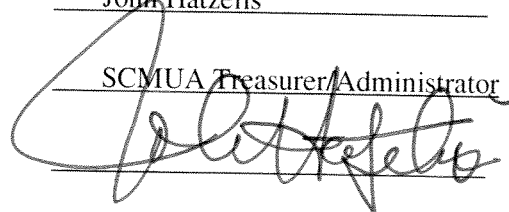
Name of Officer Certifying compliance

John Hatzelis

Title of Officer Certifying compliance

SCMUA Treasurer/Administrator

Signature



2018 AUTHORITY BUDGET RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY (SCMUA)

FISCAL YEAR: FROM: DECEMBER 1, 2017 TO: NOVEMBER 30, 2018

WHEREAS, the Annual Budget and Capital Budget for the Sussex County MUA for the fiscal year beginning December 1, 2017 and ending, November 30, 2018 has been presented before the governing body of the Sussex County MUA at its open public meeting of September 20, 2017; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$18,480,100, Total Appropriations, including any Accumulated Deficit if any, of \$18,487,100 and Total Unrestricted Net Position utilized of \$7,000; and

WHEREAS, the Capital Budget as introduced reflects total Capital Appropriations of \$7,845,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$0; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and


WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the SCMUA, at an open public meeting held on September 20, 2017 that the Annual Budget, including all related schedules, and Capital Budget/Program of the SCMUA for the fiscal year beginning, December 1, 2017 and ending, November 30, 2018 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the SCMUA will consider the Annual Budget and Capital Budget/Program for adoption on November 29, 2017.

Certified as a true copy of the
Resolution adopted by the Authority
at their Regular Meeting held on
Wednesday, September 20, 2017.


Andrea Cocula, Secretary

Governing Body
T. Madsen
J. Drake
D. Perez
W. Dietz
A. Cocula
K. Meyer
R. Petillo

Recorded Vote			
Aye	Nay	Abstain	Absent
X			
X			
X			
			X
X			
			X
X			

2018 AUTHORITY AMENDED BUDGET RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY (SCMUA)

FISCAL YEAR: FROM: DECEMBER 1, 2017 TO: NOVEMBER 30, 2018

WHEREAS, the Annual Budget and Capital Budget for the Sussex County MUA for the fiscal year beginning December 1, 2017 and ending, November 30, 2018 had been presented before the governing body of the Sussex County MUA at its open public meeting of September 20, 2017; and

WHEREAS, the September 20, 2017 Budget Resolution considered and approved by the Commissioners contained incorrect figures for Total Revenues, Appropriations and Unrestricted Net Position Utilized; and

WHEREAS, the Annual Budget is now amended to reflect Total Revenues of \$18,512,600, Total Appropriations, including any Accumulated Deficit if any, of \$18,519,600 and Total Unrestricted Net Position utilized of \$7,000; and

WHEREAS, the Capital Budget as originally introduced reflects total Capital Appropriations of \$7,845,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$0; and

WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and


WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the SCMUA, at an open public meeting held on November 29, 2017 that the Amended Annual Budget, including all related schedules, and Capital Budget/Program of the SCMUA for the fiscal year beginning, December 1, 2017 and ending, November 30, 2018 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the SCMUA will consider the Annual Budget and Capital Budget/Program for adoption after approval by the Division of Local Government Services.

Certified as a true copy of the Resolution adopted by the Authority at their Regular Meeting held on Wednesday, November 29, 2017.


Andrea Cocula, Secretary

<u>Governing Body</u>	Aye	<u>Recorded Vote</u>		
		Nay	Abstain	Absent
R. Petillo	X			
T. Madsen	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			
D. Perez	X			


2018 ADOPTION CERTIFICATION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

AUTHORITY BUDGET

FISCAL YEAR: FROM: DECEMBER 1, 2017 TO: NOVEMBER 30, 2018

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Sussex County Municipal Utilities Authority, pursuant to N.J.A.C. 5:31-2.3, on the 29th day of, November, 2017.

Officer's Signature:			
Name:	Andrea Cocula		
Title:	Board Secretary		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

2018 ADOPTED BUDGET RESOLUTION

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: DECEMBER 1, 2017 TO: NOVEMBER 30, 2018

WHEREAS, the Annual Budget and Capital Budget/Program for the SCMUA for the fiscal year beginning December 1, 2017 and ending, November 30, 2018 has been presented for adoption before the governing body of the SCMUA at its open public meeting of November 29, 2017; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

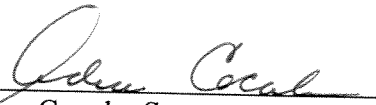
WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$18,512,600, Total Appropriations, including any Accumulated Deficit, if any, of \$18,519,600 and Total Unrestricted Net Position utilized of \$7,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$7,845,000 and Total Unrestricted Net Position planned to be utilized of \$0; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of the SCMUA, at an open public meeting held on November 29, 2017 that the Annual Budget and Capital Budget/Program of the SCMUA for the fiscal year beginning, December 1, 2017 and, ending, November 30, 2018 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.

Certified as a true copy of the
Resolution adopted by the Authority
at their Regular Meeting held on
Wednesday, November 29, 2017.


Andrea Cocula, Secretary

Governing Body	Recorded Vote			
	Aye	Nay	Abstain	Absent
R. Petillo	X			
D. Perez	X			
T. Madsen	X			
W. Dietz	X			
A. Cocula	X			
K. Meyer	X			
J. Drake	X			

2018 Budget Summary

Sussex County Municipal Utilities Authority
 For the Period December 1, 2017 to November 30, 2018

	Proposed Budget						Adopted Budget		All Operations	
	Upper Walkmill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskil Facility	n/a	Total All Operations	Total All Operations		
REVENUES										
Total Operating Revenues	\$ 6,582,000	\$ 172,900	\$ 10,346,000	\$ -	\$ 600,800	\$ -	\$ 17,701,700	\$ 17,733,400	\$ (31,700)	-0.2%
Total Non-Operating Revenues	24,000	600	260,000	526,300	-	-	819,400	(8,500)	-1.0%	
Total Anticipated Revenues	6,606,000	173,500	10,606,000	526,300	600,800	-	18,512,600	18,552,800	(40,200)	-0.2%
APPROPRIATIONS										
Total Administration	640,000	48,000	640,000	24,000	48,000	-	1,400,000	1,379,000	21,000	1.5%
Total Cost of Providing Services	3,571,000	126,500	7,536,000	502,300	233,800	-	11,969,600	11,752,800	216,800	1.8%
Total Principal Payments on Debt Service in Lieu of Depreciation	1,446,000	-	-	-	-	-	119,000	-	-	-
Total Operating Appropriations	5,657,000	174,500	8,176,000	526,300	400,800	-	14,934,600	14,679,400	255,200	1.7%
Total Interest Payments on Debt	769,000	-	-	-	200,000	-	969,000	895,400	73,600	8.2%
Total Other Non-Operating Appropriations	180,000	6,000	2,430,000	-	200,000	-	2,616,000	2,985,000	(369,000)	-12.4%
Total Non-Operating Appropriations	949,000	6,000	2,430,000	-	200,000	-	3,585,000	3,880,400	(295,400)	-7.6%
Accumulated Deficit	-	-	-	-	-	-	-	-	-	-
Total Appropriations and Accumulated Deficit	6,606,000	180,500	10,606,000	526,300	600,800	-	18,519,600	18,559,800	(40,200)	-0.2%
Less: Total Unrestricted Net Position Utilized	-	7,000	-	-	-	-	7,000	7,000	-	-
Net Total Appropriations	6,606,000	173,500	10,606,000	526,300	600,800	-	18,512,600	18,552,800	(40,200)	-0.2%
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!

78

2018 Revenue Schedule

Sussex County Municipal Utilities Authority
 For the Period December 1, 2017 to November 30, 2018

	Proposed Budget						Adopted Budget			
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations	Total All Operations	All Operations	All Operations
OPERATING REVENUES										
<i>Service Charges</i>										
Residential	\$ 5,208,000	\$ 172,900	\$ 10,346,000		\$ 600,800		\$ 16,327,700	\$ 16,427,400	\$ (99,700)	-0.6%
Business/Commercial	-	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Service Charges	5,208,000	172,900	10,346,000		600,800		16,327,700	16,427,400	(99,700)	-0.6%
<i>Connection Fees</i>										
Residential	161,000	-	-	-	-	-	161,000	159,000	2,000	1.3%
Business/Commercial	-	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Connection Fees	161,000	-	-	-	-	-	161,000	159,000	2,000	1.3%
<i>Parking Fees</i>										
Meters	-	-	-	-	-	-	-	-	-	#DIV/0!
Permits	-	-	-	-	-	-	-	-	-	#DIV/0!
Fines/Penalties	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
UW Other Operating Revenue	1,213,000	-	-	-	-	-	1,213,000	1,147,000	66,000	5.8%
Other Revenue 2	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 3	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Other Revenue	1,213,000	-	-	-	-	-	1,213,000	1,147,000	66,000	5.8%
Total Operating Revenues	6,582,000	172,900	10,346,000		600,800		17,701,700	17,733,400	(31,700)	-0.2%
NON-OPERATING REVENUES										
<i>Grants & Entitlements (List)</i>										
NJDEP - REA Entitlement	-	-	114,000	-	-	-	114,000	114,000	-	0.0%
NJDEP 319	-	-	-	526,300	-	-	526,300	493,800	32,500	6.6%
Grant #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Grant #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Grants & Entitlements	-	-	114,000	526,300	-	-	640,300	607,800	32,500	5.3%
<i>Local Subsidies & Donations (List)</i>										
Local Subsidy #1	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #2	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits</i>										
Investments	8,000	600	-	-	-	-	8,600	12,600	(4,000)	-31.7%
Security Deposits	-	-	-	-	-	-	-	-	-	#DIV/0!
Penalties	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Investments	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Interest	8,000	600	-	-	-	-	8,600	12,600	(4,000)	-31.7%
<i>Other Non-Operating Revenues (List)</i>										
UW Non-Operating Revenues	16,000	-	146,000	-	-	-	162,000	199,000	(37,000)	-18.6%
SW Non-Operating Revenues	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Non-Operating Revenues	16,000	-	146,000	-	-	-	162,000	199,000	(37,000)	-18.6%
TOTAL ANTICIPATED REVENUES	\$ 6,606,000	\$ 173,500	\$ 10,606,000	\$ 526,300	\$ 600,800	\$ -	\$ 18,512,600	\$ 18,552,800	\$ (40,200)	-0.2%

70

2017 Adopted Revenue Schedule

Sussex County Municipal Utilities Authority

	Adopted Budget					Total All Operations
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	
OPERATING REVENUES						
<i>Service Charges</i>						
Residential	\$ 5,146,000	\$ 169,400	\$ 10,510,000		\$ 602,000	\$ 16,427,400
Business/Commercial						
Industrial						
Intergovernmental						
Other						
Total Service Charges	5,146,000	169,400	10,510,000		602,000	16,427,400
<i>Connection Fees</i>						
Residential						
Business/Commercial	159,000					159,000
Industrial						
Intergovernmental						
Other						
Total Connection Fees	159,000					159,000
<i>Parking Fees</i>						
Meters						
Permits						
Fines/Penalties						
Other						
Total Parking Fees						
<i>Other Operating Revenues (List)</i>						
UW Other Operating Revenue	1,147,000					1,147,000
Other Revenue 2						
Other Revenue 3						
Other Revenue 4						
Total Other Revenue	1,147,000					1,147,000
Total Operating Revenues	6,452,000	169,400	10,510,000		602,000	17,733,400
NON-OPERATING REVENUES						
<i>Grants & Entitlements (List)</i>						
NJDEP - REA Entitlement						
NJDEP - 319(h) Grants			114,000			114,000
Grant #3				493,800		493,800
Grant #4						
Total Grants & Entitlements			114,000	493,800		607,800
<i>Local Subsidies & Donations (List)</i>						
Local Subsidy #1						
Local Subsidy #2						
Local Subsidy #3						
Local Subsidy #4						
Total Local Subsidies & Donations						
<i>Interest on Investments & Deposits</i>						
Investments	12,000					
Security Deposits		600				
Penalties						12,600
Other Investments						
Total Interest	12,000	600				12,600
<i>Other Non-Operating Revenues (List)</i>						
UW Non-Operating Revenues	16,000					16,000
SW Non-Operating Revenues			183,000			183,000
Total Other Non-Operating Revenues	16,000		183,000			199,000
Total Non-Operating Revenues	28,000	600	297,000	493,800		819,400
TOTAL ANTICIPATED REVENUES	\$ 6,480,000	\$ 170,000	\$ 10,807,000	\$ 493,800	\$ 602,000	\$ 18,552,800

2018 Appropriations Schedule

For the Period December 1, 2017 Sussex County Municipal Utilities Authority
to November 30, 2018

	Proposed Budget						Adopted Budget		All Operations	All Operations
	Upper Walkmill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskil Facility	n/a	Total All Operations	Total All Operations		
OPERATING APPROPRIATIONS										
Administration - Personnel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Salary & Wages	-	-	-	-	-	-	-	-	-	
Fringe Benefits	-	-	-	-	-	-	-	-	-	
Total Administration - Personnel	-	-	-	-	-	-	-	-	-	
Administration - Other (List)	-	-	-	-	-	-	-	-	-	
Other Admin Expense #1	640,000	48,000	640,000	24,000	48,000	1,400,000	1,379,000	21,000	1.5%	
Other Admin Expense #2	-	-	-	-	-	-	-	-	-	
Other Admin Expense #3	-	-	-	-	-	-	-	-	-	
Other Admin Expense #4	-	-	-	-	-	-	-	-	-	
Miscellaneous Administration*	-	-	-	-	-	-	-	-	-	
Total Administration - Other	640,000	48,000	640,000	24,000	48,000	1,400,000	1,379,000	21,000	1.5%	
Cost of Providing Services - Personnel	640,000	48,000	640,000	24,000	48,000	1,400,000	1,379,000	21,000	1.5%	
Salary & Wages	940,000	-	2,220,000	206,000	-	3,366,000	3,442,000	(76,000)	-2.2%	
Fringe Benefits	688,000	-	1,422,900	120,350	-	2,231,250	1,975,900	255,350	12.9%	
Total COPS - Personnel	1,628,000	-	3,642,900	326,350	-	5,597,250	5,417,900	179,350	3.3%	
Cost of Providing Services - Other (List)	1,943,000	126,500	3,893,100	175,950	233,800	6,372,350	6,334,900	37,450	0.6%	
Other COPS Expense #1	-	-	-	-	-	-	-	-	-	
Other COPS Expense #2	-	-	-	-	-	-	-	-	-	
Other COPS Expense #3	-	-	-	-	-	-	-	-	-	
Other COPS Expense #4	-	-	-	-	-	-	-	-	-	
Miscellaneous COPS*	-	-	-	-	-	-	-	-	-	
Total COPS - Other	-	-	-	-	-	-	-	-	-	
Total Cost of Providing Services	1,943,000	126,500	3,893,100	175,950	233,800	6,372,350	6,334,900	37,450	0.6%	
Total Principal Payments on Debt Service in Lieu of Depreciation	3,571,000	126,500	7,556,000	502,300	233,800	11,969,600	11,752,800	216,800	1.8%	
Total Operating Appropriations	1,446,000	174,500	8,176,000	526,300	119,000	1,565,000	1,547,600	17,400	1.1%	
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	769,000	-	-	-	400,800	14,934,600	14,679,400	255,200	1.7%	
Operations & Maintenance Reserve	130,000	6,000	1,000,000	-	200,000	969,000	895,400	73,600	8.2%	
Renewal & Replacement Reserve	-	-	-	-	-	-	-	-	-	
Municipality/County Appropriation	50,000	-	1,430,000	-	-	1,136,000	831,000	305,000	36.7%	
Other Reserves	949,000	6,000	2,430,000	-	200,000	1,480,000	2,154,000	(674,000)	-31.3%	
Total Non-Operating Appropriations	6,606,000	180,500	10,606,000	526,300	600,800	3,880,400	3,880,400	(295,400)	-7.6%	
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT										
UNRESTRICTED NET POSITION UTILIZED	6,606,000	180,500	10,606,000	526,300	600,800	18,519,600	18,559,800	(40,200)	-0.2%	
Municipality/County Appropriation	-	7,000	-	-	-	7,000	7,000	-		
Other	-	7,000	-	-	-	7,000	7,000	-		
Total Unrestricted Net Position Utilized	6,606,000	173,500	10,606,000	526,300	600,800	18,552,800	18,552,800	(40,200)	-0.2%	
TOTAL NET APPROPRIATIONS										

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.
 5% of Total Operating Appropriations \$ 282,850.00 \$ 8,725.00 \$ 408,800.00 \$ 26,315.00 \$ 20,040.00 \$ 746,730.00

2018 AUTHORITY BUDGET
Narrative and Information Section

2018 AUTHORITY BUDGET MESSAGE & ANALYSIS

Upper Wallkill Facilities AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2017 **TO:** November 30, 2018

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2018 proposed Annual Budget and make comparison to the 2017 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Upper Wallkill Facilities Budget is proposed to increase from \$6,480,000 in 2017 to \$6,606,000 in FY2018 a 1.9% increase. Attached is a line item breakdown of the Upper Wallkill Facilities' Operating budget including an explanation for variances. The Service Charges will remain unchanged for Sludge, Septage, and Leachate Disposal. Sewage Treatment Charges to system participants will be increasing from \$5,146,000 in FY2017 to \$5,208,000 in FY2018 or a total of 1.2%. However this increase is mainly attributed to a debt service increase of \$100,000 related to the "Vernon Additional Bonds" which impacts only Vernon Township users. Otherwise the sewer user charges actually decrease.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. The proposed Upper Wallkill Budgets will have no or minimum impact to user charges/rates (other than to the Township of Vernon), however resultant revenues will be impacted by changes in volumes of wastewater anticipated to be received, and miscellaneous revenues are projected to increase due to investment earnings.

	<i>FY 2018</i>	<i>FY 2017</i>	
	<u>Proposed</u>	<u>Budgeted</u>	<u>%</u>
Sludge Treatment	\$82,000	\$82,000	0.0%
Septage Treatment	\$680,000	\$616,000	+10.4 %
Hauled Sewage	\$12,000	\$13,000	- 7.7%
Leachate	\$250,000	\$250,000	0.0%
Misc. Revenue	\$24,000	\$28,000	- 16.6%
O&M Services	\$189,000	\$187,000	+1.1%
Connection Fees	\$161,000	\$158,000	+ 1.9%
Sewer User Charges*	\$5,208,000	\$5,146,000	+1.2%
Total	\$6,606,000	\$6,480,000	+1.9%

* *Estimated Total Sewer User Charges*

<u>Participant</u>	<u>Projected FY2018 User Charges</u>	<u>FY2017 Estimated User Charges</u>	<u>% Change</u>	<u>\$ Change</u>
Hamburg Borough	\$ 476,270	\$ 480,105	-0.8%	(3,835)
Franklin Borough	\$ 873,162	\$ 880,194	-0.8%	(7,032)
Wallkill Sewer Co.	\$ 149,685	\$ 155,463	-3.7%	(5,778)
HTMUA	\$ 655,800	\$ 658,365	-0.4%	(2,565)
Sussex Borough	\$ 707,602	\$ 713,300	-0.8%	(5,698)
Wantage Township	\$ 126,731	\$ 127,618	-0.7%	(887)
Sparta Township	\$ 473,223	\$ 477,009	-0.8%	(3,786)
Vernon Township	\$1,745,527	\$1,653,946	+5.5%	91,581
TOTAL	\$5,208,000	\$5,146,000	1.2%	\$62,000

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local economy is recovering very slowly from the 2008 recession. Some minor development in Vernon, Sparta and Hardyston Townships have maintained some connection fees. The Capital Budget will not require any issuance of bonds or notes so therefore no increase in debt service is anticipated.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. No utilization of Unrestricted Net Position is proposed in the Upper Wallkill FY2018 budget.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are anticipated to be transferred to County/Municipality in 2018.

6. The proposed budget must not reflect an anticipated deficit from 2018 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. The Upper Wallkill Facility Budget does not have a deficit/anticipated deficit.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. A copy of the SCMUA's FY2017 rate schedule and proposed FY2018 rate schedule is attached. There are no significant changes to the existing rate structure.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. *A copy of the SCMUA's most recent Annual submission to EMMA is attached.*

**Upper Walkkill
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
Expense			
UW0100 · Salaries			
UW0101 · Regular Time	1,010,000.00	850,000.00	-18.82%
UW0102 · Overtime	89,000.00	90,000.00	1.11%
Total UW0100 · Salaries	1,099,000.00	940,000.00	-16.91%
UW0300 · Benefits			
UW0301 · Social Security	84,000.00	75,000.00	-12.00%
UW0302 · Public Employee Retirement Syst	100,000.00	104,000.00	3.85%
UW0303 · Prescription	45,000.00	45,000.00	0.00%
UW0304 · N.J.S.U.I.	1,000.00	1,000.00	0.00%
UW0305 · Health Insurance	430,000.00	435,000.00	1.15%
UW0306 · Dental Insurance	16,000.00	16,000.00	0.00%
UW0307 · Medicare Reimbursement	12,000.00	12,000.00	0.00%
Total UW0300 · Benefits	688,000.00	688,000.00	0.00%
UW0400 · Personal Services			
UW0401 · Physicals	500.00	500.00	0.00%
UW0402 · Medical Services	1,000.00	1,000.00	0.00%
UW0403 · Uniforms & Boots	3,500.00	3,500.00	0.00%
Total UW0400 · Personal Services	5,000.00	5,000.00	0.00%
UW0500 · Engineering & Consulting Serv			
UW0503 · Computer Consultant	1,000.00	0.00	
UW0504 · Safety Consultant	1,500.00	1,000.00	-50.00%
UW0505 · Engineering/Management Consul.	24,000.00	24,000.00	0.00%
UW0506 · NJDEPS Permit Appeal	5,000.00	5,000.00	0.00%
UW0507 · TWA/WMP/NJPDES Review for Endor	1,000.00	1,000.00	0.00%
Total UW0500 · Engineering & Consulting Serv	32,500.00	31,000.00	-4.84%
UW0600 · Legal Fees			
UW0601 · General Counsel	10,000.00	10,000.00	0.00%
UW0602 · Special Counsel	10,000.00	5,000.00	-100.00%
Total UW0600 · Legal Fees	20,000.00	15,000.00	-33.33%
UW0700 · Indirect Administrative Expense	630,000.00	640,000.00	1.56%
UW0800 · Trustee and Banking Fees			
UW0801 · Trustee Fees	15,000.00	15,000.00	0.00%
Total UW0800 · Trustee and Banking Fees	15,000.00	15,000.00	0.00%
UW1000 · Insurance			
UW1001 · Automobile	8,600.00		
UW1002 · All Lines	49,000.00	186,000.00	73.66%
UW1004 · Umbrella/Excess Liability	11,500.00		
UW1005 · Property/Equipment/Boiler & Mac	110,000.00		
UW1006 · Workmans Compensation	31,500.00	33,000.00	4.55%
UW1009 · Underground Storage Tank Liab.	10,600.00		
Total UW1000 · Insurance	221,200.00	219,000.00	-1.00%

*Staff reductions
and retirement*

*Anticipate less
legal necessary*

Upper Wallkill
OPERATING BUDGET
 FY 2018

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
UW1100 · Seminar & Dues			
UW1101 · Membership Dues	1,000.00	1,000.00	0.00%
UW1102 · Training Courses/Seminars	2,000.00	2,500.00	20.00%
UW1103 · License Fees	1,500.00	1,000.00	-50.00%
Total UW1100 · Seminar & Dues	4,500.00	4,500.00	0.00%
UW1200 · Travel			
UW1201 · Meals	200.00	200.00	0.00%
UW1202 · Lodging	500.00	500.00	0.00%
UW1205 · Mileage Reimbursement	300.00	300.00	0.00%
Total UW1200 · Travel	1,000.00	1,000.00	0.00%
UW1300 · Office Supplies			
UW1301 · Supplies	1,500.00	1,500.00	0.00%
UW1303 · Maintenance	1,500.00	1,500.00	0.00%
Total UW1300 · Office Supplies	3,000.00	3,000.00	0.00%
UW1400 · Advertising			
UW1401 · Legal Ads	600.00	600.00	0.00%
UW1402 · Other	300.00	500.00	40.00%
Total UW1400 · Advertising	900.00	1,100.00	18.18%
UW1500 · Printing Expenses	400.00	400.00	0.00%
UW1800 · Custodial Supplies	6,000.00	6,000.00	0.00%
UW1900 · Safety Equipment			
UW1901 · Equipment	3,000.00	3,000.00	0.00%
UW1902 · Supplies	2,000.00	2,000.00	0.00%
UW1903 · Service	1,000.00	1,000.00	0.00%
Total UW1900 · Safety Equipment	6,000.00	6,000.00	0.00%
UW2000 · Chemicals			
UW2001 · Polymer	25,000.00	36,000.00	30.56%
UW2002 · Potassium Permanganate	20,000.00	15,000.00	-33.33%
UW2003 · Ferric Chloride	39,000.00	39,000.00	0.00%
UW2005 · Hydrogen Peroxide	30,000.00	28,000.00	-7.14%
UW2009 · Strike	12,000.00	7,000.00	-71.43%
UW2010 · Misc. Chemicals	7,000.00	4,000.00	-75.00%
Total UW2000 · Chemicals	133,000.00	129,000.00	-3.10%
UW2100 · Laboratory Supplies			
UW2101 · Chemicals	2,000.00	2,000.00	0.00%
UW2102 · Glassware	500.00	500.00	0.00%
UW2103 · Equipment	5,500.00	5,500.00	0.00%
UW2104 · Supplies/Misc.	2,000.00	2,000.00	0.00%
Total UW2100 · Laboratory Supplies	10,000.00	10,000.00	0.00%

*Anticipate add'l
 Advertising for
 Bids*

Upper Walkkill
OPERATING BUDGET
 FY 2018

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
UW2200 · Plant Supplies			
UW2201 · FLOW Charts & Pens	2,500.00	2,500.00	0.00%
UW2202 · Welding Supplies	1,000.00	1,000.00	0.00%
UW2203 · Metal, Steel & Aluminum	1,000.00	1,000.00	0.00%
UW2204 · Lubes & Grease	4,000.00	4,000.00	0.00%
UW2205 · Small Items - Hardware	12,000.00	10,000.00	-20.00%
Total UW2200 · Plant Supplies	20,500.00	18,500.00	-10.81%
UW2300 · Testing Services			
UW2301 · Sludge	1,000.00	2,000.00	50.00%
UW2303 · Effluent/Influent	6,000.00	12,000.00	50.00%
UW2307 · Various Other Testing	500.00	500.00	0.00%
Total UW2300 · Testing Services	7,500.00	14,500.00	48.28%
UW2400 · Outside Services			
UW2402 · Outside Repair Work	13,000.00	13,000.00	0.00%
UW2405 · Garbage	3,000.00	0.00	
UW2406 · Grit Disposal	14,000.00	15,000.00	6.67%
UW2407 · Sludge Disposal	480,000.00	550,000.00	12.73%
UW2408 · Maintenance Contracts	4,000.00	4,000.00	0.00%
UW2409 · Small Repairs	7,000.00	5,000.00	-40.00%
Total UW2400 · Outside Services	521,000.00	587,000.00	11.24%
UW2500 · Utilities			
UW2501 · Telephone	25,000.00	25,000.00	0.00%
UW2502 · Electric	455,000.00	410,000.00	-10.98%
UW2504 · Diesel Fuel	9,000.00	7,000.00	-28.57%
UW2505 · Gasoline	8,000.00	8,000.00	0.00%
UW2506 · Propane/Natural Gas	23,000.00	23,000.00	0.00%
UW2507 · Alarm System Monitoring	4,000.00	4,000.00	0.00%
UW2508 · Water	1,000.00	4,000.00	75.00%
Total UW2500 · Utilities	525,000.00	481,000.00	-9.15%
UW2600 · Motor Vehicles & Equipment			
UW2602 · Maintenance & Repairs	10,000.00	10,000.00	0.00%
UW2604 · Oil & Lube	2,000.00	1,000.00	-100.00%
UW2605 · Registrations	2,000.00	3,000.00	33.33%
UW2606 · Tires	2,000.00	2,000.00	0.00%
Total UW2600 · Motor Vehicles & Equipment	16,000.00	16,000.00	0.00%
UW2700 · Equipment/Stationary			
UW2701 · New Purchases	15,000.00	14,000.00	-7.14%
UW2702 · Maintenance/Repairs	35,000.00	30,000.00	-16.67%
UW2704 · Spare Parts	7,000.00	7,000.00	0.00%
Total UW2700 · Equipment/Stationary	57,000.00	51,000.00	-11.76%

Sufficient on hand supply reduces FY18 needs

A new lab services company with increased pricing

A new sludge disposal contract in FY18 - expect cost increase

Anticipate less M&R items in FY18.

Upper Walkkill
OPERATING BUDGET
 FY 2018

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
UW2800 · Electrical Equipment/Repairs			
UW2801 · Meters, Instrum. Repairs & Cal	5,000.00	6,000.00	16.67%
UW2802 · Computer Hardware R&R	1,000.00	1,000.00	0.00%
UW2803 · Terminal, Circuit Board R&R	500.00	500.00	0.00%
UW2804 · Alarm System Repairs	500.00	500.00	0.00%
UW2805 · Miscellaneous Electrical Repair	5,000.00	4,000.00	-25.00%
UW2806 · UV Lamps and Parts	7,000.00	7,000.00	0.00%
Total UW2800 · Electrical Equipment/Repairs	19,000.00	19,000.00	0.00%
UW2900 · Purchases Related to O&M OS			
UW2903 · Homestead STP	12,000.00	12,000.00	0.00%
Total UW2900 · Purchases Related to O&M OS	12,000.00	12,000.00	0.00%
UW3500 · Taxes & Fees			
UW3505 · NJPDES Fees	37,000.00	37,000.00	0.00%
UW3510 · Railroad R.O.W. Charges	19,500.00	22,000.00	11.36%
Total UW3500 · Taxes & Fees	56,500.00	59,000.00	4.24%
UW3600 · Capital Outlays FY 2017			
UW3601 · Scada Upgrade	90,000.00		
UW3602 · Replacement Pumps	15,000.00		
UW3604 · Various Paving	40,000.00		
Total UW3600 · Capital Outlays	145,000.00	0.00	
UW3600 · Capital Outlays FY 2018			
UW3601 · Replacement Pumps		20,000.00	
UW3602 · Various Paving Projects		40,000.00	
UW3603 · SCADA System Upgrade		90,000.00	
UW3604 · Replacement Ford Escape		25,000.00	
UW3605 · Replacement Utility Truck		60,000.00	
Total UW3600 · Capital Outlays	0.00	235,000.00	62% 235%
UW3700 · Debt Services			
UW3703 · 2008 Series A	103,000.00	99,000.00	-4.04%
UW3704 · 2008 Series B SCMUA CAB	910,000.00	911,000.00	0.11%
UW3705 · 2008 Series B Vernon CAB	600,000.00	700,000.00	14.29%
UW3706 · Series 2012 Refunding	378,000.00	375,000.00	-0.80%
UW3707 · Series 2016 Refunding	134,000.00	134,000.00	0.00%
Total UW3700 · Debt Services	2,125,000.00	2,219,000.00	4.24%
UW3800 · Renewal & Replacement Fund	50,000.00	130,000.00	61.54%
UW3900 · Vernon Rate Stabilization Fund	50,000.00	50,000.00	0.00%
Total Expense	6,480,000.00	6,606,000.00	1.91%

Increase due to Capital needs of Upper Walkkill Plant

Increase R&R - Contributions due to Eng. Cost. for Equipment R&R in future years.

2018 AUTHORITY BUDGET MESSAGE & ANALYSIS

Hampton Commons Facility

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2017 **TO:** November 30, 2018

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2018 proposed Annual Budget and make comparison to the 2017 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Hampton Commons Wastewater Treatment Facilities FY2018 Budget is proposed to be \$180,500 this is a \$3,500 increase (or 1.9%) over FY2017 Budget of \$177,000. A copy of the Hampton Commons line items budget is attached along with the current and proposed rate schedule.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. The proposed FY2018 Hampton Commons Budget will have minimal impact on user charges.

<u>Revenue Source</u>	<u>FY2017</u>	<u>FY2018</u>	
Hampton Commons User Charges	\$138,995	\$141,867	+2.0%
Lowes (Township of Hampton)	\$30,405	\$31,033	+2.0%
Investment Earnings	\$600	\$600	0.0%
<u>Appropriation from Surplus</u>	<u>\$7,000</u>	<u>\$7,000</u>	<u>0.0%</u>
Total	\$177,000	\$180,500	+1.9%

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The State/regional economy has not fully rebounded from 2008, however the Hampton Commons Facility relies on revenues solely from the Hampton Commons Homeowners Association and the Hampton Lowes Home Center. There is no planned Capital Budget expenditures for 2018.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.
4. The utilization of \$7,000 is Unrestricted Net Position in the Hampton Commons Budget and is for rate stabilization purposes.
5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).
5. No funds are budgeted to be transferred to the County/municipality.
6. The proposed budget must not reflect an anticipated deficit from 2018 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.
6. The FY2018 Hampton Commons Budget does not have a deficit, nor a projected deficit.
7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.
7. There is no anticipated change in the rate structure for the FY2018 Hampton Commons Facility.
8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.
8. The latest EMMA filing is attached..

**Hampton Commons Facility
OPERATING BUDGET**

9/25/2017

FY 2018

	Budget FY 2017	Budget FY 2018	% Change
Expense			
HA0500 · Engineering & Consulting Serv			
HA0505 · Engineering/Management Consul.	1,500.00	1,500.00	0.00%
HA0506 · NJPDES Permits	500.00	500.00	0.00%
Total HA0500 · Engineering & Consulting Serv	2,000.00	2,000.00	0.00%
HA0600 · Legal Fees			
HA0601 · General Counsel	1,000.00	1,000.00	0.00%
Total HA0600 · Legal Fees	1,000.00	1,000.00	0.00%
HA0700 · Indirect Administrative Expense	47,000.00	48,000.00	2.08%
HA1000 · Insurance			
HA1002 · All Lines	3,100.00	3,100.00	0.00%
Total HA1000 · Insurance	3,100.00	3,100.00	0.00%
HA1500 · Printing Expenses	200.00	200.00	0.00%
HA1900 · Safety Equipment			
HA1901 · Equipment	450.00	450.00	0.00%
HA1902 · Supplies	550.00	550.00	0.00%
Total HA1900 · Safety Equipment	1,000.00	1,000.00	0.00%
HA2000 · Chemicals			
HA2003 · Ferric Chloride	2,500.00	2,500.00	0.00%
HA2004 · Misc. Chemicals	500.00	500.00	0.00%
Total HA2000 · Chemicals	3,000.00	3,000.00	0.00%
HA2100 · Laboratory Supplies			
HA2101 · Chemicals	200.00	200.00	0.00%
HA2103 · Equipment	700.00	700.00	0.00%
HA2104 · Supplies/Misc.	100.00	100.00	0.00%
Total HA2100 · Laboratory Supplies	1,000.00	1,000.00	0.00%
HA2200 · Plant Supplies			
HA2201 · FLOW Charts & Pens	200.00	200.00	0.00%
HA2203 · Metal, Steel & Aluminum	100.00	100.00	0.00%
HA2204 · Lubes & Grease	100.00	100.00	0.00%
HA2205 · Small Items - Hardware	600.00	600.00	0.00%
Total HA2200 · Plant Supplies	1,000.00	1,000.00	0.00%
HA2300 · Testing Services			
HA2301 · Sludge	500.00	500.00	0.00%
HA2303 · Effluent/Influent	3,000.00	5,000.00	40.00%
Total HA2300 · Testing Services	3,500.00	5,500.00	36.36%

A new lab vendor contract, anticipate cost increases in FY18.

Hampton Commons Facility
OPERATING BUDGET
FY 2018

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
HA2400 · Outside Services			
HA2402 · Outside Repair Work	500.00	500.00	0.00%
HA2407 · Sludge Disposal	19,000.00	19,000.00	0.00%
HA2408 · Maintenance Contracts	500.00	500.00	0.00%
HA2411 · Hauled Sewage	1,500.00	500.00	-200.00%
HA2400 · Outside Services - Other	0.00	500.00	100.00%
Total HA2400 · Outside Services	21,500.00	21,000.00	-2.38%
HA2500 · Utilities			
HA2501 · Telephone	500.00	500.00	0.00%
HA2502 · Electric	24,000.00	24,000.00	0.00%
HA2506 · Natural Gas	500.00	500.00	0.00%
HA2507 · Alarm System Monitoring	500.00	500.00	0.00%
HA2508 · Water	200.00	200.00	0.00%
Total HA2500 · Utilities	25,700.00	25,700.00	0.00%
HA2700 · Equipment/Stationary			
HA2701 · New Purchases	1,000.00	1,000.00	0.00%
HA2702 · Maintenance/Repairs	1,000.00	1,000.00	0.00%
HA2704 · Spare Parts	1,000.00	1,000.00	0.00%
Total HA2700 · Equipment/Stationary	3,000.00	3,000.00	0.00%
HA2800 · Electrical Equipment/Repairs			
HA2801 · Meters, Instrum. Repairs & Cal	300.00	300.00	0.00%
HA2804 · Alarm System Repairs	100.00	100.00	0.00%
HA2805 · Miscellaneous Electrical Repair	100.00	100.00	0.00%
Total HA2800 · Electrical Equipment/Repairs	500.00	500.00	0.00%
HA3400 · Special Accounts			
HA3403 · Payment to UW Operating (O&M)	51,500.00	52,500.00	1.90%
Total HA3400 · Special Accounts	51,500.00	52,500.00	1.90%
HA3500 · Taxes & Fees			
HA3505 · NJPDES Fees	6,000.00	6,000.00	0.00%
Total HA3500 · Taxes & Fees	6,000.00	6,000.00	0.00%
HA3800 · R&R Fund	6,000.00	6,000.00	0.00%
Total Expense	177,000.00	180,500.00	1.94%

2018 AUTHORITY BUDGET MESSAGE & ANALYSIS

Solid Waste Facilities AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2017 **TO:** November 30, 2018

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2018 proposed Annual Budget and make comparison to the 2017 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Solid Waste Facilities FY2018 Operating Budget is proposed to be \$10,606,000, this is a reduction of \$201,000 in comparison to the Adopted FY2017 Budget of \$10,807,000. Attached is a line item budget detailing budget expenditures and explanation of increases/decreases. FY2018 Tipping Fees are anticipated to remain at FY2017 rates.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. Anticipated revenues for FY2018 are outlined below:

	<i>Adopted FY2017</i>	<i>Proposed FY2018</i>	<i>% Change</i>
<i>Estimated Recycling Market Revenues</i>	<i>\$200,000</i>	<i>\$190,000</i>	<i>-5.0%</i>
<i>Recycling Disposal Charges</i>	<i>\$5,000</i>	<i>\$5,000</i>	<i>0.0%</i>
<i>Class C (Brush, Leaves, Stumps)</i>	<i>\$117,000</i>	<i>\$100,000</i>	<i>-14.5%</i>
<i>Misc. Charges</i>	<i>\$188,000</i>	<i>\$101,000</i>	<i>-46.3%</i>
<i>Non-Operating Revenues</i>	<i>\$297,000</i>	<i>\$260,000</i>	<i>-12.4%</i>
<i>Tipping Fees</i>	<i>\$10,000,000</i>	<i>\$9,950,000</i>	<i>-0.5%</i>
<i>Total</i>	<i>\$10,807,000</i>	<i>\$10,606,000</i>	<i>-1.9%</i>

Solid Waste Revenues tend to fluctuate significantly due to market conditions. Recycling markets, especially overseas markets remain soft and are not expected to rebound in 2018. The revenues for Class C Vegetative Waste will be reduced by \$17,000. Charges for disposal of "Misc. charges" are expected to decrease by \$87,000. Non-Operating revenues are expected to remain weak in 2018. Tipping fee revenues are expected to decrease somewhat from \$10,000,000 projections in FY2017 to \$9,950,000 in FY2018 due to projected tonnage decreases.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local/regional economy remains weak since the 2008 recession. Tonnage reductions have occurred due to a number of reasons including competition from private Transfer Stations, reduced consumer spending and housing foreclosures. This was offset somewhat in 2017 and 2018 with the SCMUA taking responsibility for Solid Waste Flow Control Enforcement. The Capital Budget Plan includes the closeout of expansion of the landfill to provide capacity beyond 2021, when the existing landfill reaches maximum capacity. Additionally, the SCMUA is planning to construction a leachate pump station forcemain project which will reduce future operating expenses. Both these projects will be financed through the NJEIT and projected annual debt service will not cause any increase in tipping fees.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. Unrestricted Net Position is not being budgeted in FY2018.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are budgeted for County/Municipality transfer.

6. The proposed budget must not reflect an anticipated deficit from 2018 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. There is no anticipated Operations Budget deficit in 2018. However, the deficit caused by the implementation of GASB 68 represents the Authority's share, among all participating employers, of the actuarial accrued liability of the Public Employees' Retirement System (PERS), presented by the State of New Jersey Division of Pensions and Benefits. The reporting of this liability is a required disclosure by GASB 68 and Local Finance Notice 2015-24. The Authority is aware of the significance of the liability. However, there is no current plan to create a fund balance reserve for the liability/deficit.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. Attached are copies of the SCMUA current FY2017 and proposed FY2018 Rate Schedule. There are no proposed changes to FY2018 rates for solid waste disposal.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. *A copy of the SCMUA most recent EMMA submission is attached.*

**Solid Waste
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY2017	Budget FY 2018	% Change
Expense			
LF0100 · Salaries			
LF0101 · Regular Time	1,936,000.00	2,020,000.00	4.16%
LF0102 · Overtime	200,000.00	200,000.00	0.00%
Total LF0100 · Salaries	2,136,000.00	2,220,000.00	3.78%
LF0300 · Benefits			
LF0301 · Social Security	164,000.00	170,000.00	3.53%
LF0302 · Public Employee Retirement Syst	190,000.00	202,000.00	5.94%
LF0303 · Prescription	108,000.00	150,000.00	28.00%
LF0304 · N.J.S.U.I.	1,500.00	1,500.00	0.00%
LF0305 · Health Insurance	671,000.00	852,000.00	21.24%
LF0306 · Dental Insurance	25,000.00	32,000.00	21.88%
LF0307 · Medicare Reimbursement	15,250.00	15,400.00	0.97%
Total LF0300 · Benefits	1,174,750.00	1,422,900.00	17.44%
LF0400 · Personal Services			
LF0401 · Physicals	1,000.00	1,000.00	0.00%
LF0402 · Medical Services	2,500.00	2,500.00	0.00%
LF0403 · Uniforms & Boots	20,000.00	20,000.00	0.00%
Total LF0400 · Personal Services	23,500.00	23,500.00	0.00%
LF0500 · Engineering & Consulting Serv			
LF0505 · Engineering/Management Consul.	65,000.00	65,000.00	0.00%
LF0506 · Other	15,000.00	15,000.00	0.00%
LF0507 · Cover Material Consultant	3,000.00	3,000.00	0.00%
LF0508 · Gas Management Consulting	28,000.00	28,000.00	0.00%
LF0509 · Title V Consulting	60,000.00	50,000.00	-20.00%
Total LF0500 · Engineering & Consulting Ser	171,000.00	161,000.00	-6.21%
LF0600 · Legal Fees			
LF0601 · General Counsel	5,000.00	5,000.00	0.00%
LF0602 · Special Counsel	25,000.00	20,000.00	-25.00%
Total LF0600 · Legal Fees	30,000.00	25,000.00	-20.00%
LF0700 · Indirect Administrative Expense	630,000.00	640,000.00	1.56%
LF0800 · Trustee and Banking Fees			
LF0801 · Trustee Fees	8,000.00	8,000.00	0.00%
Total LF0800 · Trustee and Banking Fees	8,000.00	8,000.00	0.00%
LF0900 · Auditing/Accounting Fees			
LF0901 · Audit Fees	3,000.00	3,000.00	0.00%
Total LF0900 · Auditing/Accounting Fees	3,000.00	3,000.00	0.00%

A number of employees changed coverage to "family coverage" from single.

Certain legal issues resolved - funding reduced.

Solid Waste
OPERATING BUDGET
FY 2018

9/25/2017

	Budget FY2017	Budget FY 2018	% Change
LF1000 · Insurance			
LF1001 · Automobile	16,500.00		
LF1002 · General Liability	65,000.00	173,000.00	62.43%
LF1004 · Umbrella/Excess Liability	16,800.00		
LF1005 · Property/Equipment/Boiler & Mac	42,000.00		
LF1006 · Workmans Compensation	60,000.00	62,000.00	3.23%
LF1009 · Underground Storage Tank Liab.	5,300.00		
LF1010 · Environmental Impar	31,000.00		
Total LF1000 · Insurance	236,600.00	235,000.00	-0.68%
LF1100 · Seminar & Dues			
LF1101 · Membership Dues	1,500.00	2,000.00	25.00%
LF1102 · Training Courses/Seminars	2,500.00	3,500.00	28.57%
LF1103 · License Fees	500.00	500.00	0.00%
Total LF1100 · Seminar & Dues	4,500.00	6,000.00	25.00%
LF1200 · Travel			
LF1201 · Meals	200.00	200.00	0.00%
LF1202 · Lodging	500.00	500.00	0.00%
LF1205 · Mileage Reimbursement	300.00	300.00	0.00%
Total LF1200 · Travel	1,000.00	1,000.00	0.00%
LF1300 · Office Supplies			
LF1301 · Supplies	6,000.00	6,000.00	0.00%
LF1302 · Equipment	2,500.00	2,500.00	0.00%
LF1303 · Maintenance	500.00	500.00	0.00%
Total LF1300 · Office Supplies	9,000.00	9,000.00	0.00%
LF1400 · Advertising			
LF1401 · Legal Ads	1,000.00	1,000.00	0.00%
LF1402 · Other	3,000.00	3,000.00	0.00%
LF1403 · Ads for Grants	1,000.00	0.00	
Total LF1400 · Advertising	5,000.00	4,000.00	-25.00%
LF1500 · Printing Expenses	6,000.00	6,000.00	0.00%
LF1700 · Publications/Subscriptions	500.00	500.00	0.00%
LF1800 · Custodial Supplies	9,000.00	9,000.00	0.00%
LF1900 · Safety Equipment			
LF1901 · Equipment	8,000.00	8,000.00	0.00%
LF1902 · Supplies	3,000.00	3,000.00	0.00%
LF1903 · Service	4,000.00	4,000.00	0.00%
Total LF1900 · Safety Equipment	15,000.00	15,000.00	0.00%

Additional employee training necessary

Advertising for Grants no longer required in budget.

Solid Waste
OPERATING BUDGET
FY 2018

9/25/2017

	Budget FY2017	Budget FY 2018	% Change
LF2000 · Chemicals/Supplies			
LF2001 · Litter Nets	6,000.00	6,000.00	0.00%
LF2002 · Geotextiles	1,000.00	1,000.00	0.00%
LF2003 · Stone/Building Material	15,000.00	10,000.00	-50.00%
LF2004 · Bags, Asbestos / Recycling	2,000.00	2,000.00	0.00%
LF2005 · Seed	1,000.00	1,000.00	0.00%
LF2009 · Ice Melt	3,500.00	3,500.00	0.00%
LF2010 · Road Salt	7,500.00	7,500.00	0.00%
LF2011 · Landfill Tarps	2,000.00	3,000.00	33.33%
LF2012 · Landfill Cover Material	215,000.00	215,000.00	0.00%
LF2013 · Mulch Colorant & Supplies	5,000.00	5,000.00	0.00%
Total LF2000 · Chemicals	258,000.00	254,000.00	-1.57%
LF2200 · Plant Supplies			
LF2202 · Welding Supplies	3,000.00	3,000.00	0.00%
LF2203 · Metal, Steel & Aluminum	12,000.00	12,000.00	0.00%
LF2204 · Grease	1,000.00	1,000.00	0.00%
LF2205 · Small Items - Hardware	11,000.00	11,000.00	0.00%
LF2206 · Landscape Supply	3,000.00	3,000.00	0.00%
Total LF2200 · Plant Supplies	30,000.00	30,000.00	0.00%
LF2300 · Testing Services			
LF2304 · Leachate	4,000.00	12,000.00	66.67%
LF2305 · Groundwater & Monitoring Wells	23,000.00	32,500.00	29.23%
LF2306 · Stream	1,000.00	3,000.00	66.67%
LF2307 · Various Other Testing	2,000.00	2,000.00	0.00%
Total LF2300 · Testing Services	30,000.00	49,500.00	39.39%
LF2400 · Outside Services			
LF2401 · Scale Calibration and Repair	8,000.00	8,000.00	0.00%
LF2402 · Outside Repair Work	38,000.00	38,000.00	0.00%
LF2403 · Household Harzardous Waste Disp	55,000.00	55,000.00	0.00%
LF2407 · SWAP/SLAP	26,000.00	26,000.00	0.00%
LF2408 · Maintenance Contracts	14,000.00	14,000.00	0.00%
LF2409 · Small Repairs	2,500.00	2,500.00	0.00%
LF2411 · Septic Disposal	1,000.00	1,000.00	0.00%
LF2412 · Confined Space/Pump Station Mai	2,000.00	2,000.00	0.00%
LF2413 · Pavement & Maintenance/Striping	5,000.00	6,000.00	16.67%
LF2414 · Maint. Contract (HVAC)	8,000.00	9,000.00	11.11%
LF2415 · Licensed Operator (VSWS)	0.00	2,500.00	100.00%
Total LF2400 · Outside Services	159,500.00	164,000.00	2.74%

*Lab Services
Contractor changed
Increased costs
for services.*

**Solid Waste
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY2017	Budget FY 2018	% Change
LF2500 · Utilities			
LF2501 · Telephone	16,500.00	16,500.00	0.00%
LF2502 · Electric	110,000.00	110,000.00	0.00%
LF2503 · Heating Fuel	65,000.00	55,000.00	-18.18%
LF2504 · Diesel Fuel	225,000.00	225,000.00	0.00%
LF2505 · Gasoline	12,000.00	12,000.00	0.00%
LF2506 · Propane	500.00	500.00	0.00%
LF2507 · Alarm System Monitoring	2,000.00	2,000.00	0.00%
LF2508 · Water	4,000.00	4,000.00	0.00%
Total LF2500 · Utilities	435,000.00	425,000.00	-2.35%
LF2600 · Motor Vehicles & Equipment			
LF2601 · Vehicle Purchases - Lease Pymts	320,000.00	320,000.00	0.00%
LF2602 · Maintenance & Repairs	135,000.00	155,000.00	12.90%
LF2603 · Rentals	20,000.00	5,000.00	-300.00%
LF2604 · Oil & Lube	20,000.00	20,000.00	0.00%
LF2605 · Registration	8,000.00	8,000.00	0.00%
LF2606 · Tires	35,000.00	20,000.00	-75.00%
Total LF2600 · Motor Vehicles & Equipment	538,000.00	528,000.00	-1.89%
LF2700 · Equipment/Stationary			
LF2701 · New Purchases	15,000.00	15,000.00	0.00%
LF2702 · Maintenance/Repairs	14,000.00	14,000.00	0.00%
LF2703 · Rentals	2,000.00	2,000.00	0.00%
LF2704 · Spare Parts	2,000.00	2,000.00	0.00%
Total LF2700 · Equipment/Stationary	33,000.00	33,000.00	0.00%
LF2800 · Electrical Equipment/Repairs			
LF2801 · Meters, Instrum. Repairs & Cal	6,000.00	6,000.00	0.00%
LF2802 · Computer Hardware R&R	4,000.00	4,000.00	0.00%
LF2803 · Terminal, Circuit Board R&R	1,000.00	1,000.00	0.00%
LF2804 · Alarm System Repairs	5,000.00	5,000.00	0.00%
LF2805 · Miscellaneous Electrical Repair	30,000.00	30,000.00	0.00%
Total LF2800 · Electrical Equipment/Repairs	46,000.00	46,000.00	0.00%
LF3000 · Leachate Disposal	277,000.00	250,000.00	-10.80%
LF3100 · Recycling Services			
LF3101 · Transportation/Disposal	0.00	5,000.00	100.00%
LF3102 · Payment to Recycle Market	30,000.00	25,000.00	-20.00%
LF3103 · Payment to Bulky Waste Market	10,000.00	10,000.00	0.00%
LF3104 · Shared Services Fiber Rebate	5,000.00	5,000.00	0.00%
Total LF3100 · Recycling Services	45,000.00	45,000.00	0.00%

**Solid Waste
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY2017	Budget FY 2018	% Change
LF3200 · Landfill Gas System			
LF3201 · Maintenance & Repair Gas System	7,600.00	7,600.00	0.00%
LF3202 · Maintenance & Repair Flare	5,000.00	5,000.00	0.00%
LF3203 · Instrumentation Repair/Calibrat	5,000.00	5,000.00	0.00%
LF3204 · Outside Repair/Service	15,000.00	10,000.00	-50.00%
LF3205 · Flare Testing/Sampling & Analys	3,000.00	3,000.00	0.00%
LF3206 · Surface Emission Monitoring	23,000.00	23,000.00	0.00%
LF3207 · Upgrades	20,000.00	20,000.00	0.00%
Total LF3200 · Landfill Gas System	78,600.00	73,600.00	-6.79%
LF3400 · Special Accounts			
LF3402 · Host Community Benefits	490,000.00	500,000.00	2.00%
LF3404 · Post Closure Care Fund	98,000.00	100,000.00	2.00%
LF3405 · Spes. Accts.	2,104,000.00	1,430,000.00	-47.13%
Total LF3400 · Special Accounts	2,692,000.00	2,030,000.00	-32.61%
LF3500 · Taxes & Fees			
LF3501 · NJ Recycling Tax	294,000.00	300,000.00	2.00%
LF3504 · San. LF Closure & Cont. Tax	49,000.00	50,000.00	2.00%
LF3505 · NJPDES Fees	17,050.00	17,000.00	-0.29%
LF3506 · NJPDES Permits	75,000.00	75,000.00	0.00%
LF3507 · NJDEP Admin. Review & Inspectio	25,000.00	25,000.00	0.00%
LF3508 · Misc. Fees	12,000.00	12,000.00	0.00%
LF3509 · Solid Waste Facility Fees	20,000.00	20,000.00	0.00%
LF3510 · Title V Air Permitting	50,000.00	50,000.00	0.00%
Total LF3500 · Taxes & Fees	542,050.00	549,000.00	1.27%
LF3600 · Capital Outlays FY 2017			
LF3601 · Scale #5 Third Outbound/Booth	75,000.00		
LF3602 · Leachate Tank Upgrade Title V	60,000.00		
LF3603 · Various Paving Projects	45,000.00		
LF3604 · Track Excavator	200,000.00		
LF3605 · Replacement Roll-Off Containers	15,000.00		
LF3606 · Concrete Repairs to RC/RC	20,000.00		
Total LF3600 · Capital Outlays	415,000.00	0.00	
LF3600 · Capital Outlays FY 2018			
LF3601 · Replacement Rolloff Containers		15,000.00	
LF3602 · Concrete Repairs to RC/RC		40,000.00	
LF3604 · Utility Truck with Crane		120,000.00	
LF3603 · Upgrade SWT Scale System		70,000.00	
LF3605 · Upgrade Maint. Shop Heaters		35,000.00	
LF3606 · Leachate Tank Upgrades for Title V		60,000.00	
Total LF3600 · Capital Outlays	0.00	340,000.00	-22.06%
LF3800 · Renewal & Replacement Fund	765,000.00	1,000,000.00	23.50%
Total Expense	10,807,000.00	10,606,000.00	-1.90%

Funds to Cell Closure Escrow Account Reduced for balanced budget

Capital Outlays decreased based on system needs in FY18

R&R increased to reflect future needs for capital/ R&R Equipment.

2018 AUTHORITY BUDGET MESSAGE & ANALYSIS

Wallkill River Watershed Program

AUTHORITY BUDGET

FISCAL YEAR: FROM: December 1, 2017 TO: November 30, 2018

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2018 proposed Annual Budget and make comparison to the 2017 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. The Wallkill Watershed Program's proposed FY2018 Budget is \$526,300 which is an increase of \$32,500 or 6.1% increase over FY2017 adopted Budget of \$493,800. The major increase in the FY2018 Budget is an increase in the Capital Outlays line item of \$50,000, which is related to the anticipated increase in projects related to Riparian Restoration, Stormwater BMP and Agricultural BMP projects in the County. Attached is a complete line item budget for reference.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. All revenues for the Proposed FY2018 Budget are related to NJDEP 319(h) Grant funds which provide the majority of the Watershed Program's Fund Source.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local/regional economy remains sluggish, however the Wallkill Watershed Program funding sources are not revenue generated, but consist of grant funds.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. Unrestricted Net Position funding is not utilized in the FY2018 Budget Proposal.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. No funds are proposed to be transferred to a County /Municipality.

6. The proposed budget must not reflect an anticipated deficit from 2018 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. There is no current or projected budgetary deficit for the Wallkill Watershed Program.

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. A copy of the SCMUA's current FY2017 and proposed FY2018 Rate Schedule is attached.

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. The SCMUA's most recent EMMA submittal is attached.

**Walkkill Watershed
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
Expense			
WW0100 · Salaries			
WW0101 · Regular Time	206,000.00	205,000.00	-0.49%
WW0102 · Overtime	1,000.00	1,000.00	0.00%
Total WW0100 · Salaries	207,000.00	206,000.00	-0.49%
WW0300 · Benefits			
WW0301 · Social Security	16,000.00	15,800.00	-1.27%
WW0302 · Public Employee Retirement Syst	20,000.00	19,100.00	-4.71%
WW0303 · Prescription	14,500.00	16,500.00	12.12%
WW0304 · N.J.S.U.I.	150.00	150.00	0.00%
WW0305 · Health Insurance	60,000.00	66,000.00	9.09%
WW0306 · Dental Insurance	2,500.00	2,400.00	-4.17%
Total WW0300 · Benefits	113,150.00	119,950.00	5.67%
WW0400 · Personal Services			
WW0402 · Medical Services	200.00	200.00	0.00%
WW0403 · Uniforms & Boots	300.00	300.00	0.00%
Total WW0400 · Personal Services	500.00	500.00	0.00%
WW0500 · Engineering & Consulting Serv			
WW0503 · Computer Consultant	150.00	150.00	0.00%
WW0505 · Engineering/Management Consul.	35,000.00	20,000.00	-75.00%
Total WW0500 · Engineering & Consulting Se	35,150.00	20,150.00	-74.44%
WW0600 · Legal Fees			
WW0601 · General Counsel	1,000.00	1,000.00	0.00%
WW0602 · Special Counsel	1,000.00	1,000.00	0.00%
Total WW0600 · Legal Fees	2,000.00	2,000.00	0.00%
WW0700 · Indirect Administrative Expense	24,000.00	22,000.00	-9.09%
WW1000 · Insurance			
WW1001 · Automobile	1,400.00		
WW1002 · All Lines	0.00	1,900.00	100.00%
WW1006 · Workmans Compensation	6,500.00	6,500.00	0.00%
WW1010 · Environmental Imparment/Polluti	500.00		
Total WW1000 · Insurance	8,400.00	8,400.00	0.00%
WW1100 · Seminar & Dues			
WW1101 · Membership Dues	300.00	0.00	
WW1102 · Training Courses/Seminars	500.00	500.00	0.00%
Total WW1100 · Seminar & Dues	800.00	500.00	-60.00%
WW1200 · Travel			
WW1201 · Meals	200.00	200.00	0.00%
WW1202 · Lodging	200.00	200.00	0.00%
WW1205 · Mileage Reimbursement	2,500.00	500.00	-400.00%
Total WW1200 · Travel	2,900.00	900.00	-222.22%

FY18 budget anticipates less need for Eng. Services

Budget decrease due to elimination of memberships

Increase use of vehicles - owned by SCWA.

**Walkkill Watershed
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget FY 2017	Budget FY 2018	% Change
WW1300 · Office Supplies			
WW1301 · Supplies	1,000.00	1,000.00	0.00%
WW1302 · Equipment	2,000.00	2,000.00	0.00%
Total WW1300 · Office Supplies	3,000.00	3,000.00	0.00%
WW1400 · Advertising			
WW1401 · Legal Ads	300.00	0.00	
Total WW1400 · Advertising	300.00	0.00	
WW1500 · Printing Expenses	1,000.00	1,000.00	0.00%
WW1700 · Publications/Subscriptions	200.00	0.00	
WW1900 · Safety Equipment			
WW1902 · Supplies	1,500.00	1,500.00	0.00%
Total WW1900 · Safety Equipment	1,500.00	1,500.00	0.00%
WW2300 · Testing Services			
WW2306 · Stream	2,500.00	0.00	
WW2307 · Various Other Testing	1,000.00	0.00	
Total WW2300 · Testing Services	3,500.00	0.00	
WW2400 · Outside Services			
WW2408 · Maintenance Contracts	400.00	400.00	0.00%
Total WW2400 · Outside Services	400.00	400.00	0.00%
WW3600 · Capital Outlays FY 2017			
WW3601 · Riparian Resoration Project	10,000.00		
WW3602 · Stormwater BMP Project	30,000.00		
WW3603 · Agricultural BMP Project	50,000.00		
WW3604 · General Watershed Planning			
Total WW3600 · Capital Outlays	90,000.00		
WW3600 · Capital Outlays FY 2018			
WW3601 · Riparian Resoration Project		10,000.00	
WW3602 · Stormwater BMP Project		75,000.00	
WW3603 · Agricultural BMP Project		50,000.00	
WW3604 · General Watershed Planning		5,000.00	
Total WW3600 · Capital Outlays	0.00	140,000.00	35.71%
Total Expense	493,800.00	526,300.00	6.18%

In FY 2018 the Watershed Group has an aggressive agenda to implement various projects

2018 AUTHORITY BUDGET MESSAGE & ANALYSIS

Paulinskill Basin Water Reclamation Facility AUTHORITY BUDGET

FISCAL YEAR: **FROM:** December 1, 2017 **TO:** November 30, 2018

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2018 proposed Annual Budget and make comparison to the 2017 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

1. FY2018 will be the second year of operation for the Paulinskill Basin Water Reclamation Facility. This facility became operational in the Fall of 2016. This facility serves the Borough of Branchville and portions of Frankford Township. The FY2018 Budget is \$600,800, this is \$1,200 less than FY2017 Budget of \$602,000.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

2. The proposed FY2018 Budget was prepared using best estimates of all operating expenses, reserve requirements and USDA Loan payment obligations. Based on calculations, SCMUA user charges will be about \$820 per E.D.U. for Branchville and Frankford system participants based on existing service contracts.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

3. The local/regional economy still has not recovered fully from the 2008 recession.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

4. Unrestrictive Net Position is not being budgeted in FY2018.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.).

5. *No funds are budgeted for County/Municipal transfer.*

6. The proposed budget must not reflect an anticipated deficit from 2017 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question.

6. *There is no anticipated deficit in FY2018.*

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.

7. *Attached are copies of the SCMUA's current FY2017 and Proposed FY2018 Rate Schedule.*

8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information.

8. *A copy of the SCMUA most recent Annual Operating Date submission to EMMA is attached.*

**Paulins Kill
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget	Budget	% Change
	FY 2017	FY 2018	
Expense			
PK0500 · Engineering & Consulting Serv			
PK0502 · Special Studies	10,000.00	10,000.00	0.00%
PK0505 · Engineering/Management Consul.	1,000.00	1,000.00	0.00%
Total PK0500 · Engineering & Consulting Ser	11,000.00	11,000.00	0.00%
PK0600 · Legal Fees			
PK0601 · General Counsel	0.00	500.00	100.00%
Total PK0600 · Legal Fees	0.00	500.00	100.00%
PK0700 · Indirect Administrative Expense	48,000.00	48,000.00	0.00%
PK1000 · Insurance			
PK1002 · All Lines	10,000.00	10,000.00	0.00%
Total PK1000 · Insurance	10,000.00	10,000.00	0.00%
PK1500 · Printing Expense	300.00	300.00	0.00%
PK1900 · Safety Equipment			
PK1901 · Equipment	350.00	250.00	-40.00%
PK1902 · Supplies	450.00	250.00	-80.00%
Total PK1900 · Safety Equipment	800.00	500.00	-60.00%
PK2000 · Chemicals			
PK2007 · Sodium Hypochloride	500.00	200.00	-150.00%
PK2010 · Misc. Chemicals	500.00	800.00	37.50%
Total PK2000 · Chemicals	1,000.00	1,000.00	0.00%
PK2100 · Laboratory Supplies			
PK2101 · Chemicals	200.00	200.00	0.00%
PK2103 · Equipment	500.00	500.00	0.00%
PK2104 · Supplies/Misc.	100.00	100.00	0.00%
Total PK2100 · Laboratory Supplies	800.00	800.00	0.00%
PK2200 · Plant Supplies			
PK2204 · Lubes & Grease	100.00	100.00	0.00%
PK2205 · Small Items - Hardware	100.00	100.00	0.00%
Total PK2200 · Plant Supplies	200.00	200.00	0.00%
PK2300 · Testing Services			
PK2301 · Sludge	500.00	300.00	-66.67%
PK2303 · Effluent/Influent	1,500.00	1,700.00	11.76%
Total PK2300 · Testing Services	2,000.00	2,000.00	0.00%

FY18 Budget added funding for legal counsel

Budget adjusted to reflect needs of facility

**Paulins Kill
OPERATING BUDGET
FY 2018**

9/25/2017

	Budget	Budget	% Change
	FY 2017	FY 2018	
PK2400 · Outside Services			
PK2405 · Garbage	1,500.00	500.00	-200.00%
PK2407 · Sludge Disposal	24,000.00	12,000.00	-100.00%
PK2411 · Septic Disposal (Hauled Sewage)	1,500.00	1,500.00	0.00%
Total PK2400 · Outside Services	27,000.00	14,000.00	-92.86%
PK2500 · Utilities			
PK2501 · Telephone	1,000.00	1,000.00	0.00%
PK2502 · Electric	60,000.00	60,000.00	0.00%
PK2504 · Diesel Fuel	500.00	0.00	0.00%
PK2505 · Gasoline	900.00	1,500.00	40.00%
PK2506 · Natural Gas	15,000.00	15,000.00	0.00%
PK2507 · Alarm System Monitoring	1,000.00	1,000.00	0.00%
Total PK2500 · Utilities	78,400.00	78,500.00	0.13%
PK2700 · Equipment/Stationary			
PK2701 · New Purchases	1,000.00	1,000.00	0.00%
Total PK2700 · Equipment/Stationary	1,000.00	1,000.00	0.00%
PK2800 · Electrical Equipment/Repairs			
PK2801 · Meters, Instrum. Repairs & Cal	500.00	500.00	0.00%
Total PK2800 · Electrical Equipment/Repairs	500.00	500.00	0.00%
PK3400 · Special Accounts			
PK3403 · Payment to UW Operating (O&M)	87,000.00	88,000.00	1.14%
Total PK3400 · Special Accounts	87,000.00	88,000.00	1.14%
PK3500 · Taxes & Fees			
PK3505 · NJPDES Fees	6,000.00	6,000.00	0.00%
Total PK3500 · Taxes & Fees	6,000.00	6,000.00	0.00%
PK3700 · Debt Service			
PK3701 · Bond Principal	318,000.00	318,500.00	0.16%
Total PK3700 · Debt Service	318,000.00	318,500.00	0.16%
PK3800 · R&R Fund			
	10,000.00	20,000.00	50.00%
Total Expense	602,000.00	600,800.00	-0.20%

*FY18 Budget
adjusted from FY17
due to actual
costs now
known.*

*R&R Fund
increase to
"kick start"
future funding
needs.*



CONTINUING DISCLOSURE (SUBMISSION STATUS: PUBLISHED)

FINANCIAL/OPERATING FILING (CUSIP-9 BASED)

Rule 15c2-12 Disclosure

Audited Financial Statements or CAFR: SCMUA Audited Financials, for the year ended 11/30/2016

Documents

Financial Operating Filing

SCMUA 2016 - w-s.pdf posted 05/08/2017

The following issuers are associated with this continuing disclosure submission:

CUSIP-6	State	Issuer Name
869266	NJ	SUSSEX CNTY N J MUN UTILS AUTH
869267	NJ	SUSSEX CNTY N J MUN UTILS AUTH WASTEWATER FACS REV
86926L	NJ	SUSSEX CNTY N J MUN UTILS AUTH SOLID WASTE REV

The following 227 securities have been published with this continuing disclosure submission:

CUSIP-9	Maturity Date
869266AA4	04/15/1989
869266AB2	12/30/1987
869266AC0	07/15/1991
869266AD8	03/15/1994
869266AE6	12/14/2001

869266AF3	12/29/2006
869266AG1	12/28/2007
869266AH9	06/27/2008
869266AJ5	09/25/2008
869266AK2	02/15/2012
869267AC8	12/01/1985
869267AD6	12/01/1986
869267AE4	12/01/1987
869267AF1	12/01/1988
869267AG9	12/01/1989
869267AH7	12/01/1990
869267AJ3	12/01/1991
869267AK0	12/01/1992
869267AL8	12/01/1993
869267AM6	12/01/1994
869267AN4	12/01/1995
869267AQ7	12/01/2014
869267AR5	11/15/1988
869267AS3	12/01/1990
869267AT1	12/01/1991
869267AU8	12/01/1992
869267AV6	12/01/1993
869267AW4	12/01/1994
869267AX2	12/01/1995
869267AY0	12/01/1996
869267AZ7	12/01/1997
869267BA1	12/01/1998
869267BB9	12/01/1999
869267BC7	12/01/2000
869267BD5	12/01/2001
869267BE3	12/01/2006
869267BF0	12/01/2014
869267BG8	12/01/1990
869267BH6	12/01/1991
869267BJ2	12/01/1992
869267BK9	12/01/1993
869267BL7	12/01/1994
869267BM5	12/01/1995

869267BN3	12/01/1996
869267BP8	12/01/1997
869267BQ6	12/01/1998
869267BR4	12/01/1999
869267BS2	12/01/2000
869267BT0	12/01/2001
869267BU7	12/01/2002
869267BV5	12/01/2014
869267BW3	12/01/2003
869267BX1	12/01/1990
869267BY9	12/01/1991
869267BZ6	12/01/1992
869267CA0	12/01/1993
869267CB8	12/01/1994
869267CC6	12/01/1995
869267CD4	12/01/1996
869267CE2	12/01/1997
869267CF9	12/01/1998
869267CG7	12/01/1999
869267CH5	12/01/2000
869267CJ1	12/01/2014
869267CK8	12/01/2001
869267CL6	12/01/2002
869267CM4	12/01/1993
869267CN2	12/01/1994
869267CP7	12/01/1995
869267CQ5	12/01/1996
869267CR3	12/01/1997
869267CS1	12/01/1998
869267CT9	12/01/1999
869267CU6	12/01/2000
869267CX0	12/01/2003
869267CY8	12/01/2008
869267CZ5	12/01/2014
869267DA9	12/01/1996
869267DB7	12/01/1997
869267DC5	12/01/1998
869267DD3	12/01/1999

869267DE1	12/01/2000
869267DF8	12/01/2001
869267DG6	12/01/2002
869267DH4	12/01/2003
869267DJ0	12/01/2004
869267DK7	12/01/2005
869267DL5	12/01/2006
869267DM3	12/01/2007
869267DN1	12/01/2008
869267DP6	12/01/2009
869267DQ4	12/01/2010
869267DR2	12/01/2011
869267DS0	12/01/2012
869267DT8	12/01/2013
869267DU5	12/01/2014
869267DV3	12/01/2015
869267DW1	12/01/2016
869267DX9	12/01/2017
869267DY7	12/01/2018
869267DZ4	12/01/2003
869267EA8	12/01/2004
869267EB6	12/01/2005
869267EC4	12/01/2006
869267ED2	12/01/2007
869267EE0	12/01/2008
869267EF7	12/01/2009
869267EG5	12/01/2010
869267EH3	12/01/2011
869267EJ9	12/01/2012
869267EK6	12/01/2013
869267EL4	12/01/2019
869267EM2	12/01/2024
869267EN0	12/01/2031
869267EP5	12/01/2003
869267EQ3	12/01/2004
869267ER1	12/01/2005
869267ES9	12/01/2006
869267ET7	12/01/2007

869267EU4	12/01/2008
869267EV2	12/01/2009
869267EW0	12/01/2010
869267EX8	12/01/2011
869267EY6	12/01/2012
869267EZ3	12/01/2013
869267FA7	12/01/2013
869267FB5	12/01/2014
869267FC3	12/01/2014
869267FD1	12/01/2015
869267FE9	12/01/2016
869267FF6	12/01/2017
869267FG4	12/01/2018
869267FH2	12/01/2010
869267FJ8	12/01/2011
869267FK5	12/01/2012
869267FL3	12/01/2013
869267FM1	12/01/2014
869267FN9	12/01/2015
869267FP4	12/01/2016
869267FQ2	12/01/2017
869267FR0	12/01/2018
869267FS8	12/01/2019
869267FT6	12/01/2020
869267FU3	12/01/2021
869267FV1	12/01/2022
869267FW9	12/01/2023
869267FX7	12/01/2024
869267FY5	12/01/2025
869267FZ2	12/01/2030
869267GA6	12/01/2039
869267GB4	12/01/2009
869267GC2	12/01/2010
869267GD0	12/01/2011
869267GE8	12/01/2012
869267GF5	12/01/2013
869267GG3	12/01/2014
869267GH1	12/01/2015

86926 7GJ7	12/01/2016
86926 7GK4	12/01/2017
86926 7GL2	12/01/2018
86926 7GM0	12/01/2019
86926 7GN8	12/01/2020
86926 7GP3	12/01/2021
86926 7GQ1	12/01/2022
86926 7GR9	12/01/2023
86926 7GS7	12/01/2024
86926 7GT5	12/01/2025
86926 7GU2	12/01/2026
86926 7GV0	12/01/2027
86926 7GW8	12/01/2028
86926 7GX6	12/01/2029
86926 7GY4	12/01/2030
86926 7GZ1	12/01/2031
86926 7HA5	12/01/2032
86926 7HB3	12/01/2033
86926 7HC1	12/01/2034
86926 7HD9	12/01/2035
86926 7HE7	12/01/2036
86926 7HF4	12/01/2037
86926 7HG2	12/01/2038
86926 7HH0	12/01/2039
86926 LAA1	12/01/1990
86926 LAB9	12/01/1991
86926 LAC7	12/01/1992
86926 LAD5	12/01/1993
86926 LAE3	12/01/1994
86926 LAF0	12/01/1995
86926 LAG8	12/01/1996
86926 LAH6	12/01/1997
86926 LAJ2	12/01/1998
86926 LAK9	12/01/1999
86926 LAL7	12/01/2000
86926 LAM5	12/01/2006
86926 LAN3	12/01/2013
86926 LAP8	12/01/2001

86926LAQ6	12/01/2002
86926LAR4	12/01/2013
86926LAS2	12/01/1996
86926LATO	12/01/1997
86926LAU7	12/01/1998
86926LAV5	12/01/1999
86926LAW3	12/01/2000
86926LAX1	12/01/2001
86926LAY9	12/01/2002
86926LAZ6	12/01/2009
86926LBA0	12/01/2013
86926LBB8	12/01/2003
86926LBC6	12/01/2004
86926LBD4	12/01/2005
86926LBE2	12/01/2006
86926LBF9	12/01/2007
86926LBG7	12/01/2008
86926LBH5	12/01/2009
86926LBJ1	12/01/2010
86926LBK8	12/01/2011
86926LBL6	12/01/2012
86926LBM4	12/01/2013
86926LBN2	12/01/2007
86926LBP7	12/01/2008
86926LBQ5	12/01/2009
86926LBR3	12/01/2010
86926LBS1	12/01/2011
86926LBT9	12/01/2012
86926LBU6	12/01/2013
86926LBV4	12/01/2014
86926LBW2	12/01/2015
86926LBX0	12/01/2016

Submitter's Contact Information

Company: Sussex County Municipal Utilities Authority
Name: JOHN HATZELIS

AUTHORITY CONTACT INFORMATION 2018

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	Sussex County Municipal Utilities Authority		
Federal ID Number:	22-2272173		
Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998(103)	Fax:	973-579-7819

Preparer's Name:	John Hatzelis, Administrator / <i>TREASURER</i>		
Preparer's Address:	34 South Route 94		
City, State, Zip:	Lafayette	NJ	07848
Phone: (ext.)	973-579-6998 (103)	Fax:	973-579-7819
E-mail:	jhatzelis@scmua.org		

Chief Executive Officer:	N/A		
Phone: (ext.)		Fax:	
E-mail:			

Chief Financial Officer:	N/A		
Phone: (ext.)		Fax:	
E-mail:			

Name of Auditor:	Paul Cuva, CPA		
Name of Firm:	Ferraioli, Wielkocz, Cerullo & Cuva, P.A.		
Address:	401 Wanaque Avenue		
City, State, Zip:	Pompton Lakes	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	paulcuv@optonline.net		

AUTHORITY INFORMATIONAL QUESTIONNAIRE SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in (Use **Most Recent W-3 Available 2016 or 2017**) as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 92
- 2) Provide the amount of total salaries and wages as reported on the Authority's Form W-3, (Use **Most Recent W-3 Available 2016 or 2017**) Transmittal of Wage and Tax Statements: \$4,003,337
- 3) Provide the number of regular voting members of the governing body: 7
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? NO *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year (**Most Recent Filing that March 31, 2017 or 2018 deadline has passed 2017 or 2018**) because of their relationship with the Authority file the form as required? (Checked to see if individuals actually filed at <http://www.state.nj.us/dca/divisions/dlgs/resources/fds.html> before answering) YES **If "no,"** provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? NO *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? NO
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? NO
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NO*If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. NO *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. **Attach a narrative of your Authority's procedures for all employees.**
- 11) Did the Authority pay for meals or catering during the current fiscal year? NO *If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? _____ If "yes," ***attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.***
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- First class or charter travel ___NO___
 - Travel for companions ___NO___
 - Tax indemnification and gross-up payments ___NO___
 - Discretionary spending account ___NO___
 - Housing allowance or residence for personal use ___NO___
 - Payments for business use of personal residence ___NO___
 - Vehicle/auto allowance or vehicle for personal use ___NO___
 - Health or social club dues or initiation fees ___NO___
 - Personal services (i.e.: maid, chauffeur, chef) ___NO___
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? YES If "no," ***attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses. (If your authority does not allow for reimbursements indicate that in answer)***
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? NO If "yes," ***attach explanation including amount paid.***
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? NO If "yes," ***attach explanation including amount paid.***
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? YES If "no," ***attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.***
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? NO If "yes," ***attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.***
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? NO If "yes," ***attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.***

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY
AUTHORITY BUDGET**

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: (Use the Most Recent W-2 available 2017 or 2018. The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2018, the most recent W-2 and 1099 should be used 2017 or 2016 (60 days prior to start of budget year is November 1, 2017, with 2016 being the most recent calendar year ended), and for fiscal years ending June 30, 2018, the calendar year 2017 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2017, with 2017 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

Sussex County Municipal Utilities Authority
 For the Period December 1, 2017 to November 30, 2018

Reportable Compensation from
 Authority (W-2/ 1099)

Name	Title	Average Hours per Week Dedicated to Position	Position	Reportable Compensation from Authority (W-2/ 1099)			Estimated amount of other compensation from the Authority (health benefits, pension, etc.)	Total Compensation from Authority	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (health benefits, pension, etc.) (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, etc.)	Total Compensation
				Commissioner	Officer	Key Employee								
1 John Hatzelis	Administrator	40 +							Statewide JIF	Commissioner	1 mtg/month	\$ -	\$ -	\$ 156,818
2 Thomas Varro	Chief Engineer	40 +					\$ 139,200	\$ -				\$ -	\$ -	\$ 139,200
3							\$ -	\$ -				\$ -	\$ -	\$ -
4 Thomas Madsen	Chairman	2 mtgs/month		x			3,700	-				3,700	-	3,700
5 John Drake	Vice Chairman	2 mtgs/month		x			3,700	-				3,700	-	3,700
6 Andera Cocoula	Secretary	2 mtgs/month		x			3,700	-	Sen. Oroho's Office			3,700	-	3,700
7 Wayne Dietz	Commissioner	2 mtgs/month		x			3,700	-				3,700	-	3,700
8 Karl Meyer	Commissioner	2 mtgs/month		x			3,700	-				3,700	-	3,700
9 Daniel Perez	Commissioner	2 mtgs/month		x			3,700	-				3,700	-	3,700
10 Ron Pettillo	Commissioner	2 mtgs/month		x			3,700	-				3,700	-	3,700
11							-	-				-	-	-
12							-	-				-	-	-
13							-	-				-	-	-
14							-	-				-	-	-
15							-	-				-	-	-
Total:							\$ 321,918	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 321,918

Enter the total number of employees/independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed:

Schedule of Health Benefits - Detailed Cost Analysis

Sussex County Municipal Utilities Authority

For the Period December 1, 2017 to November 30, 2018

	# of Covered Members (Medical & Rx) Proposed Budget		Annual Cost Estimate per Employee Proposed Budget		Total Cost Estimate Proposed Budget	# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
	# of Covered Members (Medical & Rx) Proposed Budget	# of Covered Members (Medical & Rx) Current Year	Annual Cost Estimate per Employee Proposed Budget	Annual Cost per Employee Current Year						
Active Employees - Health Benefits - Annual Cost										
Single Coverage	20	20	\$ 12,454	\$ 249,080	\$ 249,080	20	\$ 11,861	\$ 237,220	\$ 11,860	5.0%
Parent & Child	0	0	-	-	-	-	-	-	-	#DIV/0!
Employee & Spouse (or Partner)	10	10	24,908	249,080	249,080	10	23,722	237,220	11,860	5.0%
Family	23	23	34,748	799,204	799,204	23	33,093	761,139	38,065	5.0%
Employee Cost Sharing Contribution (enter as negative -)				(197,199)	(197,199)			(187,809)	(9,390)	5.0%
Subtotal	53	53		1,100,165	1,100,165	53		1,047,770	52,395	5.0%
Commissioners - Health Benefits - Annual Cost										
Single Coverage	0	0	-	-	-	0	-	-	-	#DIV/0!
Parent & Child	0	0	-	-	-	0	-	-	-	#DIV/0!
Employee & Spouse (or Partner)	1	1	24,908	24,908	24,908	1	23,722	23,722	1,186	5.0%
Family	2	2	34,748	69,496	69,496	2	33,093	66,186	3,310	5.0%
Employee Cost Sharing Contribution (enter as negative -)				(2,956)	(2,956)			(3,054)	98	-3.2%
Subtotal	3	3		91,448	91,448	3		86,854	4,594	5.3%
Retirees - Health Benefits - Annual Cost										
Single Coverage	12	12	11,992	143,904	143,904	12	11,421	137,052	6,852	5.0%
Parent & Child	0	0	-	-	-	0	-	-	-	#DIV/0!
Employee & Spouse (or Partner)	13	13	19,769	256,997	256,997	13	18,828	244,764	12,233	5.0%
Family	5	5	36,300	181,500	181,500	5	34,571	172,855	8,645	5.0%
Employee Cost Sharing Contribution (enter as negative -)				-	-			-	-	#DIV/0!
Subtotal	30	30		582,401	582,401	30		554,671	27,730	5.0%
GRAND TOTAL	86	86		\$ 1,774,014	\$ 1,774,014	86		\$ 1,689,295	\$ 84,719	5.0%

Is medical coverage provided by the SHBP (Yes or No)? yes
 Is prescription drug coverage provided by the SHBP (Yes or No)? yes

Schedule of Accumulated Liability for Compensated Absences

Sussex County Municipal Utilities Authority

For the Period December 1, 2017 to November 30, 2018

Complete the below table for the Authority's accrued liability for compensated absences.

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement	Legal Basis for Benefit (check applicable items)
Total SCMUA Compensated Absences		\$ 815,971	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Total liability for accumulated compensated absences at beginning of current year		\$ 815,971				

Schedule of Shared Service Agreements

Sussex County Municipal Utilities Authority

November 30, 2018

December 1, 2017

For the Period

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority
Sussex County Sheriff's Dept	SCMUA	Sheriff's Labor Assistance Program				\$ 26,000
SCMUA	Various Municipalities	Certified Recycling Coordinator		Annually		\$ 1,500
Morris County Coop Purchasing	SCMUA	Coop. Purchasing Program		Annually		
County of Sussex	SCMUA	GIS Services				
SCMUA	County of Sussex	Solid Waste Enforcement Services		7/1/2015	6/1/2020	\$ 50,000
Statewide Ins. Fund	SCMUA	Insurance - Workers Comp/All Lines		1/1/2015	12/31/2017	\$ 520,000
SCMUA	Hardyston Twp.	Recycling Marketing Program		Annually		\$ 8,000
SCMUA	County of Sussex	Sewage Treatment Plant O&M		1/1/1985	n/a	\$ 34,000

2018 AUTHORITY BUDGET

Financial Schedules Section

2018 Budget Summary

Sussex County Municipal Utilities Authority
 December 1, 2017 to November 30, 2018

	Proposed Budget					Total All Operations	Adopted Budget Total All Operations	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskil Facility					n/a
										All Operations
REVENUES										
Total Operating Revenues	\$ 6,582,000	\$ 172,900	\$ 10,346,000	\$ -	\$ 600,800	\$ -	\$ 17,733,400	\$ (31,700)	-0.2%	
Total Non-Operating Revenues	24,000	600	260,000	526,300	-	-	819,400	(8,500)	-1.0%	
Total Anticipated Revenues	6,606,000	173,500	10,606,000	526,300	600,800	-	18,552,800	(40,200)	-0.2%	
APPROPRIATIONS										
Total Administration	640,000	48,000	640,000	24,000	48,000	-	1,379,000	21,000	1.5%	
Total Cost of Providing Services	3,571,000	133,500	7,536,000	502,300	233,800	-	11,752,800	223,800	1.9%	
Total Principal Payments on Debt Service in Lieu of Depreciation	1,446,000	-	-	-	119,000	-	1,547,600	17,400	1.1%	
Total Operating Appropriations	5,657,000	181,500	8,176,000	526,300	400,800	-	14,679,400	262,200	1.8%	
Total Interest Payments on Debt	769,000	-	-	-	200,000	-	895,400	73,600	8.2%	
Total Other Non-Operating Appropriations	180,000	6,000	2,430,000	-	-	-	2,985,000	(369,000)	-12.4%	
Total Non-Operating Appropriations	949,000	6,000	2,430,000	-	200,000	-	3,880,400	(295,400)	-7.6%	
Accumulated Deficit	-	-	-	-	-	-	-	-	#DIV/0!	
Total Appropriations and Accumulated Deficit	6,606,000	187,500	10,606,000	526,300	600,800	-	18,559,800	(33,200)	-0.2%	
Less: Total Unrestricted Net Position Utilized	-	7,000	-	-	-	7,000	7,000	-	0.0%	
Net Total Appropriations	6,606,000	180,500	10,606,000	526,300	600,800	-	18,552,800	(33,200)	-0.2%	
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ (7,000)	\$ -	\$ -	\$ -	\$ (7,000)	\$ -	\$ (7,000)	#DIV/0!	

2018
2016 Revenue Schedule

Sussex County Municipal Utilities Authority
For the Period December 1, 2017 to November 30, 2018

	Proposed Budget						Adopted Budget		\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Upper Walkkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations	Total All Operations	All Operations	All Operations
OPERATING REVENUES										
<i>Service Charges</i>										
Residential	\$ 5,208,000	\$ 172,900	\$ 10,346,000		\$ 600,800		\$ 16,327,700	\$ 16,427,400	\$ (99,700)	-0.6%
Business/Commercial	-	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Service Charges	5,208,000	172,900	10,346,000	-	600,800	-	16,327,700	16,427,400	(99,700)	-0.6%
<i>Connection Fees</i>										
Residential	161,000	-	-	-	-	-	161,000	159,000	2,000	1.3%
Business/Commercial	-	-	-	-	-	-	-	-	-	#DIV/0!
Industrial	-	-	-	-	-	-	-	-	-	#DIV/0!
Intergovernmental	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Connection Fees	161,000	-	-	-	-	-	161,000	159,000	2,000	1.3%
<i>Parking Fees</i>										
Meters	-	-	-	-	-	-	-	-	-	#DIV/0!
Permits	-	-	-	-	-	-	-	-	-	#DIV/0!
Fines/Penalties	-	-	-	-	-	-	-	-	-	#DIV/0!
Other	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>										
UW Other Operating Revenue	1,213,000	-	-	-	-	-	1,213,000	1,147,000	66,000	5.8%
Other Revenue 2	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 3	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Revenue 4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Other Revenue	1,213,000	-	-	-	-	-	1,213,000	1,147,000	66,000	5.8%
Total Operating Revenues	6,582,000	172,900	10,346,000	-	600,800	-	17,701,700	17,733,400	(31,700)	-0.2%
NON-OPERATING REVENUES										
<i>Grants & Entitlements (List)</i>										
NJDEP - REA Entitlement	-	-	114,000	-	-	-	114,000	114,000	-	0.0%
NJDEP 319	-	-	-	526,300	-	-	526,300	493,800	32,500	6.6%
Grant #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Grant #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Grants & Entitlements	-	-	114,000	526,300	-	-	640,300	607,800	32,500	5.3%
<i>Local Subsidies & Donations (List)</i>										
Local Subsidy #1	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #2	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Local Subsidy #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits</i>										
Investments	8,000	600	-	-	-	-	8,600	12,600	(4,000)	-31.7%
Security Deposits	-	-	-	-	-	-	-	-	-	#DIV/0!
Penalties	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Investments	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Interest	8,000	600	-	-	-	-	8,600	12,600	(4,000)	-31.7%
<i>Other Non-Operating Revenues (List)</i>										
UW Non-Operating Revenues	16,000	-	146,000	-	-	-	162,000	199,000	(37,000)	-18.6%
SW Non-Operating Revenues	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating #3	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating #4	-	-	-	-	-	-	-	-	-	#DIV/0!
Other Non-Operating Revenues	16,000	-	146,000	-	-	-	162,000	199,000	(37,000)	-18.6%
Total Non-Operating Revenues	24,000	600	260,000	526,300	-	-	810,900	819,400	(8,500)	-1.0%
TOTAL ANTICIPATED REVENUES	\$ 6,606,000	\$ 173,500	\$ 10,606,000	\$ 526,300	\$ 600,800	\$ -	\$ 18,512,600	\$ 18,552,800	\$ (40,200)	-0.2%

2015 Adopted Revenue Schedule

Sussex County Municipal Utilities Authority

	Adopted Budget						Total All Operations
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	
OPERATING REVENUES							
<i>Service Charges</i>							
Residential	\$ 5,146,000	\$ 169,400	\$ 10,510,000		\$ 602,000		\$ 16,427,400
Business/Commercial							
Industrial							
Intergovernmental							
Other							
Total Service Charges	5,146,000	169,400	10,510,000		602,000		16,427,400
<i>Connection Fees</i>							
Residential	159,000						159,000
Business/Commercial							
Industrial							
Intergovernmental							
Other							
Total Connection Fees	159,000						159,000
<i>Parking Fees</i>							
Meters							
Permits							
Fines/Penalties							
Other							
Total Parking Fees							
<i>Other Operating Revenues (List)</i>							
UW Other Operating Revenue	1,147,000						1,147,000
Other Revenue 2							
Other Revenue 3							
Other Revenue 4							
Total Other Revenue	1,147,000						1,147,000
Total Operating Revenues	6,452,000	169,400	10,510,000		602,000		17,733,400
NON-OPERATING REVENUES							
<i>Grants & Entitlements (List)</i>							
NJDEP - REA Entitlement			114,000				114,000
NJDEP - 319(h) Grants				493,800			493,800
Grant #3							
Grant #4							
Total Grants & Entitlements			114,000	493,800			607,800
<i>Local Subsidies & Donations (List)</i>							
Local Subsidy #1							
Local Subsidy #2							
Local Subsidy #3							
Local Subsidy #4							
Total Local Subsidies & Donations							
<i>Interest on Investments & Deposits</i>							
Investments	12,000	600					12,600
Security Deposits							
Penalties							
Other Investments							
Total Interest	12,000	600					12,600
<i>Other Non-Operating Revenues (List)</i>							
UW Non-Operating Revenues	16,000		183,000				199,000
SW Non-Operating Revenues							
Other Non-Operating Revenues	16,000		183,000				199,000
Total Non-Operating Revenues	28,000	600	297,000	493,800			819,400
TOTAL ANTICIPATED REVENUES	\$ 6,480,000	\$ 170,000	\$ 10,807,000	\$ 493,800	\$ 602,000	\$ -	\$ 18,552,800

2018
2016 Appropriations Schedule

Sussex County Municipal Utilities Authority
November 30, 2018

For the Period December 1, 2017 to December 31, 2017

	Proposed Budget				Total All Operations	Adopted Budget Operations	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted		
	Upper Walkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program					Paulinskil Facility	n/a
	Total All Operations	Total All Operations	Total All Operations	Total All Operations					Total All Operations	Total All Operations
OPERATING APPROPRIATIONS										
Administration - Personnel										
Salary & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!		
Fringe Benefits	-	-	-	-	-	-	-	#DIV/0!		
Total Administration - Personnel	-	-	-	-	-	-	-	#DIV/0!		
Administration - Other (List)										
Other Admin Expense #1	640,000	48,000	640,000	24,000	48,000	1,379,000	21,000	1.5%		
Other Admin Expense #2	-	-	-	-	-	-	-	#DIV/0!		
Other Admin Expense #3	-	-	-	-	-	-	-	#DIV/0!		
Other Admin Expense #4	-	-	-	-	-	-	-	#DIV/0!		
Miscellaneous Administration*	-	-	-	-	-	-	-	#DIV/0!		
Total Administration - Other	640,000	48,000	640,000	24,000	48,000	1,379,000	21,000	1.5%		
Total Administration	640,000	48,000	640,000	24,000	48,000	1,379,000	21,000	1.5%		
Cost of Providing Services - Personnel										
Salary & Wages	940,000	-	2,220,000	206,000	-	3,442,000	(76,000)	-2.2%		
Fringe Benefits	688,000	-	1,422,900	120,350	-	1,975,900	255,350	12.9%		
Total COPS - Personnel	1,628,000	-	3,642,900	326,350	-	5,417,900	179,350	3.3%		
Cost of Providing Services - Other (List)										
Other COPS Expense #1	1,943,000	133,500	3,893,100	175,950	233,800	6,334,900	44,450	0.7%		
Other COPS Expense #2	-	-	-	-	-	-	-	#DIV/0!		
Other COPS Expense #3	-	-	-	-	-	-	-	#DIV/0!		
Other COPS Expense #4	-	-	-	-	-	-	-	#DIV/0!		
Miscellaneous COPS*	-	-	-	-	-	-	-	#DIV/0!		
Total COPS - Other	1,943,000	133,500	3,893,100	175,950	233,800	6,334,900	44,450	0.7%		
Total Cost of Providing Services	3,571,000	133,500	7,536,000	502,300	233,800	11,752,800	223,800	1.9%		
Total Principal Payments on Debt Service in Lieu of Depreciation	1,446,000	-	-	526,300	119,000	1,547,600	17,400	1.1%		
Total Operating Appropriations	5,657,000	181,500	8,176,000	1,052,600	400,800	14,679,400	262,200	1.8%		
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	769,000	-	-	-	200,000	895,400	73,600	8.2%		
Operations & Maintenance Reserve	130,000	6,000	1,000,000	-	-	831,000	305,000	36.7%		
Renewal & Replacement Reserve	-	-	-	-	-	-	-	#DIV/0!		
Municipality/County Appropriation	50,000	-	1,430,000	-	-	2,154,000	(674,000)	-31.3%		
Other Reserves	949,000	6,000	2,430,000	-	200,000	3,880,400	(295,400)	-7.6%		
Total Non-Operating Appropriations	6,606,000	187,500	10,606,000	526,300	600,800	18,559,800	(33,200)	-0.2%		
TOTAL APPROPRIATIONS										
ACCUMULATED DEFICIT										
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	6,606,000	187,500	10,606,000	526,300	600,800	18,559,800	(33,200)	-0.2%		
UNRESTRICTED NET POSITION UTILIZED										
Municipality/County Appropriation	-	7,000	-	-	-	7,000	-	0.0%		
Other	-	7,000	-	-	-	7,000	-	0.0%		
Total Unrestricted Net Position Utilized	-	14,000	-	-	-	14,000	-	0.0%		
TOTAL NET APPROPRIATIONS	\$ 6,606,000	\$ 187,500	\$ 10,606,000	\$ 526,300	\$ 600,800	\$ 18,552,800	\$ (33,200)	-0.2%		

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.
5% of Total Operating Appropriations \$ 282,850.00 \$ 9,075.00 \$ 408,800.00 \$ 26,315.00 \$ 20,040.00 \$ 747,080.00

2017

2017 Adopted Appropriations Schedule

Sussex County Municipal Utilities Authority

	Adopted Budget						Total All Operations
	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages							\$ -
Fringe Benefits	-	-	-	-	-	-	-
Total Administration - Personnel	-	-	-	-	-	-	-
<i>Administration - Other (List)</i>							
Other Admin Expense #1	630,000	47,000	630,000	24,000	48,000	-	1,379,000
Other Admin Expense #2							-
Other Admin Expense #3							-
Other Admin Expense #4							-
Miscellaneous Administration*							-
Total Administration - Other	630,000	47,000	630,000	24,000	48,000	-	1,379,000
Total Administration	630,000	47,000	630,000	24,000	48,000	-	1,379,000
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	1,099,000		2,136,000	207,000			3,442,000
Fringe Benefits	688,000		1,174,750	113,150			1,975,900
Total COPS - Personnel	1,787,000	-	3,310,750	320,150	-	-	5,417,900
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense #1	1,838,000	124,000	3,997,250	149,650	226,000	-	6,334,900
Other COPS Expense #2							-
Other COPS Expense #3							-
Other COPS Expense #4							-
Miscellaneous COPS*							-
Total COPS - Other	1,838,000	124,000	3,997,250	149,650	226,000	-	6,334,900
Total Cost of Providing Services	3,625,000	124,000	7,308,000	469,800	226,000	-	11,752,800
Total Principal Payments on Debt Service in Lieu of Depreciation	1,432,000	-	-	-	115,600	-	1,547,600
Total Operating Appropriations	5,687,000	171,000	7,938,000	493,800	389,600	-	14,679,400
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	693,000	-	-	-	202,400	-	895,400
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve	50,000	6,000	765,000	-	10,000	-	831,000
Municipality/County Appropriation							-
Other Reserves	50,000		2,104,000				2,154,000
Total Non-Operating Appropriations	793,000	6,000	2,869,000	-	212,400	-	3,880,400
TOTAL APPROPRIATIONS	6,480,000	177,000	10,807,000	493,800	602,000	-	18,559,800
ACCUMULATED DEFICIT							
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	6,480,000	177,000	10,807,000	493,800	602,000	-	18,559,800
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other	-	7,000	-	-	-	-	7,000
Total Unrestricted Net Position Utilized	-	7,000	-	-	-	-	7,000
TOTAL NET APPROPRIATIONS	\$ 6,480,000	\$ 170,000	\$ 10,807,000	\$ 493,800	\$ 602,000	\$ -	\$ 18,552,800

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations	\$ 284,350.00	\$ 8,550.00	\$ 396,900.00	\$ 24,690.00	\$ 19,480.00	\$ -	\$ 733,970.00
--------------------------------------	---------------	-------------	---------------	--------------	--------------	------	---------------

5 Year Debt Service Schedule - Principal

Sussex County Municipal Utilities Authority

	Fiscal Year Beginning in						Total Principal Outstanding
	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	
<i>FY2017</i> Current Year (2015)	\$ 95,000						\$ 95,000
Upper Wastewater Facilities							
Series 2008 CIB	1,037,000	1,129,000	1,138,000	1,069,000	1,264,000	10,010,000	16,804,000
Series 2012 Refunding	290,000	120,000	130,000	135,000	140,000	1,305,000	2,250,000
Series 2016 Refunding CIB/CAB's	10,000	110,000	120,000	120,000	125,000	7,755,000	8,355,000
Total Principal	1,432,000	1,359,000	1,388,000	1,324,000	1,529,000	19,070,000	27,504,000
Hampton Comm. Facility							
Debt Issuance #1	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-
Solid Waste Facilities							
Series D (2006)	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-
Watershed Program							
Debt Issuance #1	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-
Paullinskill Facility							
USDA RD Loans	116,000	122,000	128,000	131,000	135,000	6,800,000	7,560,000
Debt Issuance #2	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-
Total Principal	116,000	122,000	128,000	131,000	135,000	6,800,000	7,560,000
n/a							
Debt Issuance #1	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-
Total Principal	-	-	-	-	-	-	-
TOTAL PRINCIPAL ALL OPERATIONS	\$ 1,548,000	\$ 1,481,000	\$ 1,513,000	\$ 1,455,000	\$ 1,664,000	\$ 25,870,000	\$ 35,064,000

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

Moody's	Fitch	Standard & Poors
		AA+
		2016

5 Year Debt Service Schedule - Interest

Sussex County Municipal Utilities Authority

	Fiscal Year Beginning in										Total Interest Payments Outstanding	
	FY2017 Current Year (2015)	FY2018 2016	FY2019 2017	FY2020 2018	FY2021 2019	FY2022 2020	FY2023 2021	FY2024 2022	FY2025 2023	Thereafter		
Upper Walkkill Facilities												
Series 2008 CIB	8,000	4,000	-	-	-	-	-	-	-	-	-	4,000
Series 2008 CAB's	473,000	564,000	716,000	847,000	962,000	1,026,000	1,356,000	19,385,000	-	-	-	24,856,000
Series 2012 Refunding	87,000	78,000	69,000	65,000	60,000	54,000	49,000	214,000	-	-	-	589,000
Series 2016 Refunding CIB/CAB's	123,000	123,000	123,000	119,000	116,000	112,000	108,000	5,945,000	-	-	-	6,646,000
Total Interest Payments	691,000	769,000	908,000	1,031,000	1,138,000	1,192,000	1,513,000	25,544,000	-	-	-	32,095,000
Hampton Comm. Facility												
Debt Issuance #1	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-	-	-	-
Solid Waste Facilities												
Series D (2006)	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-	-	-	-
Watershed Program												
Debt Issuance #1	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-	-	-	-
Paulinskill Facility												
USDA RD Loans	203,000	200,000	197,000	194,000	190,000	187,000	183,000	3,389,000	-	-	-	4,540,000
Debt Issuance #2	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-	-	-	-
Total Interest Payments	203,000	200,000	197,000	194,000	190,000	187,000	183,000	3,389,000	-	-	-	4,540,000
n/a												
Debt Issuance #1	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL INTEREST ALL OPERATIONS	\$ 894,000	\$ 969,000	\$ 1,105,000	\$ 1,225,000	\$ 1,328,000	\$ 1,379,000	\$ 1,696,000	\$ 28,933,000	\$ -	\$ -	\$ -	\$ 36,635,000

Upper Walkill Facilities - Debt Service Schedule

5/17/2016

Files= Upper Walkill DEBTSERVICE Revised 2016

	Vernon Project Financing													
	2008 Series A (CIB) (UNREFUNDED)		2008 Series B (CABS) (UNREFUNDED)		2016 Series A Refunding (CIB)		2016 Series B Refunding (CABS)		Series 2012 Refunding Bonds		Total Annual			
	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest	Principal	Interest		
FY17	\$ 95,000	\$ 7,600	\$ 1,037,128	\$ 472,872	\$ 10,000	\$ 123,150	\$ 290,000	\$ 86,956	\$ 1,432,128	\$ 690,578	\$ 290,000	\$ 86,956	\$ 1,432,128	\$ 690,578
FY18	\$ 95,000	\$ 3,800	\$ 1,045,824	\$ 564,176	\$ 10,000	\$ 122,950	\$ 295,000	\$ 78,256	\$ 1,445,824	\$ 769,182	\$ 295,000	\$ 78,256	\$ 1,445,824	\$ 769,182
FY19			\$ 1,128,900	\$ 716,100	\$ 110,000	\$ 122,750	\$ 120,000	\$ 69,406	\$ 1,358,900	\$ 908,256	\$ 120,000	\$ 69,406	\$ 1,358,900	\$ 908,256
FY20			\$ 1,147,604	\$ 847,396	\$ 115,000	\$ 119,450	\$ 125,000	\$ 64,606	\$ 1,387,604	\$ 1,031,452	\$ 125,000	\$ 64,606	\$ 1,387,604	\$ 1,031,452
FY21			\$ 1,138,242	\$ 961,758	\$ 120,000	\$ 116,000	\$ 130,000	\$ 59,606	\$ 1,388,242	\$ 1,137,364	\$ 130,000	\$ 59,606	\$ 1,388,242	\$ 1,137,364
FY22			\$ 1,069,141	\$ 1,025,859	\$ 120,000	\$ 112,400	\$ 135,000	\$ 54,406	\$ 1,324,141	\$ 1,192,665	\$ 135,000	\$ 54,406	\$ 1,324,141	\$ 1,192,665
FY23			\$ 1,263,940	\$ 1,356,060	\$ 125,000	\$ 107,600	\$ 140,000	\$ 49,006	\$ 1,528,940	\$ 1,512,666	\$ 140,000	\$ 49,006	\$ 1,528,940	\$ 1,512,666
FY24			\$ 1,193,410	\$ 1,426,590	\$ 125,000	\$ 102,600	\$ 145,000	\$ 44,632	\$ 1,463,410	\$ 1,573,822	\$ 145,000	\$ 44,632	\$ 1,463,410	\$ 1,573,822
FY25			\$ 1,125,500	\$ 1,494,500	\$ 130,000	\$ 97,600	\$ 150,000	\$ 40,100	\$ 1,405,500	\$ 1,632,200	\$ 150,000	\$ 40,100	\$ 1,405,500	\$ 1,632,200
FY26			\$ 1,060,209	\$ 1,559,791	\$ 140,000	\$ 92,400	\$ 155,000	\$ 35,413	\$ 1,355,209	\$ 1,687,604	\$ 155,000	\$ 35,413	\$ 1,355,209	\$ 1,687,604
FY27			\$ 997,565	\$ 1,622,435	\$ 145,000	\$ 86,800	\$ 160,000	\$ 30,182	\$ 1,302,565	\$ 1,739,417	\$ 160,000	\$ 30,182	\$ 1,302,565	\$ 1,739,417
FY28			\$ 937,514	\$ 1,682,486	\$ 150,000	\$ 81,000	\$ 165,000	\$ 24,782	\$ 1,252,514	\$ 1,788,268	\$ 165,000	\$ 24,782	\$ 1,252,514	\$ 1,788,268
FY29			\$ 881,866	\$ 1,738,134	\$ 155,000	\$ 75,000	\$ 170,000	\$ 19,213	\$ 1,206,866	\$ 1,832,347	\$ 170,000	\$ 19,213	\$ 1,206,866	\$ 1,832,347
FY30			\$ 826,924	\$ 1,793,076	\$ 165,000	\$ 68,800	\$ 175,000	\$ 13,050	\$ 1,166,924	\$ 1,874,926	\$ 175,000	\$ 13,050	\$ 1,166,924	\$ 1,874,926
FY31			\$ 776,254	\$ 1,843,746	\$ 170,000	\$ 62,200	\$ 185,000	\$ 6,706	\$ 1,131,254	\$ 1,912,652	\$ 185,000	\$ 6,706	\$ 1,131,254	\$ 1,912,652
FY32			\$ 782,176	\$ 2,032,824	\$ 175,000	\$ 55,400			\$ 957,176	\$ 2,088,224			\$ 957,176	\$ 2,088,224
FY33			\$ 735,124	\$ 2,074,876	\$ 180,000	\$ 48,400			\$ 915,124	\$ 2,123,276			\$ 915,124	\$ 2,123,276
FY34			\$ 693,480	\$ 2,116,520	\$ 190,000	\$ 41,200			\$ 883,480	\$ 2,157,720			\$ 883,480	\$ 2,157,720
FY35					\$ 195,000	\$ 33,600		\$ 1,082,319	\$ 922,681			\$ 1,082,319	\$ 922,681	
FY36					\$ 205,000	\$ 25,800		\$ 1,036,004	\$ 968,996			\$ 1,036,004	\$ 968,996	
FY37					\$ 210,000	\$ 19,650		\$ 992,595	\$ 1,012,405			\$ 992,595	\$ 1,012,405	
FY38					\$ 220,000	\$ 13,350		\$ 949,521	\$ 1,045,480			\$ 949,521	\$ 1,045,480	
FY39					\$ 225,000	\$ 6,750		\$ 914,620	\$ 1,085,380			\$ 914,620	\$ 1,085,380	
FY40														
Totals	\$ 190,000	\$ 11,400	\$ 17,840,801	\$ 25,329,199	\$ 3,390,000	\$ 1,734,850	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711
Total Principal	\$ 190,000	\$ 11,400	\$ 17,840,801	\$ 25,329,199	\$ 3,390,000	\$ 1,734,850	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711
Total Interest		\$ 11,400	\$ 17,840,801	\$ 25,329,199	\$ 3,390,000	\$ 1,734,850	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711	\$ 2,540,000	\$ 676,320	\$ 28,935,860	\$ 32,786,711

6

PAULINSKILL FACILITY

CONSOLIDATED USDA LOAN REPAYMENT SCHEDULE

Balance	FY	Fiscal Year		Annual D/S	Balance
		Interest	Principal		
\$ 7,825,000.00					\$ 7,825,000.00
\$ 7,767,563.25					\$ 7,767,563.25
\$ 7,709,382.92	FY17	\$ 202,798.92	\$ 115,617.08	\$ 318,416.00	\$ 7,709,382.92
\$ 7,650,449.30					\$ 7,650,449.30
\$ 7,590,752.57	FY18	\$ 199,785.65	\$ 118,630.35	\$ 318,416.00	\$ 7,590,752.57
\$ 7,530,282.75					\$ 7,530,282.75
\$ 7,469,029.77	FY19	\$ 196,693.20	\$ 121,722.80	\$ 318,416.00	\$ 7,469,029.77
\$ 7,406,983.38					\$ 7,406,983.38
\$ 7,344,133.24	FY20	\$ 193,519.47	\$ 124,896.53	\$ 318,416.00	\$ 7,344,133.24
\$ 7,280,468.84					\$ 7,280,468.84
\$ 7,215,979.56	FY21	\$ 190,262.32	\$ 128,153.68	\$ 318,416.00	\$ 7,215,979.56
\$ 7,150,654.61					\$ 7,150,654.61
\$ 7,084,483.09	FY22	\$ 186,919.53	\$ 131,496.47	\$ 318,416.00	\$ 7,084,483.09
\$ 7,017,453.93					\$ 7,017,453.93
\$ 6,949,555.92	FY23	\$ 183,488.83	\$ 134,927.17	\$ 318,416.00	\$ 6,949,555.92
\$ 6,880,777.72					\$ 6,880,777.72
\$ 6,811,107.83	FY24	\$ 179,967.90	\$ 138,448.10	\$ 318,416.00	\$ 6,811,107.83
\$ 6,740,534.58					\$ 6,740,534.58
\$ 6,669,046.18	FY25	\$ 176,354.35	\$ 142,061.65	\$ 318,416.00	\$ 6,669,046.18
\$ 6,596,630.65					\$ 6,596,630.65
\$ 6,523,275.89	FY26	\$ 172,645.72	\$ 145,770.28	\$ 318,416.00	\$ 6,523,275.89
\$ 6,448,969.62					\$ 6,448,969.62
\$ 6,373,699.38	FY27	\$ 168,839.48	\$ 149,576.52	\$ 318,416.00	\$ 6,373,699.38
\$ 6,297,452.58					\$ 6,297,452.58
\$ 6,220,216.44	FY28	\$ 164,933.06	\$ 153,482.94	\$ 318,416.00	\$ 6,220,216.44
\$ 6,141,978.03					\$ 6,141,978.03
\$ 6,062,724.24	FY29	\$ 160,923.80	\$ 157,492.20	\$ 318,416.00	\$ 6,062,724.24
\$ 5,982,441.79					\$ 5,982,441.79
\$ 5,901,117.21	FY30	\$ 156,808.97	\$ 161,607.03	\$ 318,416.00	\$ 5,901,117.21
\$ 5,818,736.88					\$ 5,818,736.88
\$ 5,735,286.98	FY31	\$ 152,585.77	\$ 165,830.23	\$ 318,416.00	\$ 5,735,286.98
\$ 5,650,753.51					\$ 5,650,753.51
\$ 5,565,122.29	FY32	\$ 148,251.32	\$ 170,164.68	\$ 318,416.00	\$ 5,565,122.29
\$ 5,478,378.96					\$ 5,478,378.96
\$ 5,390,508.97	FY33	\$ 143,802.67	\$ 174,613.33	\$ 318,416.00	\$ 5,390,508.97
\$ 5,301,497.55					\$ 5,301,497.55
\$ 5,211,329.77	FY34	\$ 139,236.80	\$ 179,179.20	\$ 318,416.00	\$ 5,211,329.77
\$ 5,119,990.48					\$ 5,119,990.48
\$ 5,027,464.36	FY35	\$ 134,550.59	\$ 183,865.41	\$ 318,416.00	\$ 5,027,464.36
\$ 4,933,735.85					\$ 4,933,735.85
\$ 4,838,789.21	FY36	\$ 129,740.85	\$ 188,675.15	\$ 318,416.00	\$ 4,838,789.21
\$ 4,742,608.48					\$ 4,742,608.48
\$ 4,645,177.51	FY37	\$ 124,804.30	\$ 193,611.70	\$ 318,416.00	\$ 4,645,177.51
\$ 4,546,479.90					\$ 4,546,479.90
\$ 4,446,499.07	FY38	\$ 119,737.56	\$ 198,678.44	\$ 318,416.00	\$ 4,446,499.07
\$ 4,345,218.20					\$ 4,345,218.20

\$ 4,242,620.26	FY39	\$ 114,537.19	\$ 203,878.81	\$ 318,416.00	\$ 4,242,620.26
\$ 4,138,687.99					\$ 4,138,687.99
\$ 4,033,403.90	FY40	\$ 109,199.64	\$ 209,216.36	\$ 318,416.00	\$ 4,033,403.90
\$ 3,926,750.27					\$ 3,926,750.27
\$ 3,818,709.16	FY41	\$ 103,721.25	\$ 214,694.75	\$ 318,416.00	\$ 3,818,709.16
\$ 3,709,262.36					\$ 3,709,262.36
\$ 3,598,391.45	FY42	\$ 98,098.29	\$ 220,317.71	\$ 318,416.00	\$ 3,598,391.45
\$ 3,486,077.76					\$ 3,486,077.76
\$ 3,372,302.37	FY43	\$ 92,326.92	\$ 226,089.08	\$ 318,416.00	\$ 3,372,302.37
\$ 3,257,046.11					\$ 3,257,046.11
\$ 3,140,289.56	FY44	\$ 86,403.19	\$ 232,012.81	\$ 318,416.00	\$ 3,140,289.56
\$ 3,022,013.04					\$ 3,022,013.04
\$ 2,902,196.61	FY45	\$ 80,323.05	\$ 238,092.95	\$ 318,416.00	\$ 2,902,196.61
\$ 2,780,820.06					\$ 2,780,820.06
\$ 2,657,862.94	FY46	\$ 74,082.34	\$ 244,333.66	\$ 318,416.00	\$ 2,657,862.94
\$ 2,533,304.50					\$ 2,533,304.50
\$ 2,407,123.73	FY47	\$ 67,676.79	\$ 250,739.21	\$ 318,416.00	\$ 2,407,123.73
\$ 2,279,299.33					\$ 2,279,299.33
\$ 2,149,809.74	FY48	\$ 61,102.02	\$ 257,313.98	\$ 318,416.00	\$ 2,149,809.74
\$ 2,018,633.10					\$ 2,018,633.10
\$ 1,885,747.27	FY49	\$ 54,353.52	\$ 264,062.48	\$ 318,416.00	\$ 1,885,747.27
\$ 1,751,129.79					\$ 1,751,129.79
\$ 1,614,757.95	FY50	\$ 47,426.68	\$ 270,989.32	\$ 318,416.00	\$ 1,614,757.95
\$ 1,476,608.70					\$ 1,476,608.70
\$ 1,336,658.70	FY51	\$ 40,316.75	\$ 278,099.25	\$ 318,416.00	\$ 1,336,658.70
\$ 1,194,884.31					\$ 1,194,884.31
\$ 1,051,261.56	FY52	\$ 33,018.86	\$ 285,397.14	\$ 318,416.00	\$ 1,051,261.56
\$ 905,766.17					\$ 905,766.17
\$ 758,373.56	FY53	\$ 25,528.00	\$ 292,888.00	\$ 318,416.00	\$ 758,373.56
\$ 609,058.78					\$ 609,058.78
\$ 457,796.59	FY54	\$ 17,839.03	\$ 300,576.97	\$ 318,416.00	\$ 457,796.59
\$ 304,561.40					\$ 304,561.40
\$ 149,327.28	FY55	\$ 9,946.69	\$ 308,469.31	\$ 318,416.00	\$ 149,327.28
\$ (7,932.04)					\$ (7,932.04)
	FY24-end	\$ 3,389,083.36	\$ 6,800,228.64		
		INTEREST	PRINCIPAL		

62

DRAFT

2018

2016 Net Position Reconciliation

Sussex County Municipal Utilities Authority
For the Period December 1, 2017 to November 30, 2018

Proposed Budget

	Upper Wallkill Facilities	Hampton Comm. Facility	Solid Waste Facilities	Watershed Program	Paulinskill Facility	n/a	Total All Operations
TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)	\$ (1,413,547)	\$ 408,109	\$ 9,113,411	\$ 209,338	\$ 4,775,066	-	\$ 13,092,377
Less: Invested in Capital Assets, Net of Related Debt (1)	(5,630,766)	221,640	7,680,733	-	4,775,066	-	7,046,673
Less: Restricted for Debt Service Reserve (1)							
Less: Other Restricted Net Position (1)	3,900,590	46,408	5,317,089	-	-	-	9,264,087
Total Unrestricted Net Position (1)	316,629	140,061	(3,884,411)	209,338	-	-	(3,218,383)
Less: Designated for Non-Operating Improvements & Repairs							
Less: Designated for Rate Stabilization							
Less: Other Designated by Resolution	425,886	7,000	-	209,338			642,224
Plus: Accrued Unfunded Pension Liability (1)	3,171,170	-	5,873,670				9,044,840
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)							
Plus: Estimated Income (Loss) on Current Year Operations (2)							
Plus: Other Adjustments (attach schedule)							

UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET

Unrestricted Net Position Utilized to Balance Proposed Budget	-	7,000	-	-	-	-	7,000
Unrestricted Net Position Utilized in Proposed Capital Budget	-	-	-	-	-	-	-
Appropriation to Municipality/County (3)	-	-	-	-	-	-	-
Total Unrestricted Net Position Utilized in Proposed Budget	-	7,000	-	-	-	-	7,000

PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR

(4)	\$ 3,061,913	\$ 126,061	\$ 1,989,259	\$ -	\$ -	\$ -	\$ 5,177,233
-----	--------------	------------	--------------	------	------	------	--------------

- (1) Total of all operations for this line item must agree to audited financial statements.
- (2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.
- (3) Amount may not exceed 5% of total operating appropriations. See calculation below.
Maximum Allowable Appropriation to Municipality/County \$ 282,850 \$ 9,075 \$ 408,800 \$ 26,315 \$ 20,040 \$ - \$ 747,080
- (4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2018

SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2018 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

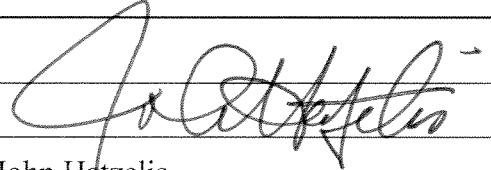
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY AUTHORITY BUDGET

FISCAL YEAR: FROM DECEMBER 1, 2017 TO NOVEMBER 30, 2018

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the SCMUA Authority, on the 20th day of September, 2017.

OR

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	John Hatzelis		
Title:	Administrator / <i>TREASURER</i>		
Address:	34 South Route 94 Lafayette, NJ 07848		
Phone Number:	973-579-6998	Fax Number:	973-579-7819
E-mail address	jhatzelis@scmua.org		

FY2018

CAPITAL BUDGET
SUSSEX COUNTY MUNICIPAL UTILITIES AUTHORITY

December 1, 2017 TO November 30, 2018

CAPITAL BUDGET MESSAGE

The SCMUA Capital Budgets were prepared by SCMUA Staff and Professionals and reviewed and approved by the Board of Commissioners. The Capital Budgets were prepared using best estimates of anticipated costs for each project/acquisition.

Upper Wallkill Facilities

The Upper Wallkill Facilities has been in operation since 1984 and serves numerous Sussex County Municipalities. Although the Upper Wallkill Water Pollution Control Facilities is 33 years old it is in relatively good condition, however certain equipment is reaching the end of its life cycle and requires repair or replacement. The 2018 Capital Budget and 5 year spending plan were prepared to address anticipated repairs and upgrades necessary to maintain the facility without the need to incur any additional debt. For FY2018 Capital Outlays in the amount of \$235,000 are budgeted for Replacement & Pumps, Maintenance, various Paving Projects, SCADA System upgrade and vehicle replacement. The Renewal and Replacement Fund will be utilized for repairs to the Treatment Unit's concrete structure and Replacement of Belt Filter Presses and Franklin Regional P/S Repairs.

Hampton Commons

The Hampton Common's Facility has been in operation since 1987 and is in good working order. This 50,000 gpd facility serves the Hampton Commons Homeowners Association and the Lowe's Home Center in Hampton Township. There are no planned Capital Improvements for FY2018.

Solid Waste Facilities

The Solid Waste Facilities has been in operation since 1989 and serves all Sussex County municipalities and residents. The FY2018 Capital Budget anticipates on funding the replacement/repair of a number of pieces of equipment that has reached the end of their life cycle. This equipment replacement/repair will be funded by Capital Outlays (\$340,000) and the Renewal & Replacement Fund (\$385,000). The Landfill Gas Management system requires a number of gas wells to be replaced to ensure landfill odors are minimized and landfill gas collection to be maximized. The SCMUA is in the process of designing a Leachate Pump Station Forcemain. This project is anticipated to be funded by the NJ Environmental Infrastructure Trust Program and will not negatively impact user charges/tipping fees. In 2017, all Solid Waste Debt was retired, the anticipated debt services on the landfill expansion project will not negatively impact tipping fees.

Watershed Program

The Wallkill Watershed Management Program has budgeted three projects to be funded from Capital Outlays. All three of these projects are anticipated to enhance water quality in the County. The Program is funded by NJDEP 319 (h) grants and will not impact Sussex County taxpayers.

Paulinskill Water Reclamation Facilities

The SCMUA completed construction of the Paulinskill Water Reclamation Facilities in 2017 which will serve the Borough of Branchville and a small portion of Frankford Township. The Project was funded by USDA-RD Program Loans and Grants. The total anticipated project cost is \$13,475,500 however approximately 45% will be funded by USDA grants, the remainder by 40 year low interest loans. Therefore, since the facility is brand new, no Capital Budget is necessary for FY2018.

S:\TKW\BUDGETS\2018 Budget\FY2018 CAPITAL BUDGET MESSAGE.doc

2018 Proposed Capital Budget

Sussex County Municipal Utilities Authority

For the Period December 1, 2017 to November 30, 2018

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Upper Wallkill Facilities</i>						
Capital Outlays (see attached)	\$ 235,000	\$ -	\$ -	\$ -	\$ -	\$ 235,000
Renewal & Replacement Fund	1,040,000		1,040,000			
Phase II Construction Fund	55,000					55,000
Phase III Construction Fund	-					
Total	1,330,000	-	1,040,000	-	-	290,000
<i>Hampton Comm. Facility</i>						
Capital Outlays (see attached)	-	-	-	-	-	-
Renewal & Replacement Fund	-					
	-					
	-					
Total	-	-	-	-	-	-
<i>Solid Waste Facilities</i>						
Capital Outlays (see attached)	340,000					340,000
Renewal & Replacement Fund	385,000		385,000			
Cell Closure Escrow Fund	250,000					250,000
Debt Authorized	5,400,000			5,400,000		
Total	6,375,000	-	385,000	5,400,000	-	590,000
<i>Watershed Program</i>						
Capital Outlays (see attached)	140,000					140,000
	-					
	-					
	-					
Total	140,000	-	-	-	-	140,000
<i>Paulinskill Facility</i>						
	-	-	-	-	-	-
	-					
	-					
	-					
Total	-	-	-	-	-	-
<i>n/a</i>	-					
	-					
	-					
	-					
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 7,845,000	\$ -	\$ 1,425,000	\$ 5,400,000	\$ -	\$ 1,020,000

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

Sussex County Municipal Utilities Authority

For the Period December 1, 2017 to November 30, 2018

	Estimated Total Cost	Fiscal Year Beginning in					
		2018 Current Year Proposed Budget	2019	2020	2021	2022	2023
<i>Upper Walkkill Facilities</i>							
Capital Outlays (see attached)	\$ 475,000	\$ 235,000	\$ 120,000	\$ 20,000	\$ 80,000	\$ 20,000	\$ -
Renewal & Replacement Fund	1,565,000	1,040,000	-	125,000	200,000	200,000	-
Phase II Construction Fund	3,140,000	55,000	3,065,000	20,000	-	-	-
Phase III Construction Fund	180,000	-	-	-	180,000	-	-
Total	<u>5,360,000</u>	<u>1,330,000</u>	<u>3,185,000</u>	<u>165,000</u>	<u>460,000</u>	<u>220,000</u>	<u>-</u>
<i>Hampton Comm. Facility</i>							
Capital Outlays	-	-	-	-	-	-	-
Renewal & Replacement Fund	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
Total	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>Solid Waste Facilities</i>							
Capital Outlays (see attached)	700,000	340,000	170,000	115,000	60,000	15,000	-
Renewal & Replacement Fund	1,610,000	385,000	625,000	200,000	400,000	-	-
Cell Closure Escrow Fund	7,230,000	250,000	30,000	950,000	20,000	5,980,000	-
Debt Authorized	7,200,000	5,400,000	1,800,000	-	-	-	-
Total	<u>16,740,000</u>	<u>6,375,000</u>	<u>2,625,000</u>	<u>1,265,000</u>	<u>480,000</u>	<u>5,995,000</u>	<u>-</u>
<i>Watershed Program</i>							
Capital Outlays (see attached)	140,000	140,000	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
Total	<u>140,000</u>	<u>140,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>Paulinskill Facility</i>							
	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
Total	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<i>n/a</i>							
	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
\$0	-	-	-	-	-	-	-
Total	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL	<u><u>\$ 22,240,000</u></u>	<u><u>\$ 7,845,000</u></u>	<u><u>\$ 5,810,000</u></u>	<u><u>\$ 1,430,000</u></u>	<u><u>\$ 940,000</u></u>	<u><u>\$ 6,215,000</u></u>	<u><u>\$ -</u></u>

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Sussex County Municipal Utilities Authority

For the Period December 1, 2017 to November 30, 2018

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
<i>Upper Walkkill Facilities</i>						
Capital Outlays (see attached)	\$ 475,000	\$ -	\$ -	\$ -	\$ -	\$ 475,000
Renewal & Replacement Fund	1,565,000		1,565,000			
Phase II Construction Fund	3,140,000					3,140,000
Phase III Construction Fund	180,000					180,000
Total	5,360,000	-	1,565,000	-	-	3,795,000
<i>Hampton Comm. Facility</i>						
Capital Outlays (see attached)	-	-	-	-	-	-
Renewal & Replacement Fund	-	-	-	-	-	-
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
<i>Solid Waste Facilities</i>						
Capital Outlays (see attached)	700,000	-				700,000
Renewal & Replacement Fund	1,610,000		1,610,000			
Cell Closure Escrow Fund	7,230,000					7,230,000
Debt Authorized	7,200,000			7,200,000		
Total	16,740,000	-	1,610,000	7,200,000	-	7,930,000
<i>Watershed Program</i>						
Capital Outlays (see attached)	140,000					140,000
\$0	-					
\$0	-					
\$0	-					
Total	140,000	-	-	-	-	140,000
<i>Paulinskill Facility</i>						
\$0	-					
\$0	-					
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
<i>n/a</i>						
\$0	-					
\$0	-					
\$0	-					
\$0	-					
Total	-	-	-	-	-	-
TOTAL	\$ 22,240,000	\$ -	\$ 3,175,000	\$ 7,200,000	\$ -	\$ 11,865,000
Total 5 Year Plan per CB-4	\$ 22,240,000					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

Upper Walkkill Facilities

FY2018

Capital Budget

UPDATED 9/1/17

DRAFT

Table 1
Sussex County Municipal Utilities Authority
FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Upper Walkkill Facilities

Projects:	Estimated Total Cost	FY2018	FY2019	FY2020	FY2021	FY2022
1. Capital Outlays						
Replacement Pumps	\$ 100,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000
Various Paving Projects	\$ 100,000	\$ 40,000	-	-	\$ 60,000	-
SCADA System Upgrade	\$ 90,000	\$ 90,000	-	-	-	-
Replacement Ford Escape	\$ 25,000	\$ 25,000	-	-	-	-
Replacement Utility Truck	\$ 60,000	\$ 60,000	-	-	-	-
Maint. Bldg Improvements	\$ 100,000	-	\$ 100,000	-	-	-
Capital Outlays - Subtotal	\$ 475,000	\$ 235,000	\$ 120,000	\$ 20,000	\$ 80,000	\$ 20,000
2. R&R Fund						
Replace Belt Filter Press	\$ 50,000	\$ 50,000	-	-	-	-
Belt Filter Press Sub-total	\$ 750,000	\$ 750,000	-	-	-	-
Treatment Unit Concrete Repairs	\$ 175,000	\$ 75,000	-	\$ 100,000	-	-
Treatment Units Sub-total	\$ 225,000	\$ 100,000	-	\$ 25,000	-	-
Franklin RPS Concrete / Railing Repairs- Engineering	\$ 15,000	\$ 15,000	-	-	-	-
Franklin RPS Concrete / Railing Repairs- Engineering Construction	\$ 125,000	\$ 125,000	-	-	-	-
Replace Vernon MBR Membranes	\$ 400,000	-	-	-	\$ 200,000	\$ 200,000
R&R - Subtotal	\$ 1,565,000	\$ 1,040,000	\$ -	\$ 125,000	\$ 200,000	\$ 200,000

Table 1 (Continued)
 Sussex County Municipal Utilities Authority
 FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Upper Walkkill Facilities

Projects:	Estimated Total Cost	FY2018					FY2021					
		FY2018	FY2019	FY2020	FY2021	FY2022	FY2021	FY2022	FY2023	FY2024	FY2025	
3. Upper Walkkill Phase II Construction Fund												
Project #453 Clarifiers/Gravity Thickener Rehab:	\$ -											
Engineering	\$ 300,000		\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Construction	\$ 1,800,000		\$ 1,800,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ 2,100,000		\$ 2,100,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Project #455 Hamburg Reg. P/S Rehab.												
Engineering	\$ 120,000		\$ 120,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Construction	\$ 800,000		\$ 800,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ 920,000		\$ 920,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Indirect A.L.&F	\$ 120,000	\$ 55,000	\$ 45,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Phase II Construction Fund Sub-Total	\$ 3,140,000	\$ 55,000	\$ 3,065,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4. Upper Walkkill Phase III Close-Out												
Vernon Pump Station - High Flow Pumps	\$ 180,000	\$ -	\$ -	\$ 180,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
UW Phase III Closeout Sub-Total	\$ 180,000	\$ -	\$ -	\$ 180,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Capital	\$ 5,360,000	\$ 1,330,000	\$ 3,185,000	\$ 345,000	\$ 280,000	\$ 220,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Solid Waste Facilities

FY2018

Capital Budget

DRAFT
 REVISED 8/31/17
 cc: JS, TV, VO

Table 1
 Sussex County Municipal Utilities Authority
 FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Solid Waste Facilities

Projects:	Estimated Total Cost	FY2018	FY2019	FY2020	FY2021	FY2022
1. Capital Outlays						
Replacement Rolloff Containers	\$ 75,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000
Concrete Repairs to RC/RC	\$ 40,000	\$ 40,000	\$ -	\$ -	\$ -	\$ -
Various Paving Projects	\$ 90,000	\$ -	\$ 45,000	\$ -	\$ 45,000	\$ -
BWRF - Knee Wall Replacement	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -	\$ -
Emergency Backup Power Upgrades	\$ 150,000	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ -
Upgrade SWT Scale System	\$ 120,000	\$ 120,000	\$ -	\$ -	\$ -	\$ -
Replacement A/C Units	\$ 30,000	\$ -	\$ 30,000	\$ -	\$ -	\$ -
Utility Truck with Crane	\$ 70,000	\$ 70,000	\$ -	\$ -	\$ -	\$ -
Replacement Shop Electric Heating Units	\$ 35,000	\$ 35,000	\$ -	\$ -	\$ -	\$ -
Leachate Tank Upgrades for Title V	\$ 60,000	\$ 60,000	\$ -	\$ -	\$ -	\$ -
Total Capital Outlays	\$ 700,000	\$ 340,000	\$ 170,000	\$ 115,000	\$ 60,000	\$ 15,000
2. R&R Fund						
Replace 1994 Mack Rolloff Truck (#1723)	\$ 180,000	\$ 180,000	\$ -	\$ -	\$ -	\$ -
Contractor Area Relocation	\$ 150,000	\$ 25,000	\$ 125,000	\$ -	\$ -	\$ -
Replacement Cat 906 Wheel Loader	\$ 120,000	\$ 120,000	\$ -	\$ -	\$ -	\$ -
Boiler Replacement	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Admin. Bldg Flooring Replacement	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Admin Bldg. Lighting Upgrades	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -
Cat 966 Loader - Replace	\$ 500,000	\$ -	\$ 500,000	\$ -	\$ -	\$ -
Rebuild 2008 Cat973 Track Loader (#1712)	\$ 200,000	\$ -	\$ -	\$ 200,000	\$ -	\$ -
Replace JD 750K Dozer (#1715)	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000	\$ -
Articulated Dump Truck - Rebuild	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000	\$ -
Total R&R	\$ 1,610,000	\$ 385,000	\$ 625,000	\$ 200,000	\$ 400,000	\$ -

Table 1 (continued)
Sussex County Municipal Utilities Authority
FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Solid Waste Facilities

Projects:	Estimated Total Cost	FY2018	FY2019	FY2020	FY2021	FY2022
3. Cell Closure Account						
Landfill Gas System Improvements:						
Well Install	\$ 135,000	\$ 135,000	\$ -	\$ -	\$ -	\$ -
Design	\$ 120,000	\$ 20,000	\$ -	\$ 50,000	\$ -	\$ 50,000
RE&I	\$ 215,000	\$ 15,000	\$ -	\$ 100,000	\$ -	\$ 100,000
Construction	\$ 1,500,000	\$ -	\$ -	\$ 750,000	\$ -	\$ 750,000
Sub Total	\$ 1,970,000	\$ 170,000	\$ -	\$ 900,000	\$ -	\$ 900,000
Landfill Capping						
North Side (perm)	\$ 4,000,000	\$ -	\$ -	\$ -	\$ -	\$ 4,000,000
South Side (temp)	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ 1,000,000
	\$ 5,000,000	\$ -	\$ -	\$ -	\$ -	\$ 5,000,000
Indirect A.L. & F.	\$ 200,000	\$ 60,000	\$ 30,000	\$ 30,000	\$ 20,000	\$ 60,000
Closure/Post Closure Care Plan Update:	\$ 60,000	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ 20,000
Total Cell Closure Account	\$ 7,230,000	\$ 250,000	\$ 30,000	\$ 950,000	\$ 20,000	\$ 5,980,000

Table 1 (continued)
Sussex County Municipal Utilities Authority
FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Solid Waste Facilities

Projects:	Estimated Total Cost	FY2018					FY2020	FY2021	FY2022
4. Debt Authorized									
Leachate P/S and F/M (Proj.#444)	\$ 1,800,000	\$ 1,400,000	\$ 400,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Engineering,AL&F	\$ 5,400,000	\$ 4,000,000	\$ 1,400,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Construction	\$ 7,200,000	\$ 5,400,000	\$ 1,800,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SubTotal									
Total Debt Authorized	\$ 7,200,000	\$ 5,400,000	\$ 1,800,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Capital Budget Total #1 to #4	\$ 16,740,000	\$ 6,375,000	\$ 2,625,000	\$ 1,265,000	\$ 480,000	\$ 5,995,000			

ESTIMATED DEBT SERVICE - For Proposed "Debt Authorized"				
Project Description	Est. Cost	Loan Term/Rate	Semi-Annual D/S	Annual D/S
Landfill Exp	\$ 8,427,000	10 Years @ 0.75%	\$430,000.00	\$860,000
Leachate PS/FM	\$ 7,200,000	30 years @ 1.00%	\$139,196.17	\$278,392
Total Debt / Debt Service	\$ 15,627,000		Projected SW Debt Service	\$1,138,392

Estimate does not include "Cost of Issuance"

Wallkill Watershed Program

FY2018

Capital Budget

Table 1
Sussex County Municipal Utilities Authority
FY2018 Capital Budget & Five Year Capital Plan (FY2018-2022)
Walkkill Watershed Management Program

Projects:	Estimated Total Cost	FY2018					FY2021							
		FY2018	FY2019	FY2020	FY2021	FY2022	FY2021	FY2022	FY2023	FY2024	FY2025			
1. Capital Outlays														
Riparian Restoration Project	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Stormwater BMP Project	\$ 75,000	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Agricultural BMP Project	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
General Watershed Planning	\$ 5,000	\$ 5,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	\$ 140,000	\$ 140,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Capital	\$ 140,000	\$ 140,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

FY2018

OPERATING BUDGET

	Unreserved Retained Earnings Utilized		Projected Revenues
	Budget		
Upper Walkkill	\$ 6,606,000	\$ -	\$ 6,606,000
Hampton Commons	\$ 180,500	\$ 7,000	\$ 173,500
Solid Waste	\$ 10,606,000	\$ -	\$ 10,606,000
Paulinskill	\$ 600,800	\$ -	\$ 600,800
Watershed Program	\$ 526,300	\$ -	\$ 526,300
Total Revenues/Expenses	\$ 18,519,600	\$ 7,000	\$ 18,512,600

	Capital Outlays		Debt Service		Reserves		Budget Total
	Other Expenses						
Upper Walkkill	\$ 3,972,000	\$ 235,000	\$ 2,219,000	\$ 180,000	\$ 6,606,000		
Hampton Commons	\$ 174,500	\$ -	\$ -	\$ 6,000	\$ 180,500		
Solid Waste	\$ 6,836,000	\$ 340,000	\$ -	\$ 3,430,000	\$ 10,606,000		
Paulinskill	\$ 262,300	\$ -	\$ 318,500	\$ 20,000	\$ 600,800		
Watershed Program	\$ 386,300	\$ 140,000	\$ -	\$ -	\$ 526,300		
Total	\$ 11,631,100	\$ 715,000	\$ 2,537,500	\$ 3,636,000	\$ 18,519,600		

CAPITAL BUDGET

FY2018	Debt Authorized		Total Capital Budget	
	Cell Closure Escrow Account			
Upper Walkkill	\$ -	\$ -	\$ -	\$ 1,330,000
Hampton Commons	\$ -	\$ -	\$ -	\$ -
Solid Waste	\$ 250,000	\$ 5,400,000	\$ 6,375,000	\$ 6,375,000
Paulinskill	\$ -	\$ -	\$ -	\$ -
Watershed Program	\$ -	\$ -	\$ -	\$ 140,000
Total Expenses	\$ 250,000	\$ 5,400,000	\$ 7,845,000	\$ 7,845,000

80